

Online article and related content current as of September 8, 2010.

## **Preparedness for Clinical Practice: Reports of Graduating Residents at Academic Health Centers**

David Blumenthal; Manjusha Gokhale; Eric G. Campbell; et al.

JAMA. 2001;286(9):1027-1034 (doi:10.1001/jama.286.9.1027)

http://jama.ama-assn.org/cgi/content/full/286/9/1027

Correction Contact me if this article is corrected.

Citations This article has been cited 86 times.

Contact me when this article is cited.

Topic collections Medical Practice; Academic Medical Centers; Medical Education

Contact me when new articles are published in these topic areas.

Related Articles published in

the same issue

September 5, 2001 JAMA. 2001;286(9):1109.

Graduating Residents' Perceptions of Their Preparedness for Practice Jeffrey L. Kaufman et al. *JAMA*. 2001;286(21):2666. Related Letters

Subscribe http://jama.com/subscribe

Permissions permissions@ama-assn.org http://pubs.ama-assn.org/misc/permissions.dtl **Email Alerts** http://jamaarchives.com/alerts

Reprints/E-prints reprints@ama-assn.org

# **Preparedness for Clinical Practice**

# Reports of Graduating Residents at Academic Health Centers

David Blumenthal, MD, MPP

Manjusha Gokhale, MA

Eric G. Campbell, PhD

Joel S. Weissman, PhD

HE LAST COMPREHENSIVE STUDY measuring the preparedness of physicians early in their practicing careers, the 1991 Robert Wood Johnson Foundation's survey of young physicians, found that 80% of respondents thought their formal medical education did a "good" or "excellent" job of training them for clinical practice. However, many respondents felt unprepared for a variety of conditions they would encounter in their professional life, such as identifying depression, treating patients with severe disabilities, and treating elderly patients.1 Subsequent specialty-specific studies have underlined these apparent gaps in physician readiness for practice. Physicians in pediatrics,<sup>2</sup> general preventive medicine,<sup>3</sup> rural practice,4 and neurosurgery5 have been found to be underprepared for conditions and tasks for which residency ideally should have prepared them.

A number of observers have concluded that many physicians are also not prepared to provide services and manage conditions with particular relevance to underserved populations, such as dietary counseling,<sup>6</sup> alcohol abuse,<sup>7</sup> human immunodeficiency virus/acquired immunodeficiency syn-

**Context** Medical educators are seeking improved measures to assess the clinical competency of residents as they complete their graduate medical education.

**Objective** To assess residents' perceptions of their preparedness to provide common clinical services during their last year of graduate medical education.

**Design, Setting, and Participants** A 1998 national survey of residents completing their training in 8 specialties (internal medicine, pediatrics, family practice, obstetrics/gynecology, general surgery, orthopedic surgery, psychiatry, and anesthesiology) at academic health centers in the United States. A total of 2626 residents responded (response rate, 65%).

**Main Outcome Measures** Residents' reports of their preparedness to perform clinical and nonclinical tasks relevant to their specialties.

**Results** Residents in all specialties rated themselves as prepared to manage most of the common conditions they would encounter in their clinical career. However, more than 10% of residents in each specialty reported that they felt unprepared to undertake 1 or more tasks relevant to their disciplines, such as caring for patients with human immunodeficiency virus/acquired immunodeficiency syndrome or substance abuse (family practice) or nursing home patients (internal medicine); performance of spinal surgery (orthopedic surgery) or abdominal aortic aneurysm repair (general surgery); and management of chronic pain (anesthesiology).

**Conclusions** Overall, residents in their last year of training at academic health centers rate their clinical preparedness as high. However, opportunities for improvement exist in preparing residents for clinical practice.

JAMA. 2001;286:1027-1034

www.jama.com

drome (HIV/AIDS),<sup>8</sup> child abuse,<sup>9</sup> or to care for patients with chronic conditions, such as asthma<sup>10</sup> or complex conditions, such as cancer.<sup>11</sup> These deficiencies may seem surprising, given that nearly half of US physicians train at academic health centers (AHCs), which care disproportionately for underserved populations and patients with complex diseases.<sup>12</sup> While most studies show that focused short-term training can remedy some gaps in physicians' skills, such approaches seem inherently less desirable than provid-

ing adequate preparation as a part of routine training.

Questions have arisen as well about whether there exists a "residencypractice mismatch": a failure of graduate medical education to provide proper

Author Affiliations: The Institute for Health Policy, Massachusetts General Hospital and Partners Health Care System; and Departments of Medicine and Health Care Policy, Harvard Medical School, Boston, Mass. Corresponding Author and Reprints: David Blumenhal, MD, MPP, Institute for Health Policy, Massachusetts General Hospital, 50 Staniford St, 9th Floor, Suite 901, Boston, MA 02114 (e-mail: dblumenthal @partners.org).

©2001 American Medical Association. All rights reserved.

(Reprinted) JAMA, September 5, 2001—Vol 286, No. 9 **1027** 

Table 1. Description of Survey Sample\*

	No. of Respondents (N = 2626)	Unweighted, %	Weighted, %
Sex	· · · · · · · · · · · · · · · · · · ·		-
Male	1567	60	59
Female	1057	40	41
Medical graduate status US medical graduates	2084	80	73
International medical graduates	535	20	27
Specialty Internal medicine	279	11	34
Pediatrics	377	14	16
Family practice	326	12	10
Obstetrics/gynecology	323	12	7
Psychiatry	366	14	9
Anesthesiology	321	12	11
General surgery	307	12	7
Orthopedic surgery	327	12	6

<sup>\*</sup>Number of respondents indicates number of valid responses in each category. The adjusted weighted total population size is approximately 10 000. There were 2 respondents who did not indicate their sex and 7 respondents who did not indicate where they had attended medical school.

role models, supervised instruction, and diversity of patient experience that residents need for practice. <sup>13</sup> There is concern that the current guidelines ensuring the adequacy of residency training, which focus on regulating the locus and content of residents' experience, may be insufficient and that these should be complemented with a competency-based system, as was implemented for neurosurgery at Johns Hopkins University. <sup>5</sup>

These findings and questions suggest the need for ongoing monitoring of residents' preparedness for practice at the end of their graduate medical education. We surveyed a national sample of residents in their last year of training in 1998 at AHCs (defined as medical schools and their closely affiliated or owned clinical facilities) in the United States. This survey provides benchmark data on self-reported preparedness of residents to undertake a wide variety of tasks common to their specialties.

# METHODS Sample Selection

We identified a stratified random sample of residents in 8 specialties (internal medicine, pediatrics, family practice, obstetrics/gynecology, psychiatry, general surgery, orthopedic surgery, and anesthesiology) who were scheduled to complete their training at AHCs in July 1998. For ease of presentation and because of space constraints, this article focuses on results for disciplines and problems relevant to adult patients. Findings concerning preparedness to care for children for pediatricians and family practitioners will be presented elsewhere.

We limited our study population to residents in their last year of training, so that we could elicit data about the entirety of respondents' graduate medical education experiences, including perceived preparedness for practice at the completion of residency. Because of resource limitations, we studied selected specialties chosen with advice from representatives (P. Griner, MD, and R. Meyer, MD, oral communication, February 1998) of the Association of American Medical Colleges. We studied residents in AHCs because these institutions often are at the forefront of educational reform and because data from the University HealthSystem Consortium (UHC) enabled us to assess the market competitiveness of local AHC environments.

We used a multistage process in compiling our sample of residents. First, we constructed a list of the major teaching hospitals most closely associated

with US medical schools. We started by matching the 1214-year US medical schools (excluding Puerto Rico and the armed forces) with the Association of American Medical Colleges list of integrated academic medical center hospitals. Integrated facilities are defined as hospitals that are owned by a college of medicine or those in which the majority of chiefs of service are also chairs of medical school departments. All integrated facilities were included in our sample. For medical schools with no integrated hospitals, we added hospitals identified as having a major affiliation<sup>14</sup> with that school. In cases in which there was more than 1 hospital either affiliated or integrated with a given school, we selected the hospital with the most residents. 15 Finally, to ensure that we captured other major teaching hospitals associated with AHCs, we compiled a list of the 100 hospitals with the largest numbers of residents, based on hospital reports to the Medicare program. 15 We added all such hospitals if they were not already included on our list. The final hospital list included 162 US hospitals training 40000 of the nation's 98000 residents in 1998.16

In the second step of our sampling process, we identified all training programs at these facilities in our 8 selected specialties using the American Medical Association's Graduate Medical Education Database.16 These programs were then stratified by level of local market competition according to the classification of their parent hospital in the UHC staging algorithm. All AHC hospitals were assigned a market stage based on the UHC's market evolution model, which assessed the competitiveness of health care markets by metropolitan statistical area containing AHCs. Ratings ranged from least (stages 1 and 2) to most (stage 4) affected by competitive forces.<sup>17</sup> Although the usefulness of the market evolution model has been questioned (1 study found that it failed to accurately predict the tendency of health care organizations to form integrated systems<sup>18</sup>), other analyses have found

**1028** JAMA, September 5, 2001—Vol 286, No. 9 (Reprinted)

©2001 American Medical Association. All rights reserved.

it to be a useful gauge of market competition in the 1990s<sup>19</sup> and the resulting impact of competitive pressures on faculty attitudes, levels of AHC research productivity, and support for academic missions.<sup>20-23</sup>

In the final sampling step, we randomly selected programs within each market stage and specialty to achieve a target sample size of approximately 4800 subjects with 600 in each specialty and 1600 in each market stage. Because the numbers of stage 4 markets and thus AHC residency programs were fewer than in other stages, fewer than 1600 residents were included in the stage 4 stratum. Our final sample consisted of 4832 residents in 632 programs.

## **Survey Design and Administration**

A total of 8 survey instruments (1 for each specialty) were designed, based on literature review, focus groups of residents, review of the relevant policies of the Accreditation Council on Graduate Medical Education, and comments from experts. The instruments were tested for understandability and accuracy of response (cognitive testing) by the Center for Survey Research at University of Massachusetts, Boston. Surveys were designed to take 15 minutes to complete.

The surveys were administered in the spring of 1998. All respondents received a mail survey administered by Datastat Inc, Ann Arbor, Mich. A sample of those failing to respond to the mailed instrument were contacted for telephone administration of the same survey performed by Atlantic Survey Research Inc, Boston, Mass. Response enhancement techniques included advance notification, multiple mailings, telephone follow-ups, and flexible scheduling. Furthermore, respondents were eligible to receive 1 of 3 cash prizes or in some cases payment for completed interviews. This protocol was approved by the Massachusetts General Hospital institutional review board. Our final response rate, adjusted for invalid sample (people who left their program or were assigned to the wrong specialty) was 65%.

#### **Variables**

Preparedness of Primary Care Residents to Counsel Patients. Residents in primary care or related disciplines (internal medicine, family practice, obstetrics/gynecology) were asked how prepared (very unprepared, somewhat unprepared, somewhat prepared, or very prepared) they felt to counsel patients about conditions

(smoking, diet and exercise, HIV testing, domestic violence, substance abuse, depression, pain management, palliative care/end-of-life issues, and compliance with care issues), which other studies have found physicians to be underprepared to address. 1,6,7,11,24,25 Responses of "somewhat prepared" and "very prepared" were considered "prepared."

Somewhat

Very

<b>Table 2.</b> Internal	Medicine Reside	nts' Self-assessment	of Preparedness*

Very

Somewhat

Condition	Unprepared, %	Unprepared,	Prepared,	Prepared, %
Counsel patients about				
Smoking	• • •	4	34	62
Diet and exercise	3	7	37	53
HIV testing	1	5	28	66
Domestic violence	11	31	44	14
Substance abuse	2	14	52	32
Depression	3	14	52	31
Pain management	4	23	51	21
Palliative care/end-of-life issues	3	8	46	43
Compliance with care issues	2	8	47	42
Care for the following types of patients Inpatients			8	91
Ambulatory	1	7	45	48
Critically ill		2	30	68
Terminally ill	1	7	37	55
Elderly		3	45	52
Chronically ill		6	41	52
Nursing home	5	26	56	13
HIV/AIDS	2	20	40	37
Substance abuse	2	23	54	21
Perform the following tasks or services Choose cost-effective treatments	2	11	62	25
Participate in quality assurance	9	31	49	11
Care for populations of patients	6	41	42	11
Collaborate with nonphysician caregivers	3	15	50	32
Practice in managed care	11	48	32	9
Diagnose and treat Acute myocardial infarction			6	94
Diabetes		1	9	91
Diabetes ketosis			5	95
Hypertension			5	94
Low back pain		5	43	52
Vaginitis	2	14	40	43
Headache		7	45	48
Depression	2	16	46	36
Upper respiratory tract infection			11	89
Asthma			7	93
Hyperlipidemia		2	21	78
Acute renal failure			22	78

<sup>\*</sup>Ellipses indicate no response for condition: HIV/AIDS, human immunodeficiency virus/acquired immunodeficiency syndrome.

Preparedness of Primary Care Residents to Care for Different Types of Patients. Residents in primary care and related disciplines were asked how prepared they felt to manage types of patients whom they might be asked to care for but for whom preparedness might be inadequate1,26 (inpatients, ambulatory patients, critically ill patients, terminally ill patients, elderly patients, chronically ill

patients, nursing home patients, HIV/ AIDS patients, and substance abuse patients).

Preparedness of Residents to Diagnose and Treat Conditions or to Perform Tasks and Procedures Typically Associated With a Specialty. The residents in each specialty were asked how prepared they felt to manage a range of specialty-specific conditions or to perform services typically associated with their specialty. Conditions and services were chosen using input from focus groups and from clinical colleagues, including department chairpersons and training program directors who played a major role in graduate medical education.

## **Descriptive Analysis**

In some analyses, scaled questions were collapsed into dichotomous variables (eg, prepared vs unprepared). Analyses were weighted to accurately represent national estimates, and to correct for nonresponse bias and for the probability of selection within a given stratum. We used SAS version 6.12 (SAS Institute Inc, Carv, NC) to construct the sample and to calculate descriptive sample statistics such as averages and proportions. Results of the analyses are presented as sample-weighted responses by category and may not always sum to 100% due to rounding. Because of their dual status as both surgical subspecialists and primary care providers for women, obstetrician/ gynecologists are, as appropriate, grouped with primary care providers in some analyses and with surgical subspecialists in others.

## **RESULTS**

## **Sample Characteristics**

TABLE 1 provides weighted and unweighted characteristics of our sample. On a weighted basis, 59% of respondents were male and 73% were graduates of US medical schools. Residents in internal medicine (34%) constituted the largest group of respondents by specialty with pediatricians (16%) and anesthesiologists (11%) ranking second and third.

## **Preparedness of Primary Care Residents to Counsel Patients**

Overall, 96% of primary care residents (internal medicine, family practice, and obstetrics/gynecology) were "very prepared" or "somewhat prepared" to counsel patients about smoking, 94% about HIV testing, 91% about diet and exercise, 89% about compliance with care

Table 3. Family	Practice	Residents'	Self-assessment	of Preparedness*
-----------------	----------	------------	-----------------	------------------

Condition	Very Unprepared, %	Somewhat Unprepared, %	Somewhat Prepared, %	Very Prepared %
Counsel patients about				
Smoking	1	1	28	71
Diet and exercise	1	3	33	64
HIV testing	1	6	33	61
Domestic violence	3	13	54	30
Substance abuse	1	10	45	43
Depression	1	2	27	70
Pain management	3	22	52	23
Palliative care/end-of-life issues	3	13	44	41
Compliance with care issues	1	11	57	31
Care for the following types of patients Inpatients		4	41	55
Ambulatory		3	15	82
Critically ill	9	25	49	17
Terminally ill	2	11	45	42
Elderly	1	5	46	48
Chronically ill	1	7	49	43
Nursing home	4	16	52	27
HIV/AIDS	20	38	30	12
Substance abuse	3	26	50	21
Perform the following tasks or services Choose cost-effective treatments		7	55	37
Participate in quality assurance	4	25	50	21
Care for populations of patients	5	25	51	20
Collaborate with nonphysician caregivers	3	8	42	46
Practice in managed care	7	20	50	23
Diagnose and treat Acute myocardial infarction	1	3	26	71
Diabetes		1	19	81
Diabetes ketosis	1	6	32	60
Hypertension		1	11	88
Low back pain		1	27	71
Vaginitis		1	10	89
Headache		2	36	62
Depression		1	24	74
Upper respiratory tract infection			5	95
Asthma		3	24	73
Hyperlipidemia	1	1	27	71
Acute renal failure	4	20	51	24

<sup>\*</sup>Ellipses indicate no response for condition; HIV/AIDS, human immunodeficiency virus/acquired immunodeficiency syndrome.

issues, 87% about palliative/end-of-life issues, 85% about substance abuse and depression, 74% about pain management, and 67% about domestic violence. A total of 11% of internal medicine residents rated themselves as "very unprepared" to counsel patients about domestic violence (TABLE 2), 12% of family practice residents felt "very unprepared" or "somewhat unprepared" to counsel patients about compliance with care issues (TABLE 3), and 19% of obstetrics/gynecology residents felt "somewhat unprepared" or "very unprepared" to counsel patients about depression (TABLE 4).

## Preparedness of Primary Care Residents for Selected Patient Populations

Overall, 99% of all primary care residents felt prepared to treat inpatients, 94% to treat ambulatory patients or elderly patients, 91% to treat chronically ill patients, 90% to treat critically ill patients or terminally ill patients, 75% to treat substance abuse patients, 70% to treat HIV/AIDS patients, and 66% to treat nursing home patients.

More than 90% of internal medicine, family practice, and obstetrics/gynecology residents felt prepared to treat inpatients and ambulatory patients; more than 90% of internal medicine residents felt prepared to treat critically ill patients, chronically ill patients, and terminally ill patients; and more than 90% of residents in family practice felt prepared to treat elderly patients. Populations for which more than 15% of residents felt unprepared included nursing home patients, HIV/AIDS patients, and substance abuse patients (Tables 2, 3, and 4).

## **Preparedness for Common Procedures and Conditions**

Large majorities of residents reported high levels of readiness to undertake many common clinical tasks associated with their specialty but gaps in perceived preparedness were also evident.

**Primary Care.** More than 90% of all residents in internal medicine, fam-

ily practice, and obstetrics/gynecology felt prepared to diagnose and treat diabetes, upper respiratory tract infection, and hypertension (Tables 2, 3, and 4).

Condition

Counsel patients about

Depression

Hyperlipidemia

physicians

Perform these tasks

Upper respiratory tract infection

Communicate with referring

Manage preoperative patients

Manage postoperative patients

Perform these procedures or services

Vaginal hysterectomies

Cesarean deliveries

Forceps deliveries

Abdominal hysterectomies

Table 4. Obstetrics/Gynecology Residents' Self-assessment of Preparedness\*

Very

Unprepared

%

Psychiatry. More than 95% of psychiatry residents felt prepared to diagnose and treat schizophrenia, minor depression, major depression, suicidal tendencies, panic disorders, delirium,

Somewhat

Prepared,

Very

Prepared,

%

Somewhat

Unprepared,

Smoking	2	7	42	49
Diet and exercise	2	13	44	41
HIV testing	2	2	21	75
Domestic violence	3	10	46	41
Substance abuse	3	8	47	42
Depression	3	16	57	23
Pain management	3	14	56	26
Palliative care/end-of-life issues	5	18	46	31
Compliance with care issues	2	11	53	35
Care for the following types of patients Inpatients			5	95
Ambulatory		1	24	75
Critically ill	2	12	54	32
Terminally ill	3	15	45	37
Elderly	1	14	57	29
Chronically ill	3	23	55	19
Nursing home	29	40	27	5
HIV/AIDS	3	21	53	22
Substance abuse	1	18	53	28
Perform the following tasks or services Choose cost-effective treatments	1	10	53	36
Participate in quality assurance	6	23	49	22
Care for populations of patients	10	31	43	16
Collaborate with nonphysician caregivers	3	13	43	41
Practice in managed care	9	29	48	14
Diagnose and treat Diabetes		4	44	52
Hypertension	1	7	51	41
Low back pain	5	25	53	16
Vaginitis			4	95
Headache	2	17	55	26

3

6

1

©2001 American Medical Association. All rights reserved.

(Reprinted) JAMA, September 5, 2001—Vol 286, No. 9 1031

17

5

29

1

9

57

47

53

17

9

3

17

2

22

47

12

82

90

97

82

98

99

<sup>\*</sup>Ellipses indicate no response for condition; HIV/AIDS, human immunodeficiency virus/acquired immunodeficiency syndrome.

and obsessive-compulsive disorders, and to provide psychopharmacologic services. However, more than 10% felt unprepared to treat borderline personality or substance abuse, to provide

short-term or long-term psychotherapy, to diagnose and treat patients with loss/bereavement issues, to diagnose and treat somatization, or to diagnose and treat eating disorders (TABLE 5).

Table 5. Psychiatry Residents' Self-assessment of Preparedness\*

Condition	Very Unprepared, %	Somewhat Unprepared, %	Somewhat Prepared, %	Very Prepared
Perform the following tasks or services Choose cost-effective treatments	4	11	48	37
Participate in quality assurance	5	23	51	21
Care for populations of patients	10	27	47	15
Collaborate with nonphysician caregivers	1	5	33	61
Practice in managed care	12	18	55	15
Diagnose and treat Suicidality		1	11	89
Panic disorders		2	21	77
Delirium		2	27	71
Somatization	1	14	49	37
Dementia	1	5	38	56
Loss/bereavement	1	12	39	48
Schizophrenia			13	87
Major depression			4	96
Minor depression			12	88
Obsessive-compulsive disorder		2	34	64
Borderline personality	2	9	44	45
Substance abuse/dependency	1	11	43	46
Eating disorders	8	25	47	20
Provide these services Long-term psychotherapy	5	11	44	40
Short-term psychotherapy	1	11	46	43
Psychopharmacology	1	1	12	87
*Flipage indicate no response for condition				

<sup>\*</sup>Ellipses indicate no response for condition.

Condition	Very Unprepared, %	Somewhat Unprepared, %	Somewhat Prepared, %	Very Prepared, %
Perform the following tasks or services Choose cost-effective treatments	1	11	50	38
Participate in quality assurance	4	32	47	17
Care for populations of patients	9	34	49	8
Collaborate with nonphysician caregivers	6	21	50	23
Practice in managed care	12	38	42	8
Perform these tasks Communicate with referring physicians	1	1	18	81
Manage preoperative patients	0	1	15	84
Manage postoperative patients			12	88
Perform these procedures or services Total hip replacements		2	13	85
Total knee replacements		1	12	86
Spinal surgeries	16	22	42	20
Cancer surgeries	13	33	43	11
*Ellipage indicate no reasonage for condition				

<sup>\*</sup>Ellipses indicate no response for condition.

Surgical Specialties. More than 90% of residents in obstetrics/gynecology felt prepared to perform cesarean deliveries, abdominal hysterectomies, and vaginal hysterectomies (Table 4); more than 90% of orthopedic surgery residents felt prepared to perform total knee replacements and total hip replacements (TABLE 6); and more than 90% of general surgery residents felt prepared to perform herniorrhaphies, appendectomies, total colectomies, femoral-popliteal bypass, and biliary tract surgeries (TABLE 7). More than 90% of all surgery residents felt prepared to communicate with referring physicians and to manage patients preoperatively and postoperatively (Tables 4, 6, and 7).

However, like residents in primary care specialties and psychiatry, significant proportions of residents in surgical specialties reported feeling less than fully prepared to provide certain types of care. In orthopedic surgery 62% of residents rated themselves as prepared to perform spinal surgery and 54% to perform cancer surgery (Table 6). In general surgery, 81% reported themselves prepared to perform pancreatic surgery and 88% to repair abdominal aortic aneurysms (Table 7).

Anesthesia. More than 90% of anesthesiology residents felt prepared to administer spinal and epidural anesthesia, general anesthesia for patients with complex illnesses, anesthesia in day surgery, cardiac anesthesia, perform preanesthesia testing, manage acute pain, and administer postoperative intensive care (TABLE 8). However, 32% of anesthesiology residents felt unprepared to manage chronic pain.

### **COMMENT**

Although limited by the use of residents' perceptions and by the cross-sectional nature of the survey, our data nevertheless provide some useful insights into the preparedness of residents completing graduate medical education. Residents tended to have a positive view of the overall quality of their training and overwhelming ma-

**1032** JAMA, September 5, 2001—Vol 286, No. 9 (Reprinted)

©2001 American Medical Association. All rights reserved.

jorities were leaving their programs feeling somewhat or very prepared to manage most of the common clinical problems they are likely to encounter. These high levels of preparedness should provide reassurance about the overall quality of graduate medical education in the United States.

However, if residents' evaluations are any indication, their preparedness in nontraditional locations (eg, nursing homes) or for nontraditional patient populations (patients with substance abuse problems) may lag behind their preparation for care in traditional training environments. These findings suggest that AHCs now face the challenge of ensuring the quality of training for nontraditional educational experiences. Furthermore, in every specialty we studied, more than 1 in 10 residents in their last year of training felt unprepared to manage some clinical problems that they are likely to encounter in practice.

The implications of these reported gaps in preparedness are uncertain. Modern medicine is complex and diverse, and it is difficult to gain equal competence in all areas. Some residents go on to get additional training (especially in procedural specialties) that will prepare them better for some of the conditions, such as pain management among anesthesiologists, vascular surgery among general surgeons, or spinal surgery among orthopedists. Undoubtedly, some physicians will avoid treating problems they feel unprepared to manage. Nevertheless, the potential consequences of residents' perceived lack of preparedness in a number of important areas of practice deserves further assessment to evaluate implications for curricular design.

The discrepancy between our findings and the many recent anecdotal reports of the deterioration in medical education under the pressure of managed care and other stresses deserves further exploration. One possible explanation is that AHCs have succeeded in protecting educational missions through working both harder and smarter. If so, our data may testify to

the ingenuity of AHC faculty and managers, but also raise questions about whether coping mechanisms can face additional pressures, such as the Balanced Budget Act of 1997. Alterna-

tively, anecdotal reports may have overstated the problems facing the educational missions of AHCs. Only further research, including longitudinal studies of the content and quality of

Table 7. General Surgery Residents' Self-assessment of Preparedness\* Somewhat Very Somewhat Verv Unprepared, Unprepared, Prepared, Prepared, Condition % % % Perform the following tasks or services 2 Choose cost-effective treatments 11 44 44 8 24 48 19 Participate in quality assurance 39 39 12 Care for populations of patients 10 Collaborate with nonphysician 5 21 47 27 caregivers 35 Practice in managed care 11 10 Perform these tasks Communicate with referring 1 2 21 76 physicians 2 15 82 Manage preoperative patients 1 Manage postoperative patients 1 9 90 Perform these procedures or services Herniorrhaphies 6 93 1 3 96 Appendectomies Abdominal aortic aneurysm repairs 4 41 47 8 Total colectomies 2 2 12 84 Pancreatic surgeries 6 13 47 34 Biliary tract surgeries 2 5 32 61

3

3

67

Femoral-popliteal surgeries

Table 8. Anesthesiology Residents' Self-assessment of Preparedness\*

Very Unprepared, %	Somewhat Unprepared, %	Somewhat Prepared, %	Very Prepared, %
3	7	52	38
9	22	48	21
12	34	43	12
9	25	41	25
12	32	47	9
	5	16	79
		5	95
			100
1	4	22	74
3	10	41	45
1	1	14	84
1	1	11	87
1		5	94
1	6	34	59
8	24	46	21
1	7	47	45
1	3	36	60
	Unprepared, %  3 9 12 9 12 1 3 1 1 1 1 8 1	Unprepared, %         Unprepared, %           3         7           9         22           12         34           9         25           12         32            5               1         4           3         10           1         1           1         1           1         6           8         24           1         7	Unprepared, %         Unprepared, %         Prepared, %           3         7         52           9         22         48           12         34         43           9         25         41           12         32         47            5         16             5                1         4         22           3         10         41           1         1         14           1         1         11           1         6         34           8         24         46           1         7         47

<sup>\*</sup>Ellipses indicate no response for condition.

<sup>\*</sup>Ellipses indicate no response for condition.

graduate medical education experiences, can shed light on these alternative explanations.

Our study had a number of limitations that should be mentioned. Perhaps most important is our reliance on reports of residents to assess their preparedness for practice. It is possible that self-perceived preparedness has little correlation with actual competency. However, others have shown that residents are as capable as their teachers at predicting their examination scores,<sup>27</sup> that students tend to underrate their preparedness relative to the assessments of their supervisors, 26,28 and that self-reported high levels of preparedness are correlated with good performance.29 Additionally, self-reported preparedness has been used as an indicator of educational quality in other published studies.<sup>1,30</sup> Residents' reports at the end of training are not complete or definitive indicators of the quality of training but are clearly relevant. Student perceptions are widely used in education as an indicator of quality of educational experiences.

Overall, our data suggest that in 1998 residents finishing their training programs felt well satisfied with their preparedness for clinical practice. However, our data also suggest that gaps may still exist in the preparedness of physicians to manage the full range of patients, problems, and procedures they may confront as practitioners. These findings indicate the need for training

programs to continue evaluating the appropriateness and diversity of experiences and instruction that residents encounter during their training.

**Author Contributions:** *Study concept and design:* Blumenthal, Gokhale, Campbell, Weissman.

Acquisition of data: Blumenthal, Gokhale, Campbell, Weissman.

Analysis and interpretation of data: Blumenthal, Gokhale, Weissman.

Drafting of the manuscript: Blumenthal, Gokhale, Campbell.

Critical revision of the manuscript for important intellectual content: Blumenthal, Gokhale, Campbell, Weissman.

Statistical expertise: Gokhale, Weissman.

Obtained funding: Blumenthal, Campbell, Weissman. Administrative, technical, or material support: Gokhale, Weissman.

Study supervision: Blumenthal, Campbell, Weissman. Funding/Support: This work was supported by a grant from The Commonwealth Fund Task Force on Academic Health Centers of New York.

#### REFERENCES

- 1. Cantor JC, Baker LC, Hughes RG. Preparedness for practice: young physicians' view of their professional education. *JAMA*. 1993;270:1035-1040.
- **2.** Liebelt EL, Daniels SR, Farrell MK, Myers MG. Evaluation of pediatric training by the alumni of a residency program. *Pediatrics*. 1993;91:360-364.
- **3.** Cordes DH, Rea DF, Rea JL, Vuturo AF, Kligman EW. A survey of residency management training: general preventive medicine graduates. *Am J Prev Med.* 1996;12:172-176.
- **4.** Norris TE, Coombs JB, Carline J. An educational needs assessment of rural family physicians. *J Am Board Fam Pract.* 1996;9:86-93.
- **5.** Long DM. Competency-based residency training: the next advance in graduate medical education. *Acad Med.* 2000:75:1178-1183.
- Ockene JK, Ockene IS, Quirk ME, et al. Physician training for patient-centered nutrition couseling in a lipid intervention trial. *Prev Med.* 1995;24:563-570.
   Ockene JK, Wheeler EV, Adams A, Hurley TG, Hebert J. Provider training for patient-centered alcohol
- bert J. Provider training for patient-centered alcohol counseling in a primary care setting. *Arch Intern Med.* 1997;157:2334-2341.

  8. Haas DM, Coe RM. Do physicians discuss HIV and
- AIDS with patients? a survey of physician practices. J Community Health. 1997;22:401-416.

  9. Wright RJ, Wright RL, Farnan L, Isaac NE. Response to child abuse in the pediatric emergency department: need for continued education. Pediatr Emerg
- Care. 1999;15:376-382.

  10. Clark NM, Gong M. Manangement of chronic disease by practitioners and patients: are we teaching the wrong things? *BMJ*. 2000;320:572-575.
- **11.** Von Gunten CF, Ferris FD, Emmanuel LL. Ensuring competency in end-of-life care. *JAMA*. 2000;284: 3051-3057.

- **12.** Blumenthal D, Campbell EG, Weissman JS. Sounding board: the social missions of academic health centers. *N Engl J Med.* 1997;337:1550-1553.
- **13.** Reuben D, McCue J, Gerbert B. The residency-practice training mismatch: a primary care education dilemma. *Arch Intern Med.* 1998;148:914-919.
- **14.** *Graduate Medical Education Directory 1997-1998.* Chicago, Ill: American Medical Association; 1997: 1244.
- **15.** Medicare. PPS Impact File; 1996. Available at: http://www.hcfa.gov/stats/pufiles.htm. Accessibility verified July 31, 2001.
- 16. Graduate Medical Education Database 1996-1997. Chicago, Ill: American Medical Association; 1996.
- **17.** 1996 Market Classifications and Revisions of the Market Classification Tool. Oak Brook, Ill: University HealthSystem Consortium; 1997.
- **18.** Burns LR, Bazzoli GJ, Dynan L, Wholey DR. Managed care, market stages, and integrated delivery systems: is there a relationship? *Health Aff (Millwood)*. 1997:16:204-218.
- **19.** Burns LR, Kim JJ. *Physician Responses to the Marketplace: Group Practices and Hospital Alliances*. Philadelphia, Pa: Leonard Davis Institute Issue Brief; 2000. No. 8.
- **20.** Simon SR, Pan RJ, Sullivan AM, et al. Views of managed care: a survey of students, residents, faculty, and deans at medical schools in the United States. *N Engl J Med.* 1999;340:928-936.
- **21.** Campbell EG, Weissman JS, Blumenthal D. Relationship between market competition and the activities and attitudes of medical school faculty. *JAMA*. 1997;278:222-226.
- **22.** Weissman JS, Saglam D, Campbell EG, Causino N, Blumenthal D. Market forces and unsponsored re-

- search in academic health centers. *JAMA*. 1999;281: 1093-1098.
- **23.** Blumenthal D, Causino N, Campbell EG, Weissman JS. The relationship of market forces to the satisfaction and career expectations of faculty at academic health centers. *Am J Med.* In press.
- **24.** Gerrity MS, Cole SA, Dietrich AJ, Barrett JE. Improving the recognition and management of depression. *J Fam Pract.* 1999;48:949-957.
- **25.** Clark NM, Gong M, Schork MA, et al. Impact of education for physicians on patient outcomes. *Pediatrics*. 1998;101:831-836.
- **26.** Roberts KB, Starr S, DeWitt TG. The University of Massachusetts Medical Center office-based continuity experience: are we preparing pediatrics residents for primary care practice? *Pediatrics*. 1997;100:E2.
- 27. Hawkins RE, Sumption KF, Gaglione MM, Holmboe ES. The in-training examination in internal medicine: resident perceptions and lack of correlation between resident scores and faculty predictions of resident performance. *Am J Med.* 1999;106:206-210.
- 28. Fincer RM, Lewis LA, Kuske TT. Relationships of interns' performances to their self-assessments of their preparedness for internship and to their academic performances in medical school. *Acad Med.* 1993;68 (suppl 2):S47-S50.
- **29.** Shubert A, Tetzlaff JE, Tan M, Ryckman JV, Mascha E. Consistency, inter-rater reliability, and validity of 441 consecutive mock oral examinations in anesthesiology: implications for use as a tool for assessment of residents. *Anesthesiology*. 1999;91:288-298.
- **30.** Wickstrom GC, Kolar MM, Keyserling TC, et al. Confidence of graduating internal medicine residents to perform ambulatory procedures. *J Gen Intern Med.* 2000;15:361-365.