Impact of NIH transition to Payment Management System Subaccounts for Existing Awards
NIH Notice NOT-OD-13-120

Key Impacts

- New 2-segment configuration will be triggered by the FY15 Non-competing Continuation Award issued on or after October 1, 2014
  - Start date of the new segment and resulting PTA will be the first date of the budget period funded by the FY15 funds

- The Final Financial Report (FFR) for the Original PTA must be submitted to the NIH within 90-days of the end date of the last budget period funded by the FY14 funds

- Departments will need to update PTA information on all open Purchase Orders, labor schedules, GFS entries, etc. to reflect the new PTA as of the FY15 budget period start date

- Subawards issued under the original PTA will end with the FY14 budget period, and a new PO will need to be issued under the new PTA to initiate continuation of the subaward into the FY15 budget period

Revised from original NIH Notice NOT-OD-12-112
Implementation Plan

This is applicable for non-competing years.

OSR will set up New PTA in advance:

- PTA will have $0 budget
- PTA start date will be the first date of the budget period funded by FY15 funds
- Oracle Award status will be On Hold (no spending)
- Activate New PTA 60 days prior to the current budget period end to update open POs, labor schedules, GFS entries, etc.
Implementation Plan (cont.)

Closing out the Original PTA

✓ Finalize all costs associated with Original PTA
✓ Final FFR-425 (Federal Financial Report) for the Original PTA must be submitted to NIH within 90-days of the end date of the last budget period funded by the FY14 funds
Implementation plan (cont.)

✓ Unobligated/uncommitted funds will be treated accordingly:

➢ Grants with carry-forward restriction – funds will be restricted until NIH authorizes

➢ Grants with no restriction – funds will be carried forward to the NEW PTA
Implementation plan (cont.)

✓ Per current FAQs –

• How do I report unliquidated obligations on the FFR for a domestic FY13 award?

• FY14 will be the last year for which NIH issues funds in pooled accounts for domestic awards; therefore, the FFR for the FY14 year will be the Final FFR for the "administratively shortened" competitive segment. The final FFR cannot report unliquidated obligations. See question Domestic C.2 for unobligated balances reported on the Final FFR.
Implementation plan (cont.)

✓ Per current FAQs – Domestic C.2

• When completing the FFR for the FY15 award, how do I reflect the unobligated amount from the previous segment to carryover to the new segment?

• Whether there is carryover authority or not, in the new project period, the grantee must populate this amount in the field entitled “Unexpended Balance From Prior Project Period” at the top of the FFR (see screen shot below). Failure to file FFRs in a timely manner may affect future funding.
Implementation plan (cont.)

✓ Pending further guidance from NIH:
  ➢ Pre-award start date
  ➢ Pursuing possible delay of some FY15 awards converting to subaccounts through the FDP.
When the FY 15 year award is issued, the project period end date is changed to match budget period end date. This makes the FFR expenditure data for this year the final FFR expenditure data and allows NIH to close the accounting record in the old system. Final Invention Statement and Final Progress Report not required at this time.

Note: Fellowships do not require FFR expenditure data reporting.

Paid via PMS "G" (pooled) accounts,
Paid through PMS using "P" subaccount, which requires cash quarterly report

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Closeout of original competitive segment (years 1-5) within 90 days after end of the last budget period

Progress report submitted and reviewed as a type 5. At time of release of the FY 15 award, system converts the type 5 to a type 4 and increases document number.
Other OSR Issues

• Alternate PTAs for Converged Communication Charges and ISC -
  – Must be an Unrestricted Fund
    • Designated - award range D, E or F (except Clinical Trials DAAAA)
    • SoM Operating Budget – AABKS

• K awards – Charging effort must meet minimums for years 1-5

• Training grants and Fellowships – Termination Notices – You must pay the correct stipend amounts