

# High Frequency Oscillatory Ventilation (HFOV)

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# Case Presentation

- Sunday afternoon: you are called by the general surgery team for an emergent ex-lap for suspected necrotizing enterocolitis
- Patient is a former 25+5 week infant born after unstoppable preterm labor, now corrected to 29+2
- One day prior, patient was put on HFOV due to worsening hypercarbia (arterial PCO<sub>2</sub> 96) despite high conventional ventilator settings (Vt 5ml/kg, RR 50, PEEP 7)
- Current oscillator settings are: MAP 14, Amplitude 32, Frequency 12, I-Time 0.33, FiO<sub>2</sub> 31% and recent ABG: 7.25/66/70
- What is your ventilation strategy for the operation?

# So many questions...

- Why did the NICU put the baby on the oscillator?
- Is this the same or different than high frequency jet ventilation?
- How does an oscillator even work?
- Can you perform surgery while a patient is on the oscillator?
- How do I manage an oscillator? What are all those knobs for?
- Can I just switch back to a conventional ventilator?
- Is the oscillator working?
- Can I transport to the OR on an oscillator?
- Can I use nitric oxide while on HFOV?
- Can anyone help me??

# Indications for HFOV

When to use HFOV:

1. Persistent Pulmonary Hypertension of the Newborn
2. Meconium Aspiration Syndrome
3. Air leak syndromes: pneumothorax, pulmonary interstitial emphysema
4. Severe Respiratory Distress Syndrome
5. Pulmonary hypoplasia
6. Failure of conventional ventilation (plateau pressures  $\geq 30$ -35 cmH<sub>2</sub>O with tidal volumes of 5-7 ml/kg and severe respiratory acidosis, pH < 7.1)
7. Failure of oxygenation (e.g. ARDS)
  - a. SpO<sub>2</sub> < 90%, or
  - b. PaO<sub>2</sub>/FiO<sub>2</sub> < 150, despite FiO<sub>2</sub> > 60% and optimal PEEP, or
  - c. Oxygenation index (OI) > 15 (where OI = [MAP x FiO<sub>2</sub>(%)] / PaO<sub>2</sub>)

# Contraindications to HFOV

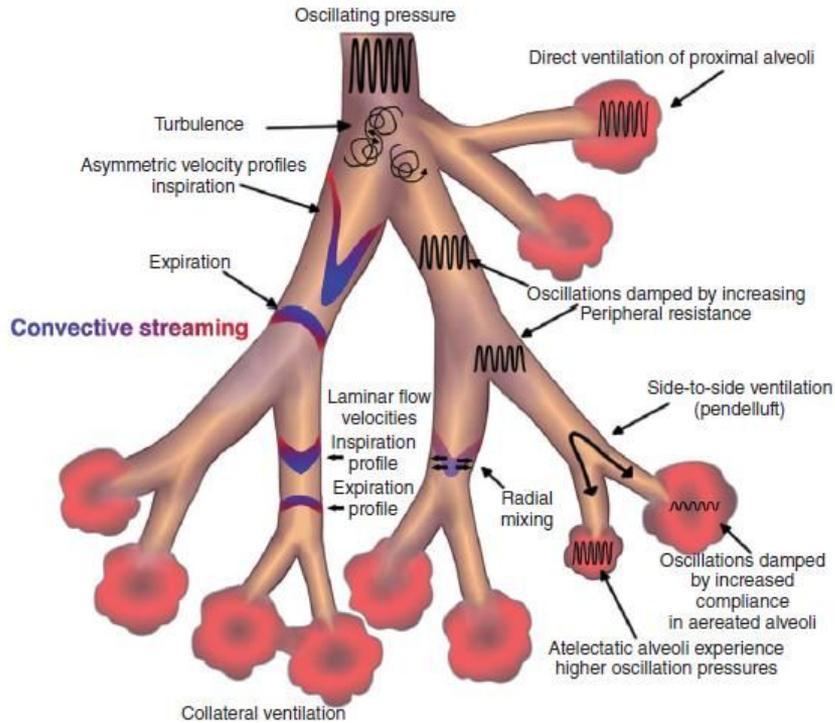
When not to use HFOV (relative contraindications):

1. Obstructive airway disease (HFOV can lead to severe air trapping if used improperly)
2. Traumatic brain injury / intracranial hypertension (high MAP can lead to decreased venous return, reduced cerebral perfusion)
3. Hemodynamic compromise (especially if unresponsive to fluids/vasoactives; )  
...consider VA ECMO!

# Side Note on Jet Ventilation

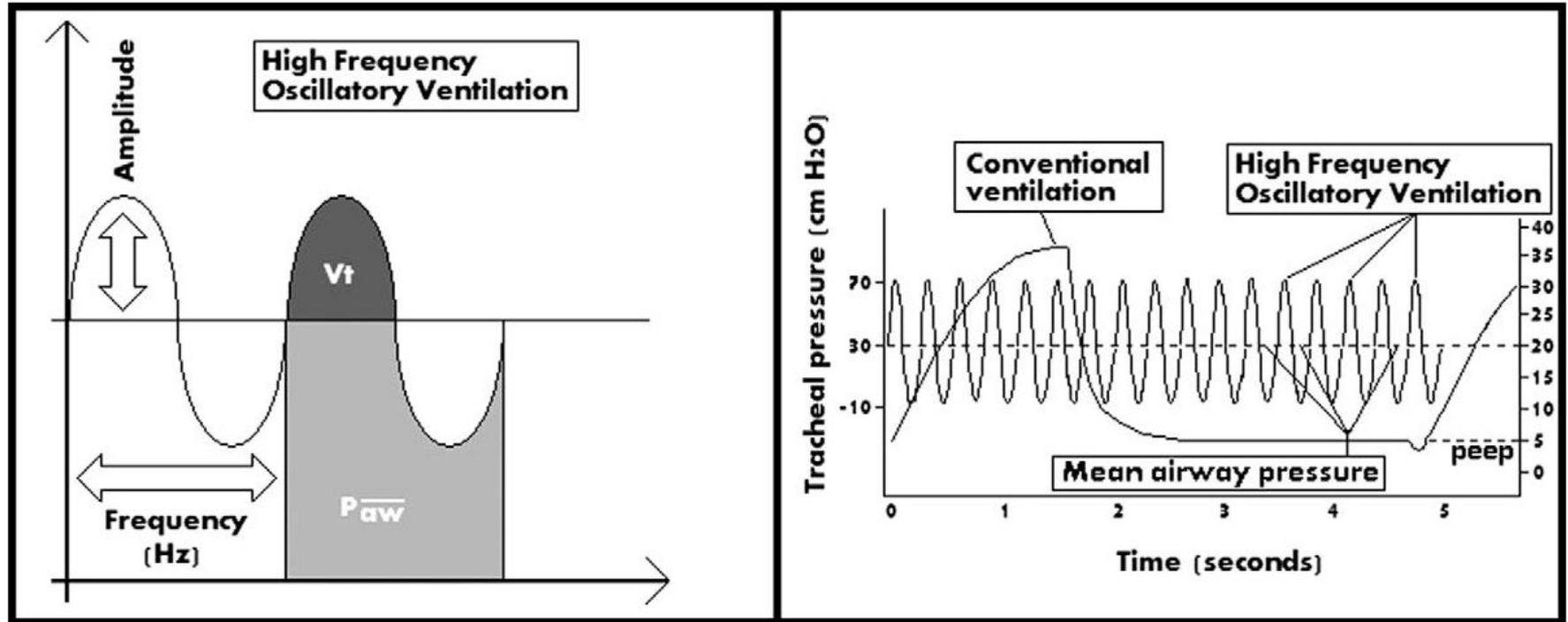
- HFOV = High frequency oscillatory ventilation (3-15Hz RR,  $TV \leq 1-3\text{ml/kg}$ )
- Via movement of an electromagnetic diaphragm or piston pump, pressure is generated in the ventilator circuit => active inspiratory and expiratory phases
- No sigh breaths for alveolar recruitment - can easily de-recruit
- **This is what we are talking about today**
- HFJV = High frequency jet ventilation (4-11Hz RR,  $TV \leq 1\text{ml/kg}$ )
- Via a pneumatic valve, short jets of gas are released into the inspiratory circuit => expiration is passive (from elastic recoil)
- HFJV is used in conjunction with conventional mechanical ventilation, with application of PEEP (sigh breaths)
- Differs from low frequency jet which uses a manually triggered hand-held device
- **Topics for another day!**

# How does it work?



- A **constant distending airway pressure** is applied (MAP), over which **small tidal volumes** are superimposed (Power/Amplitude) at a **high respiratory frequency** (measured in Hz)
- **Radial mixing (Taylor dispersion)**: enhances gas mixing with laminar flow (beyond bulk flow front)
- **Collateral ventilation**: alveoli communicate directly with other nearby alveoli
- **Coaxial flow**: net flow through centre of airway on way down, then on outside of airway on way up
- **Pendelluft ventilation** nearby lung units have different time constants/impedance/phase lags
- **Cardiogenic mixing**: internal 'wobble' of heartbeats transmitted to the molecules of gas within the lungs causes gas mixing

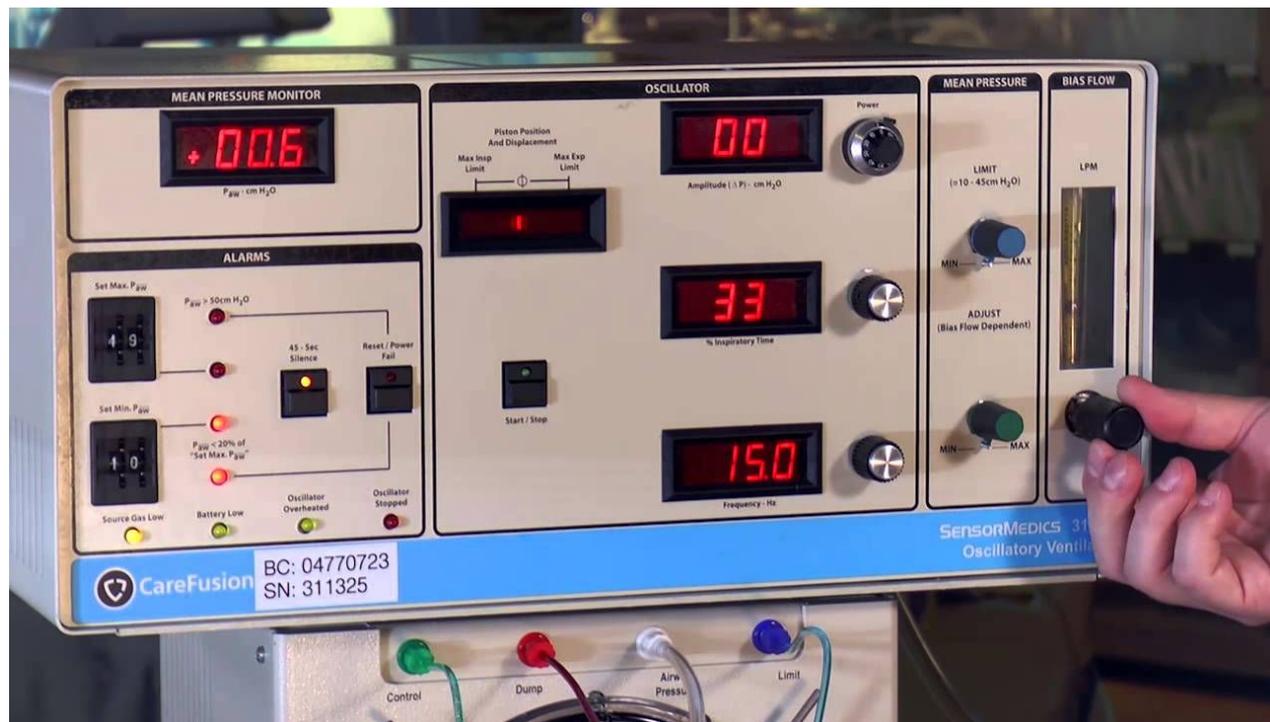
# How does it work?



# Intraoperative Use of HFOV

- **Yes, it's been done!**
- Conditions operated on include: congenital diaphragmatic hernia, congenital cystic adenomatoid malformation, esophageal atresia, PDA, abdominal wall defect, NEC
- Advantages:
  - HFOV minimizes lung movement and interference with the surgical field
  - Provides continuity in in perioperative ventilatory management
  - May minimize lung injury, especially in conditions with altered respiratory compliance
- Limitations:
  - Lack of familiarity with HFOV by anesthesiologist
  - Can't use inhalational agents (thus TIVA is recommended)
  - Routine capnography not possible (frequent blood gases, TCOM needed)
  - HFOV is loud and can hinder clinical exam (e.g. auscultation of heart sounds)

# SensorMedics 3100A/B HFOV



- **3100A model:** Approved by FDA in 1991 for use in neonates, used for patients < 35kg
- **3100B model:** used for patients > 35kg
- Approval for use in all pediatrics in 1995

# Reasonable Starting Settings

- **MAP** (max ~ 40-45 cm H<sub>2</sub>O)
  - Neonates: 2-5 cm above MAP on CMV
  - Infants/Children: 5-8 cm above MAP on CMV
- MAP, if starting immediately on HFOV
  - Neonates: 8-10 cm H<sub>2</sub>O
  - Infants/children: 15-20 cmH<sub>2</sub>O
- **Amplitude/Power:** adjust  $\Delta P$  until there is perceptible chest wall motion from the nipple line to the umbilicus (AKA chest wiggle factor). Initial settings might be:
  - Wt < 2.0 kg: 2.5
  - Wt 2.1 - 2.5 kg: 3.0
  - Wt 2.6 - 4.0 kg: 4.0
  - Wt 4.1 - 5.0 kg: 5.0
  - Wt 5.1 - 10 kg: 6.0
  - Wt > 20 kg: 7.0
- You will likely never adjust bias flow, frequency, or I-time:
- **Bias flow** (allowing further increase in MAP)
  - < 1 year old: 15-25 L/m,
  - 1-8-year-old: 15-30 L/m
  - $\geq$ 8-year-old: 25-40 L/m
- **Frequency**
  - Preterm neonate: 15Hz (900 bpm)
  - Term neonate: 12Hz (720 bpm)
  - Infant/Child: 10Hz (600 bpm)
  - Older child: 8Hz (480 bpm)
- **Inspiratory time**
  - Usually set to 33% (I:E ratio of 1:2)
  - Higher I-times may lead to air trapping

# Can I switch to CMV?

Patient may be able to tolerate conventional ventilation if your HFOV settings are:

- MAP < 16-17 cm
- FiO<sub>2</sub> < 0.40 - 0.45
- Power < 4.0
- To convert to CMV, use a MAP 3-4 cm less than the MAP on HFV

# How do I know it's working?

- Patient SpO<sub>2</sub> in the first 30-60 minutes of initiation can change dynamically
- Adequate “jiggling” / “wobbling” / “chest wiggle” = patient is being ventilated
- CXR to confirm that patient is not hyperinflated (MAP too high)
- Transcutaneous CO<sub>2</sub> monitoring can help trend CO<sub>2</sub>
- Be aware of changes in lung compliance (e.g. secretions, neuromuscular blockade)
- Consider suctioning +/- recruitment maneuver if O<sub>2</sub> saturations remain low (but don't suction too much because it will de-recruit the lungs; use a closed suction system if possible)

# Transcutaneous CO<sub>2</sub> Monitoring



- NICU respiratory therapists can assist with TCOM setup and use
- Try to correlate with blood gas measurements to assess ventilation



# Quick Troubleshooting Guide

Poor Oxygenation	Over Oxygenation	Under Ventilation	Over Ventilation
Increase FiO <sub>2</sub>	Decrease FiO <sub>2</sub>	Increase amplitude	Decrease amplitude
Increase MAP* (1-2cmH <sub>2</sub> O)	Decrease MAP (1-2cmH <sub>2</sub> O)	Decrease frequency** (1-2Hz) if amplitude Maximal	Increase frequency** (1-2Hz) if amplitude Minimal

\* Consider recruitment maneuvers

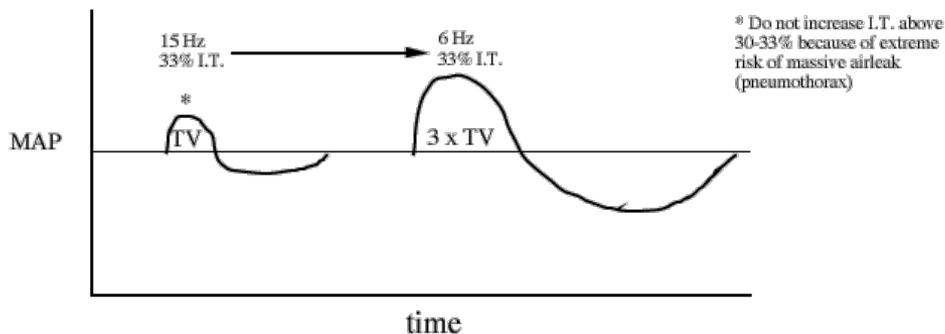
\*\* Changes in frequency are rare

# Why decreasing frequency increases TV

## SensorMedics High Frequency Ventilator

Use a fixed I:E ratio 33:67% (1:2)

J.M. Klein  
University of Iowa



Alveolar ventilation =  $(TV)^2 \times \text{frequency}$   
TV is represented by Amplitude (or Power)

### Frequency Changes

1. At any given Power, a lower Freq will increase the tidal volume (33% of 1/5 sec > 33% of 1/15 sec) and increase alveolar ventilation.
2. Lower Freq will increase total I.T. and may improve oxygenation.
3. Higher Freq will decrease total I.T. and will minimize flow through an airleak.

- A lower frequency means there are fewer breaths per minute
- This means the absolute inspiratory time is increased
- If the I:E ratio is fixed at 1:2, the delta P for a given MAP will lead to a larger tidal volume being delivered

# Detailed guide for reference

Clinical indicator		Therapeutic intervention	Treatment rationale
<b>FiO<sub>2</sub> above 0.60</b>			
High PaCO <sub>2</sub> with:	PaO <sub>2</sub> = acceptable PaO <sub>2</sub> = low PaO <sub>2</sub> = high	Increase ΔP Increase mPaw, ΔP, FiO <sub>2</sub> Increase ΔP, decrease FiO <sub>2</sub>	Increase ΔP to achieve optimal PaCO <sub>2</sub> Adjust mPaw and FiO <sub>2</sub> to improve O <sub>2</sub> delivery Decrease FiO <sub>2</sub> to minimize O <sub>2</sub> exposure
Normal PaCO <sub>2</sub> with:	PaO <sub>2</sub> = acceptable PaO <sub>2</sub> = low PaO <sub>2</sub> = high	No action Increase mPaw, ΔP, FiO <sub>2</sub> Decrease FiO <sub>2</sub>	No action Adjust mPaw and FiO <sub>2</sub> to improve O <sub>2</sub> delivery Decrease FiO <sub>2</sub> to minimize O <sub>2</sub> exposure
Low PaCO <sub>2</sub> with:	PaO <sub>2</sub> = acceptable PaO <sub>2</sub> = low PaO <sub>2</sub> = high	Decrease ΔP Increase mPaw/FiO <sub>2</sub> , decrease ΔP Decrease FiO <sub>2</sub> , ΔP	Decrease ΔP to achieve optimal PaCO <sub>2</sub> Adjust mPaw and FiO <sub>2</sub> to improve O <sub>2</sub> delivery Decrease FiO <sub>2</sub> to minimize O <sub>2</sub> exposure
<b>FiO<sub>2</sub> below 0.60</b>			
High PaCO <sub>2</sub> with:	PaO <sub>2</sub> = acceptable PaO <sub>2</sub> = low PaO <sub>2</sub> = high	Increase ΔP Increase FiO <sub>2</sub> , increase ΔP Increase ΔP, decrease mPaw	Increase ΔP to achieve optimal PaCO <sub>2</sub> Increase FiO <sub>2</sub> to improve PaO <sub>2</sub> Decrease mPaw to reduce PaO <sub>2</sub>
Normal PaCO <sub>2</sub> with:	PaO <sub>2</sub> = acceptable PaO <sub>2</sub> = low PaO <sub>2</sub> = high	No action Increase FiO <sub>2</sub> Decrease mPaw, FiO <sub>2</sub>	No action Increase FiO <sub>2</sub> to improve PaO <sub>2</sub> Decrease mPaw and FiO <sub>2</sub> to reduce PaO <sub>2</sub>
Low PaCO <sub>2</sub> with:	PaO <sub>2</sub> = acceptable PaO <sub>2</sub> = low PaO <sub>2</sub> = high	Decrease ΔP Increase FiO <sub>2</sub> , decrease ΔP Decrease mPaw, decrease ΔP	Decrease ΔP to achieve optimal PaCO <sub>2</sub> Decrease ΔP and increase FiO <sub>2</sub> to improve PaCO <sub>2</sub> Decrease mPaw

# Transport with HFOV?

- Can I transport with HFOV?
  - Sort of? - It would require multiple tanks of O<sub>2</sub> and a battery pack. If patient is too unstable for transport, consider doing the procedure at bedside
  - Moving a patient while on HFOV *has* been described in the literature (Lee et al 2012: Using the High Frequency Ventilation during Neonatal Transport)
  -



# Can I use nitric oxide with HFOV?

- Yes! This is well described, especially in the PPHN population
  - Kinsella et al (1997): “Randomized, multicenter trial of inhaled nitric oxide and high-frequency oscillatory ventilation in severe, persistent pulmonary hypertension of the newborn” found that “treatment with HFOV plus iNO is often more successful than treatment with HFOV or iNO alone in severe PPHN”

# When in doubt...

Ask for help!

- Respiratory therapy team
  - RT Supervisor x 19613
  - OR RT on Voalte
- NICU MDs



# Summary

- HFOV is a useful ventilatory modality that can provide lung protective ventilation/oxygenation, especially when conventional ventilation is inadequate
- HFOV can be safely and effectively continued intraoperatively
- HFOV delivers an unknown tidal volume -> must check blood gases or trend TCOMs
- Not wiggling = not ventilating
- Higher MAP = more oxygenation
- Higher amplitude (delta P or power) = more ventilation
- It is highly unlikely you will need to adjust the I-time, frequency, or bias flow
- Have a plan for transport (or not-transporting if patient is too unstable)
- You can use nitric oxide, but not volatile agents. Plan on TIVA.
- When in doubt, ask for help!

# References

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