

# **Determining Dose in the Era of Targeted Anticancer Therapies**

Shivaani Kummar, MD, FACP  
Professor of Medicine (Oncology)  
Director, Phase I Clinical Research Program  
Co-Director, Translational Oncology Program  
Stanford University School of Medicine

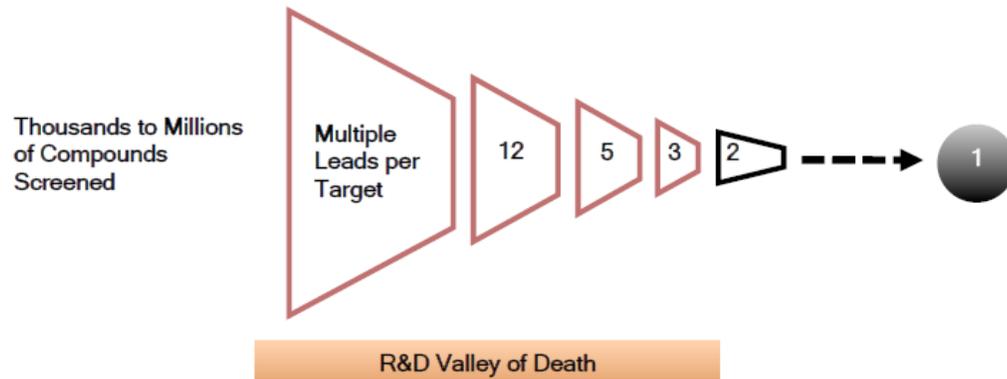
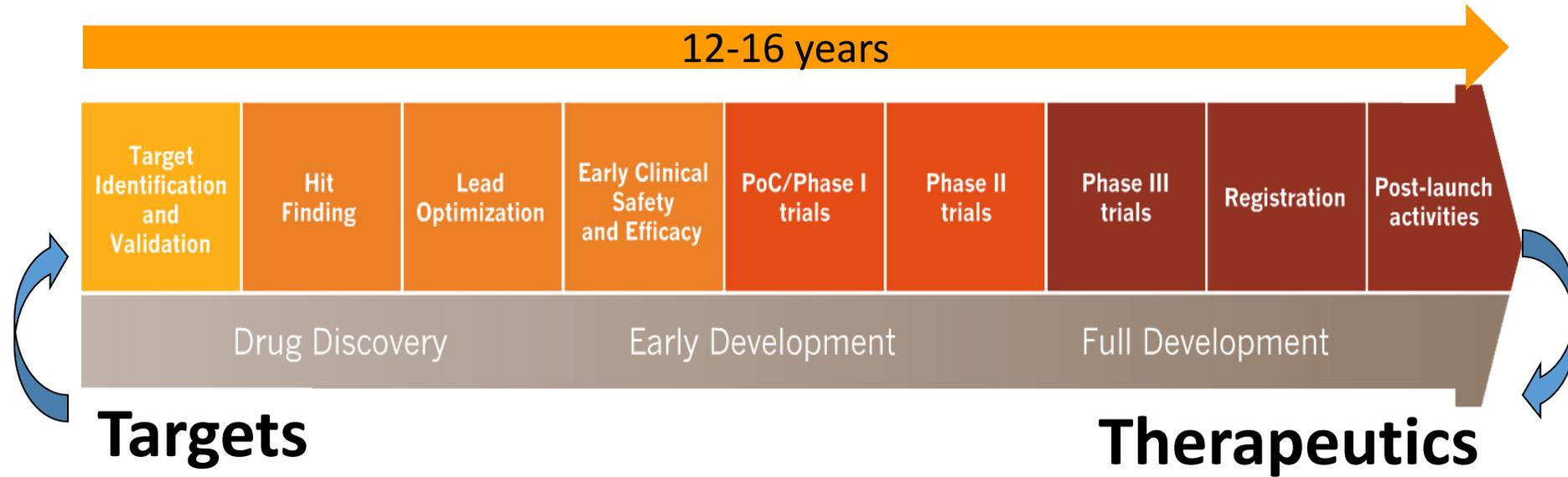
May 12, 2017

# Clinical Trial Process

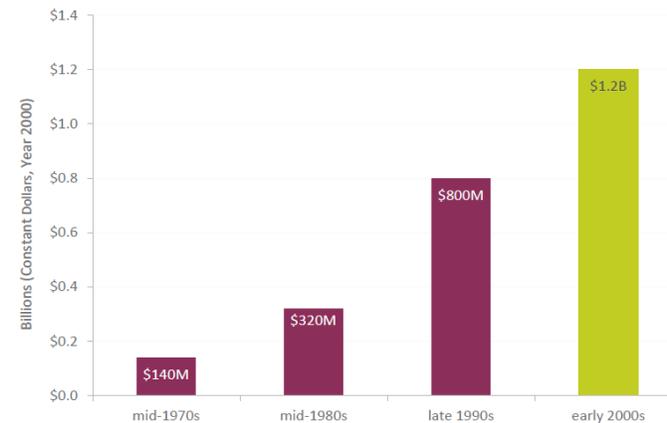
---

- 1906: **Pure Food and Drugs Act** -protect against misbranding and adulteration of foods, drinks, and drugs
- 1938: **Food, Drug and Cosmetic Act** –pre-market proof of safety ( in response to elixir sulfanilamide, which contained a solvent analog of antifreeze, resulting in deaths)
- 1962: **Kefauver–Harris Amendment** to the 1938 Food, Drug, and Cosmetic Act (in response to birth defects arising from thalidomide) required that sponsors seeking approval of new drugs demonstrate the drug's efficacy, in addition to its safety, through a formal process that includes "adequate and well-controlled" clinical trials as the basis to support claims of effectiveness.
- 1970: first **package insert** required (information for patients on risk/benefits)
- 1997: **Regulatory Modernization Act**: Creates a law allowing FDA to “fast track” products
- 2012: **FDA Safety and Innovation Act (FDASIA)**-’breakthrough therapy designation’

# Drug Development Pipeline



The Average Cost to Develop One New Approved Drug — Including the Cost of Failures



# Stages of Clinical Research

---



First-in-human trials: Safety and tolerability; Dose Across tumor types

How much to give and how?

20-30 patients

Determine clinical benefit in patients with a type of disease

Does it work in some patients with one type of disease?

50-100 patients

Compare to existing standard of care

Does it work better than what is already out there?

>500-3000 pts

Post-marketing safety studies

Is it safe in large populations?

1000s of patients



# The Purpose of Toxicology Evaluation in Drug Development

---

Toxicology studies are **not** about proving the safety of a molecule.

They are intended to characterize the sequence and extent of adverse effects as they relate to dose/exposure.

Performed in two mammalian species, usually rat and dog.  
Have to be conducted in accordance with Good Laboratory Practices (21CFR 58)

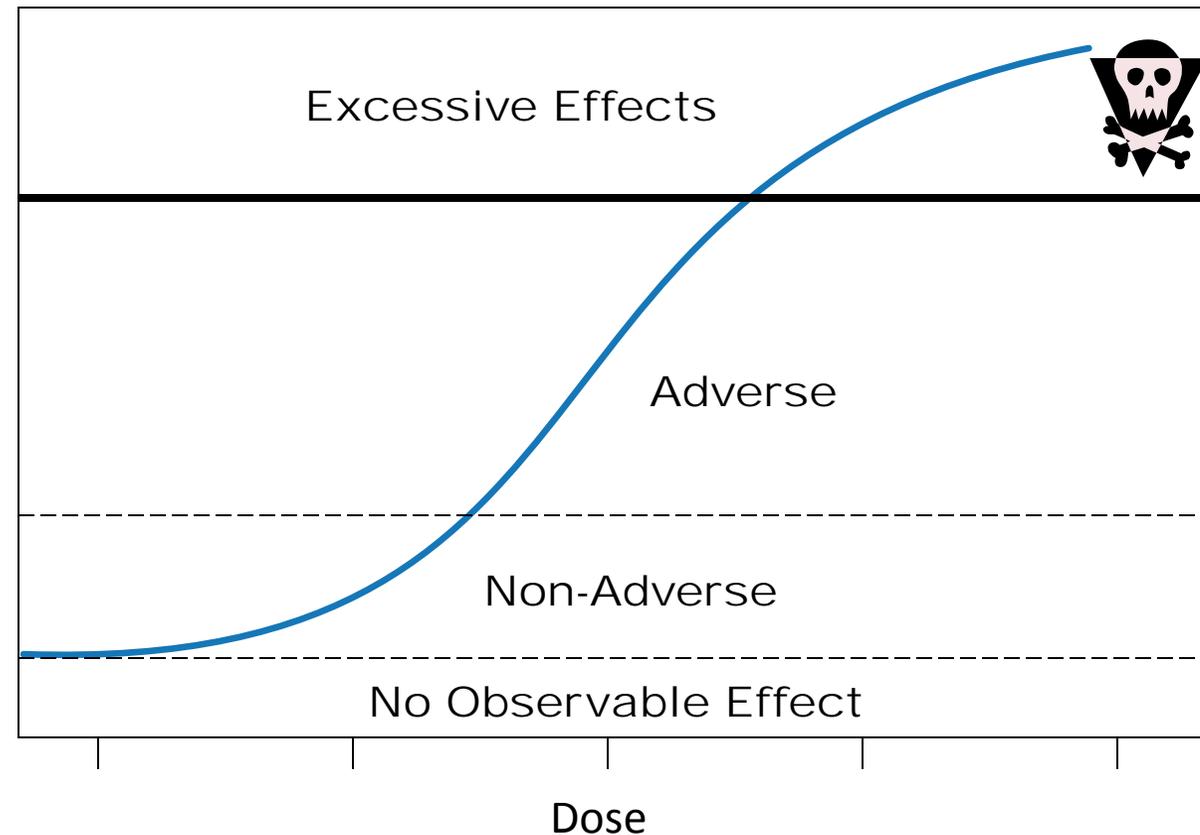
Recommended reading-The no-observed-adverse-effect-level in drug safety evaluations: Use, issues, and definition(s), Michael A. Doratoa and Jeffery A. Engelhardt

Regulatory Toxicology and Pharmacology Volume 42, Issue 3, August 2005, Pages 265-274

# The Concept of “*Margin of Safety*”

**Highest Dose/Exposure  
Associated with No  
Toxicity (NOEL)  
or “Manageable”  
Toxicity (NOAEL)**

**Efficacious  
Dose/Exposure in  
Appropriate  
Test System**



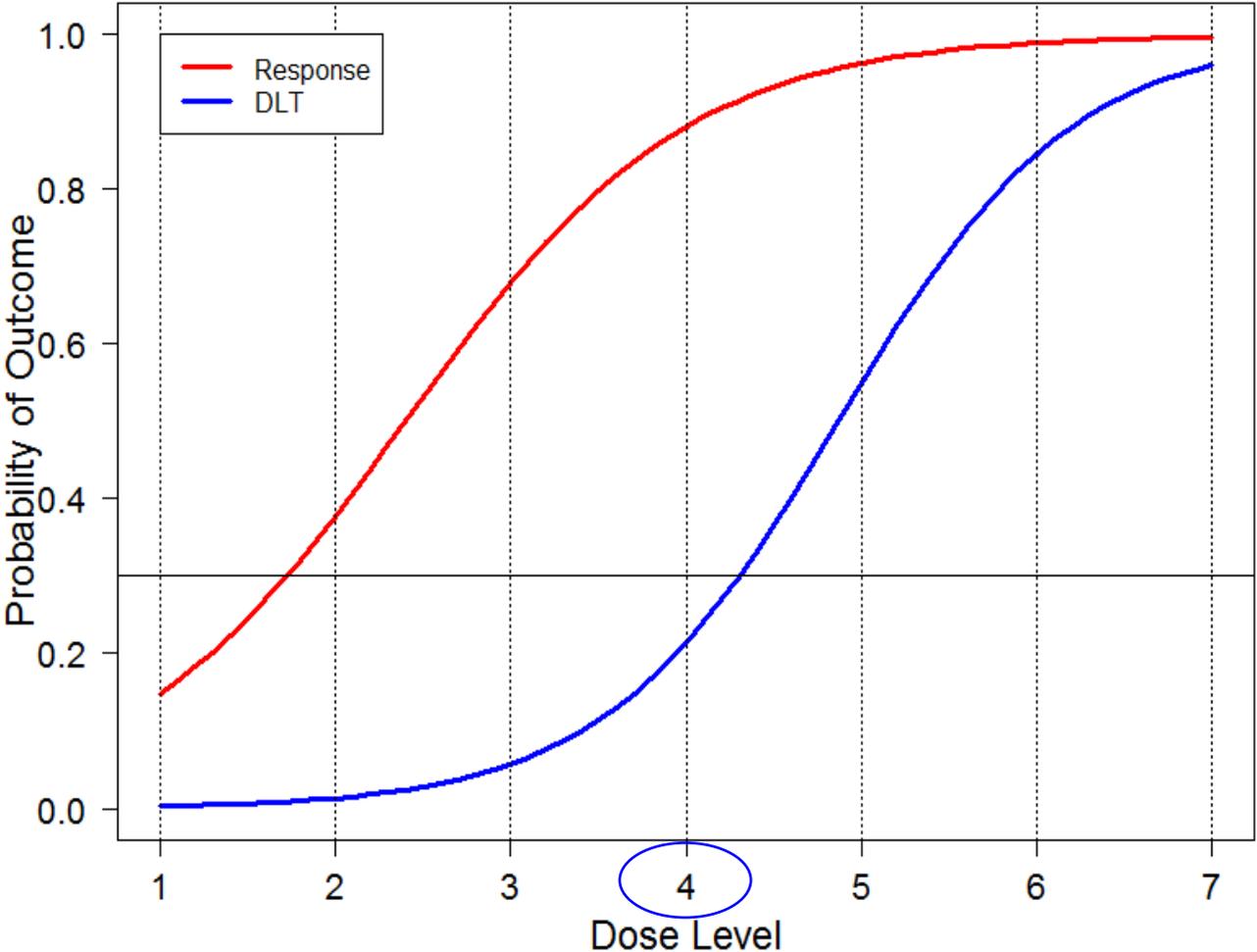
The NOAEL is the dose on the toxicology dose–response curve that is compared to the pharmacodynamic effective dose to establish the MOS.

# Maximum Recommended Starting Dose (MRSD) for First In Human Trials

---

- **Step 1: Determination of the No Observed Adverse Effect Level (NOAEL)**
- **Step 2: Conversion of NOAEL to Human Equivalent Dose (HED)**
- **Step 3: Selection of the most appropriate animal species**
- **Step 4: Application of a safety factor to determine MRSD**
- **Step 5: Compare MRSD with pharmacologically active dose (PAD)**
- **Selection of MRSD**

# Toxicity driven dosing : Hypothetical dose-response and dose-toxicity (DLT) curves



# Dose Escalation

---

- Rule-based designs:
  - Assign patients to dose levels according to pre-specified rules based on actual observations of target events (e.g., the dose-limiting toxicity) from the clinical data. (3+3 design)
- Model-based designs:
  - Assign patients to dose levels and define the MTD for phase II trials based on the estimation of the target toxicity level by a model depicting the dose–toxicity relationship. (Continuous reassessment method)

# Phase I Trial Designs

---

- **Traditional 3+3 design:**
  - Treat 3 patients at dose D:
  - If 0 patients experience a DLT, escalate to dose D+1
  - If 2 or more patients experience DLT, de-escalate to level D-1
  - If 1 patient experiences DLT, treat 3 more patients at dose level D
    - If 1 of 6 experiences DLT, escalate to dose level D+1
    - If 2 or more of 6 experiences DLT, de-escalate to level D-1
  - The MTD is defined as the highest dose at which 0 or 1 patient out of 6 enrolled at the dose have a DLT.
- Modified Fibonacci sequence: the dose first increases by 100%, and then 67%, 50%, 40%, and 30%–35% of the preceding doses
- An excessive number of escalation steps, large proportion of patients e treated at low (i.e. potentially sub-therapeutic) doses
- Alternate rules proposed: “2+4,” “3+3+3,” and “3+1+1” (“best of five”) rules

# Accelerated Titration Designs

- 40% and 100% dose escalations
- Single patient cohorts until a dose-limiting toxicity or two moderate toxicities are observed during cycle 1 or any cycle; then revert to 3+3 design
- Reduces the number of patients who are treated at sub-therapeutic doses

## Pharmacologically Guided Dose Escalation

- Assumes that dose-limiting toxicities can be predicted by plasma drug concentrations and that animal models can accurately reflect this relationship in humans
- As long as the pre-specified plasma exposure is not reached, dose escalation proceeds with one patient per dose level and typically at 100% dose increments
- Requires real time PK; difficulty in extrapolating from animal data, risk of toxicity if AUC was atypically low in the previous patient.

# Continual Reassessment Method

---

- First Bayesian model-based method proposed in 1990
- Data from all toxicities observed during the trial are used to determine the MTD
- The occurrence of toxicity (or not) in patients enrolled at each dose level provides additional information for the statistical model and results in an adjustment of  $\theta$  (which represents the slope of the dose–efficacy or dose-toxicity curve)
- Allows for rapid dose escalation
- Needs statistical support
- Concern for overdose if model incorrect
  - led to the Escalation with Overdose Control (EWOC) design

		No. of Patients Treated at Current Dose																													
		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
No. of Toxicities	0	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	
	1	D	S	S	S	S	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	
	2		DU	D	S	S	S	S	S	S	S	S	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	E	
	3			DU	DU	D	S	S	S	S	S	S	S	S	S	S	E	E	E	E	E	E	E	E	E	E	E	E	E	E	
	4				DU	DU	DU	D	D	S	S	S	S	S	S	S	S	S	S	S	S	E	E	E	E	E	E	E	E	E	
	5					DU	DU	DU	DU	DU	D	S	S	S	S	S	S	S	S	S	S	E	E	E	E	E	E	E	E	E	
	6						DU	DU	DU	DU	DU	DU	D	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	
	7							DU	D	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S							
	8								DU	D	S	S	S	S	S	S	S	S	S	S	S	S	S								
	9									DU	S	S	S	S	S	S	S	S	S	S											
	10										DU	D	S	S	S	S	S	S	S	S											
	11											DU	S	S	S	S	S														
	12												DU	S	S																
	13													DU	S																
	14														DU																
	15															DU															
	16																DU														
	17																	DU													
	18																		DU												
	19																			DU											
	20																				DU										
	21																					DU									
	22																						DU								
	23																							DU							
	24																								DU						
	25																									DU	DU	DU	DU	DU	DU
	26																										DU	DU	DU	DU	DU
	27																											DU	DU	DU	DU
	28																												DU	DU	DU
	29																													DU	DU

E = Escalate to the next higher dose  
 S = Stay at the current dose  
 D = De-escalate to the next lower dose  
 U = The current dose is unacceptably toxic  
 MTD = 30%  
 Sample size = 30

Dose-finding spreadsheet of the **modified Toxicity Probability Interval (mTPI)** method. The spreadsheet is generated based on a beta/binomial model and precalculated before a trial starts. The letters in different colors are computed based on the decision rules under the mTPI method and represent different dose-finding actions. In addition to actions de-escalate the dose (D), stay at the same dose (S), and escalate the dose (E), the table includes action unacceptable toxicity (U), which is defined as the execution of the dose-exclusion rule in mTPI. MTD, maximum-tolerated dose.

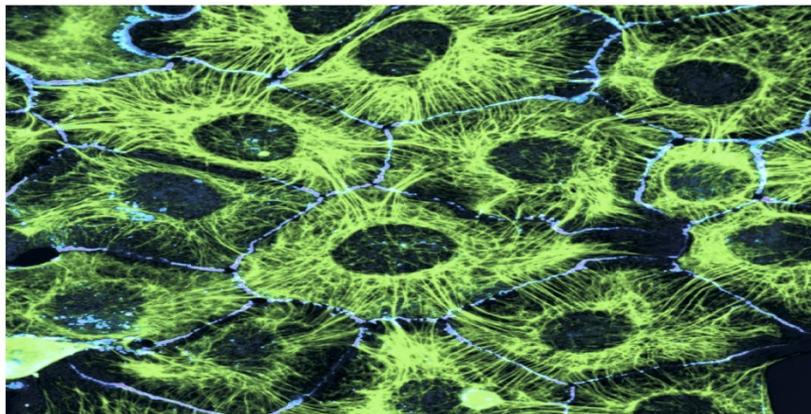
# Translation of statistical designs into practice phase I trial designs

---

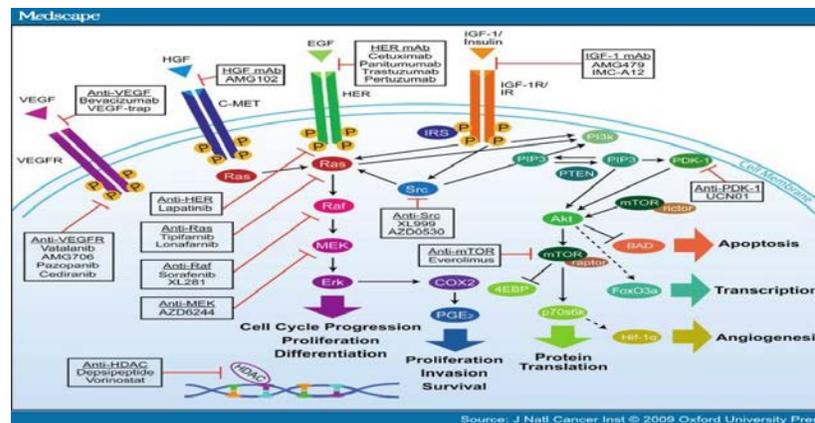
- Modeling of Bayesian adaptive designs demonstrates that more patients are treated at optimal doses compared with standard up-and-down methods
- Abstract records of 1235 cancer clinical phase I trials from the Science Citation Index database between 1991 and 2006 were evaluated along with 90 statistical studies
- Only 1.6% of the phase I cancer trials (20 of 1,235 trials) followed a design proposed in one of the statistical studies.
- All the rest followed the standard up-and-down methods

# Changing Landscape of Drug Development

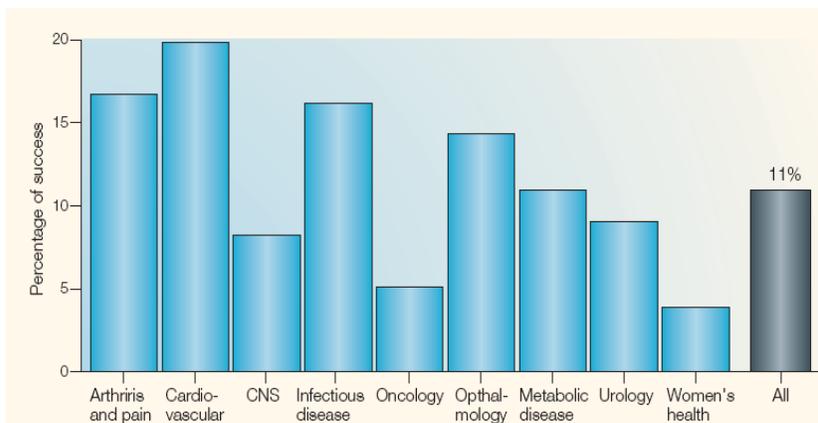
## Increased Understanding of Cancer Biology



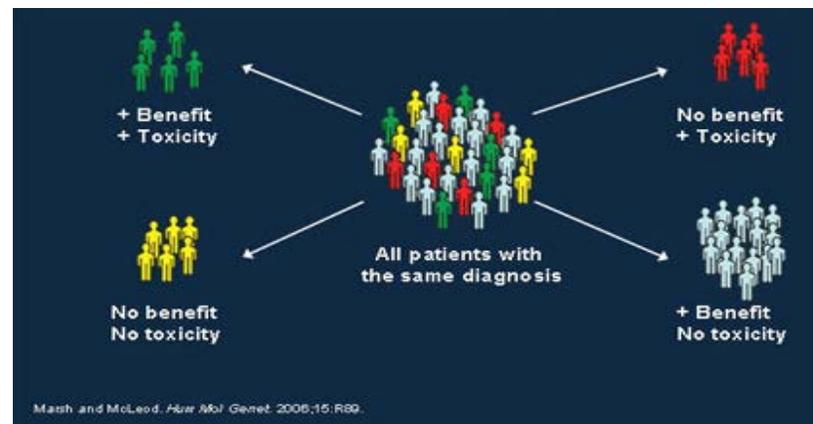
## Advent of Targeted Therapies



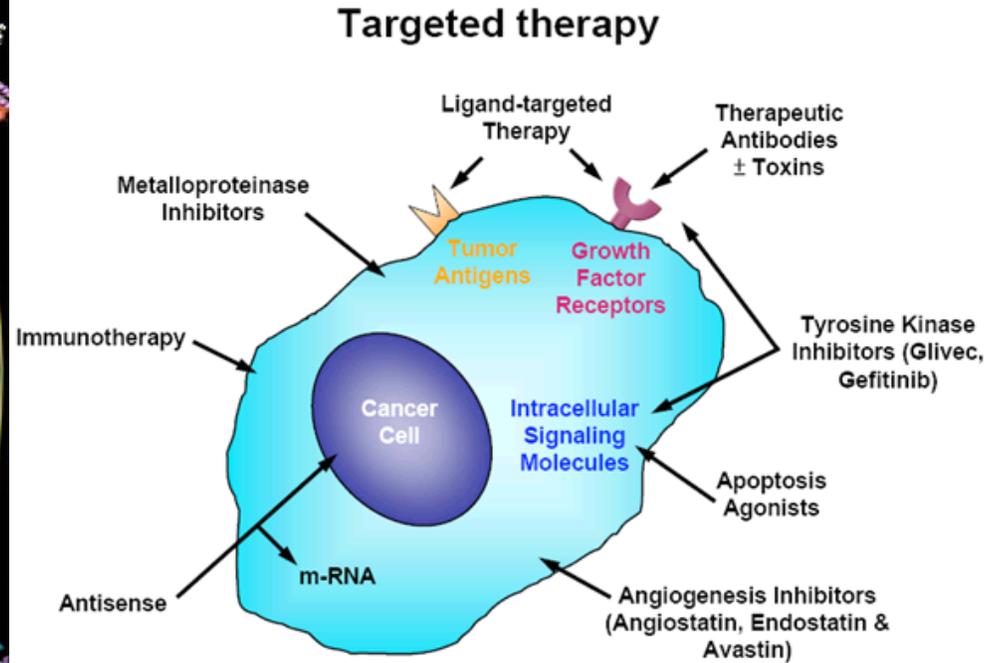
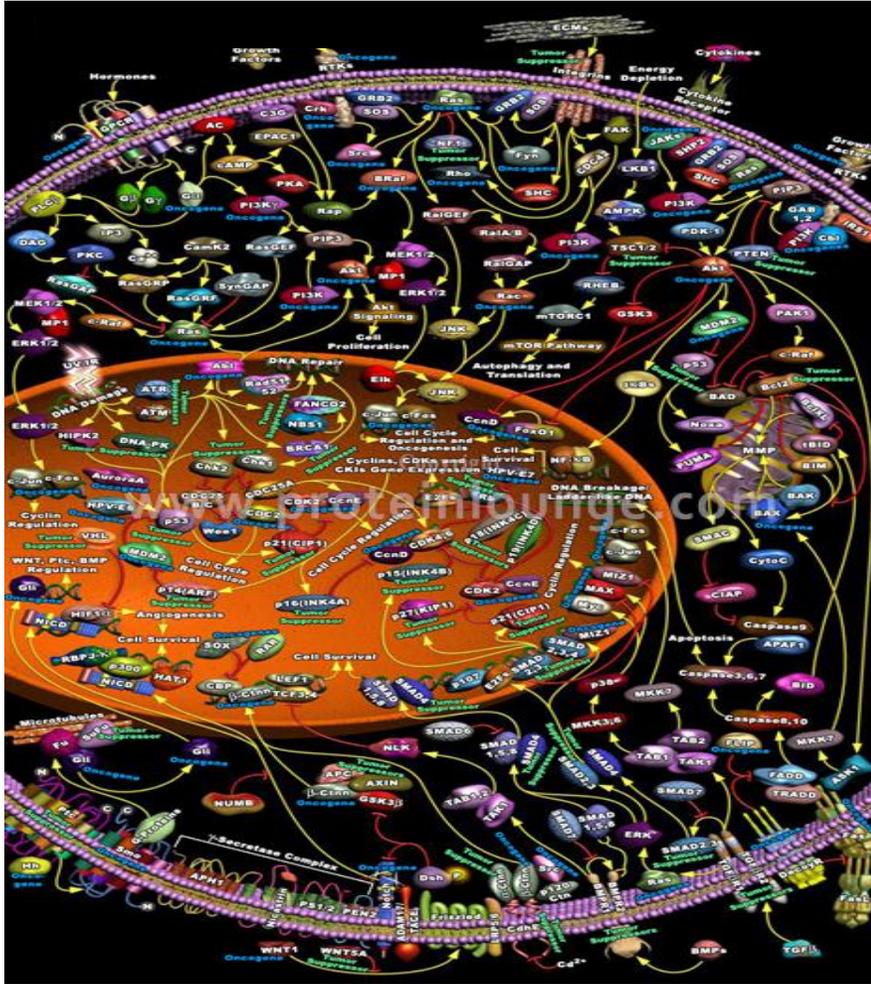
## High Attrition Rates/High Costs



## Personalized Medicine



# Development of molecularly targeted therapies

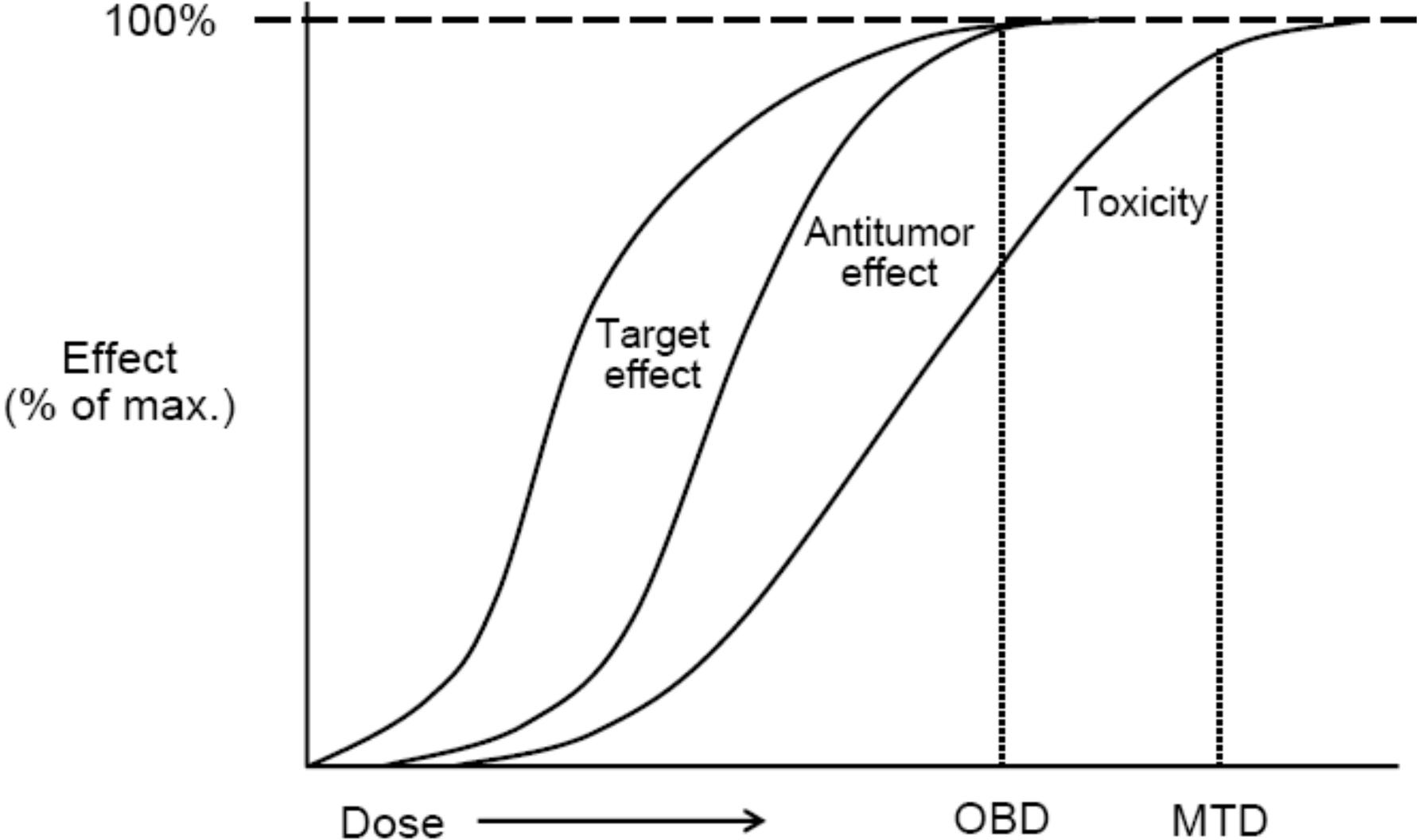


- Target is important for disease initiation or progression
- Agent modulates the target and this modulation is associated with a desired effect in preclinical models

## Development of Cytotoxic Versus Molecularly Targeted Agents

	Conventional chemotherapy	Molecularly targeted agents
Cellular effects	Cytotoxic	May be cytostatic
Toxicity	Usually nonspecific multiple organ system; often bone marrow, gastrointestinal, hepatic	Presumably less toxic; target specific or off-target
Phase 1 primary end-points	Characterize toxicities; DLT, MTD; evaluate PK	Determine target inhibition, OBD; evaluate PK & toxicities
Patient selection	Disease histology	Molecular pathology or presence of target(s)
Phase 2 efficacy trial end-points	Objective tumor response (tumor shrinkage)	Objective tumor response or stabilization (progression-free survival)
Measures of efficacy	Anatomical imaging	Anatomical or functional imaging
Time to clinical response	Relatively short (e.g., 6–8 weeks)	Relatively late; may require prolonged dosing for therapeutic effect

# Dose-effect curves for the antitumor and toxic effects of a MTA

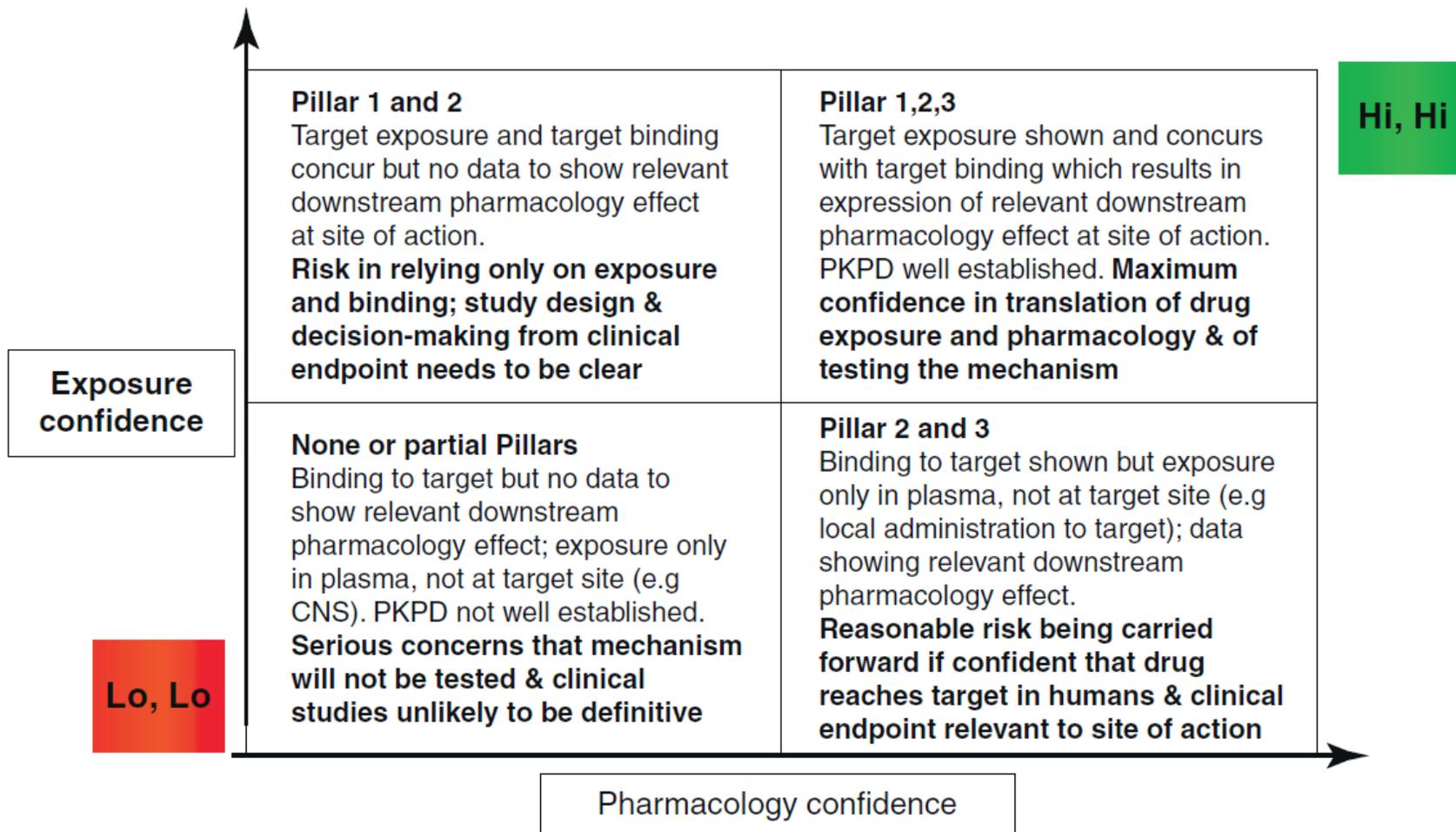


# Three pillars for successful transition from early phase to late phase

Exposure at the target site of action over a desired period of time

Target occupancy/binding s expected for its mode of action

Functional modulation of target

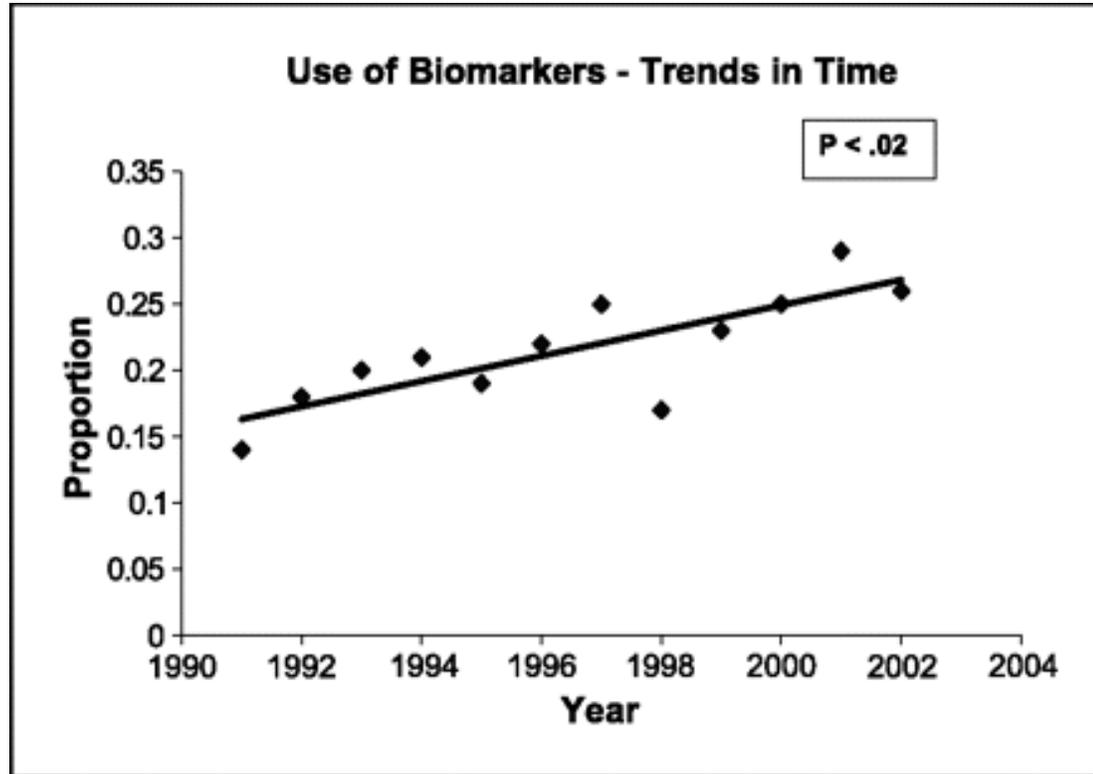


# Designing the first-in-human trial

---

1. Assess target modulation
  - Directly or measure effect on a disease process
    - Possess validated PK and PD assays that accurately and reproducibly measure drug levels and allow evaluation of drug effect
2. Dose and schedule
  - Starting dose and schedule based on preclinical data
  - Incrementally increase dose-MTD or OBD?
  - Degree and duration of inhibition
3. Patient Selection-select based on presence of target

# Trends in Biomarker Utilization



## **Biomarker:**

A biomarker is defined as a characteristic that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes, or a pharmacologic response to a therapeutic intervention

ASCO abstracts between 1991 to 2002 that included biomarkers went up from 14% to 26%, 1 out of 87 trials used biomarker as the basis for phase II dose selection.

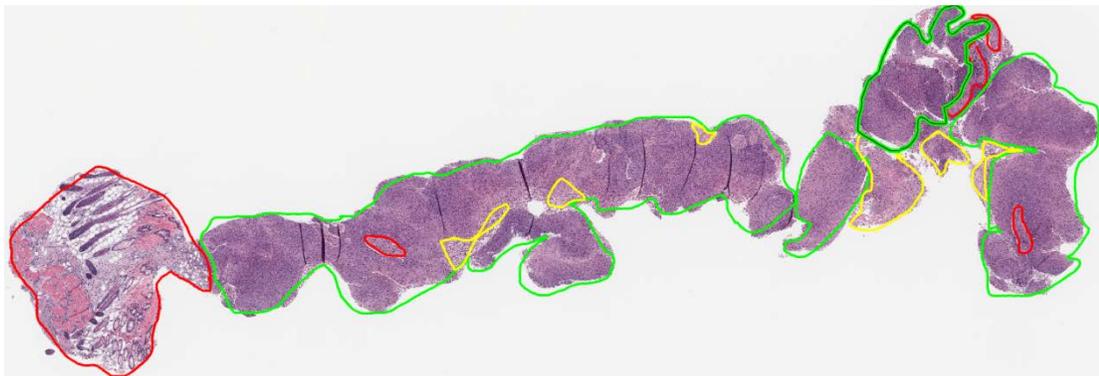
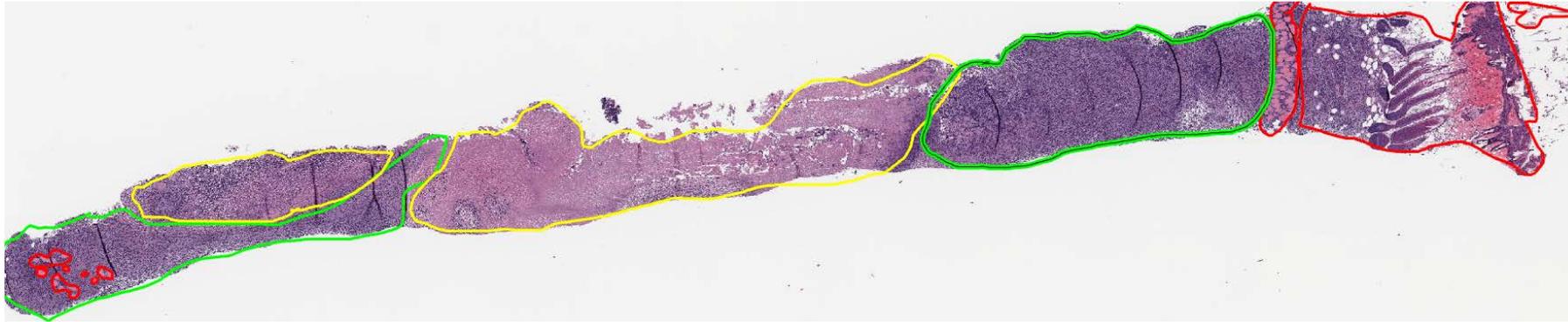
# Why are successful biomarker studies uncommon?

---

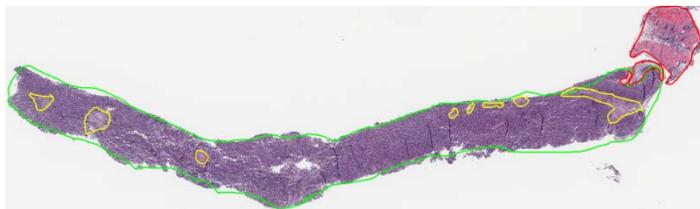
- **Biological heterogeneity**
  - Cellular, tumor, patient
  - Target; Tissue of interest
  - Stability
  - Day to Day variability within patient
  - Other medical conditions affecting target
- **Assay variability**
  - Within assay, between assays
- **Specimen variability**
  - Specimen handling and processing
  - Sampling procedures and amount of sample
- **Logistical and resource considerations:** Lab tests whose results are used for patient management must be validated, performed and reported by a CLIA-certified laboratory (Clinical Laboratory Improvement Amendments, Centers for Medicare & Medicaid Services (CMS))

# What should be the tumor content of the biopsy?

$$\text{Tumor content (\%)} = \text{Tumor area} / (\text{Viable Tumor} + \text{Necrotic Tumor} + \text{Stroma area})$$



**Green** – Tumor tissues  
**Yellow** – Necrotic tissues  
**Red** – Stroma Tissue



**Tumor content in the biopsies: amount of minimal necrosis and stromal tissue; tumor enrichment using macrodissection.**

# Reason for indeterminate results from tumor biopsies

Cause of Insufficiency Based on One Image Minimum	Number of Biopsies	Percentage
Damaged After Collection	3/23	13%
No Tumor	4/23	17%
<b>Low Tumor Content</b>	<b>16/23</b>	<b>70%</b>

- Main reason for insufficiency is no or low tumor content, 87% (20/23) of failures and 27% (20/73) overall.
- ~45% of all liver biopsies were insufficient due to no or low tumor content (32% insufficiency for all tissues combined).
- Two trials sample sets evaluated showed a trend in decreased tumor content in the post dose biopsy (Post dose time points were Day 6 or Day 8).

Tissue of Origin	Insufficient Biopsies
Liver	9
Pleural	1
Axilla Node	1
Periumbilical Node	2
Cervical Mass	3
Supraclavicular Node	4

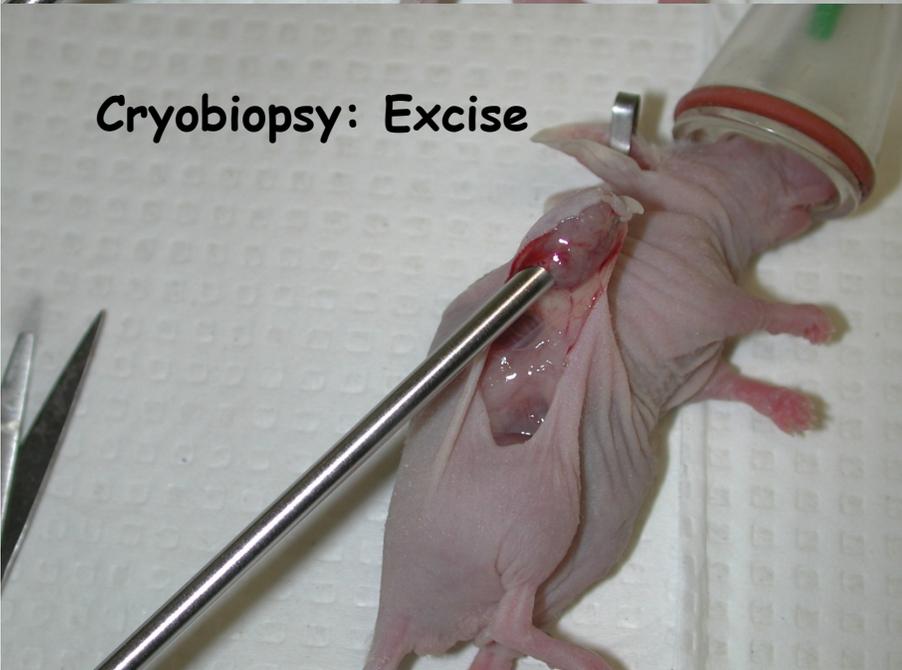
**Standard 18 gauge Bx**



**Cryobiopsy: Freeze**



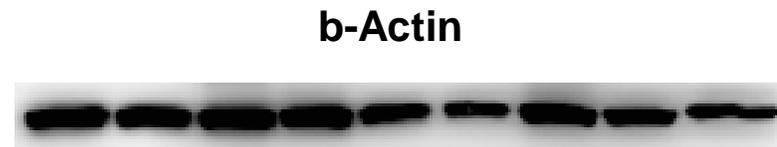
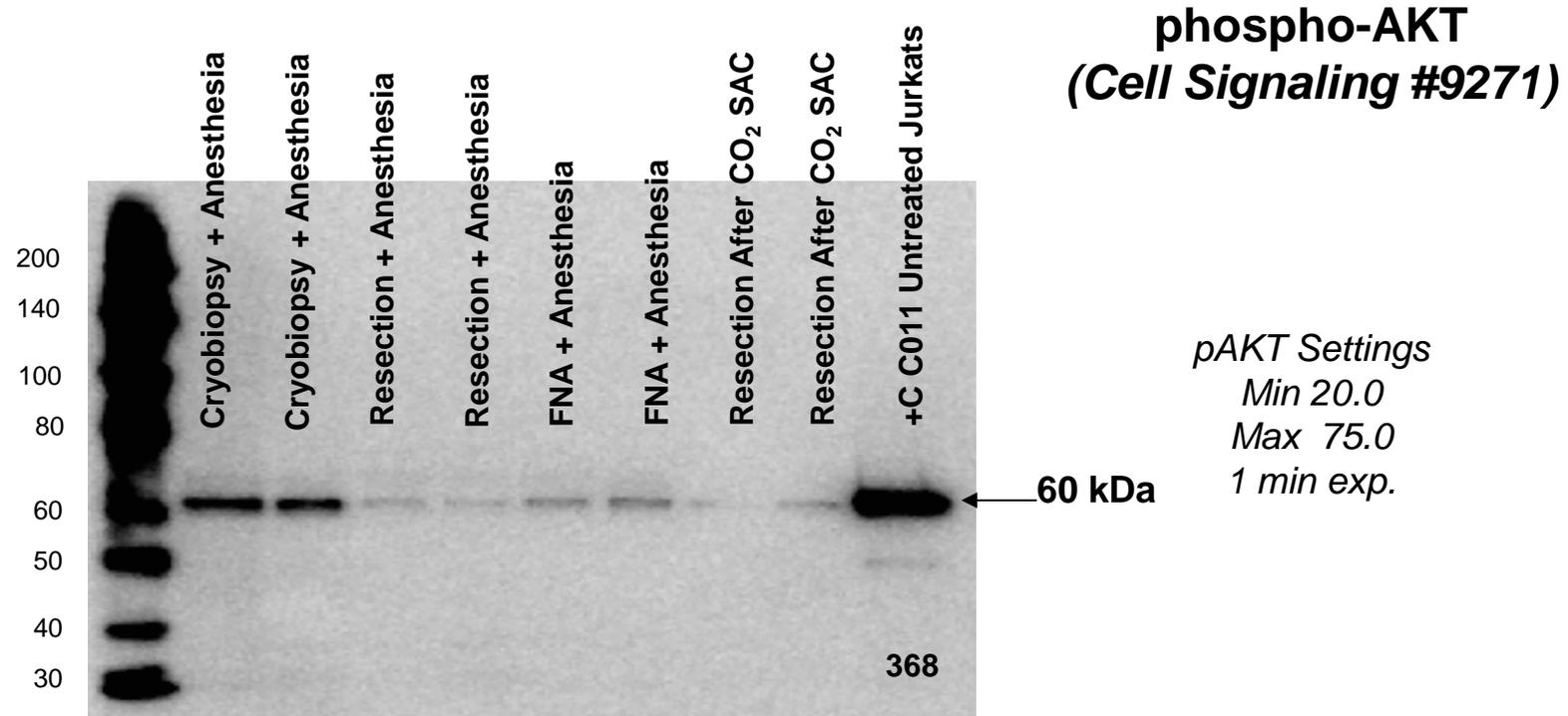
**Cryobiopsy: Excise**



**Excisional Biopsy**



# Comparing Effect of 4 Tumor Harvest Methods on pAKT Levels



*Actin Settings  
Min 20.0  
Max 3000.0  
30 sec exp.*

**Separated on an 8% Tris-Gly Gel**



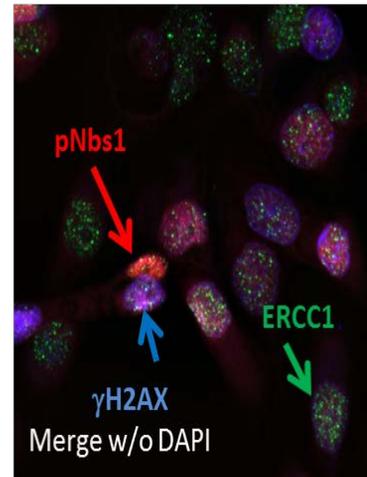
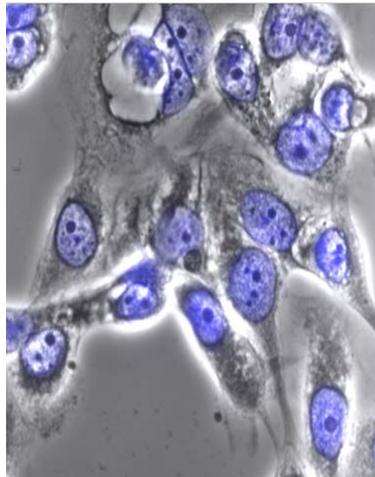
# Developing Multiplex Assays

- Require PD assays prior to clinical trials: Establish Proof-of-Mechanism
- Multiplex platforms
  - Evaluate multiple targets and downstream effectors
  - Build on successful  $\gamma$ H2AX quantitative IFA
  - Focus on DNA damage/repair & apoptosis
- Define tissue handling, background levels, time course, calibrators
- High priority agents for NCI trials: PARPi; ATRi; XIAP inhibitors

## DNA Damage/Repair Panel

UWB1.289, SN38 (1 $\mu$ M, 4h)

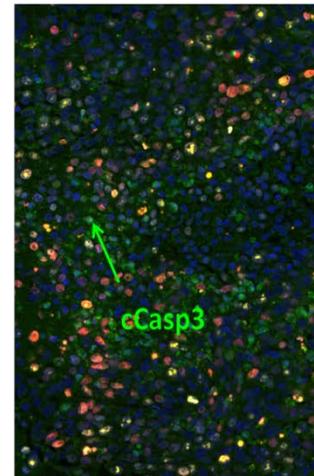
40X Widefield + Phase



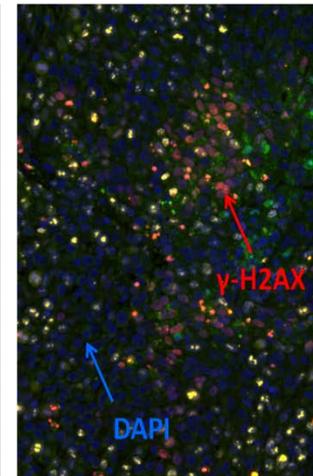
## Apoptosis Panel

A375 Xenograft: 25 Mg/Kg TL32711

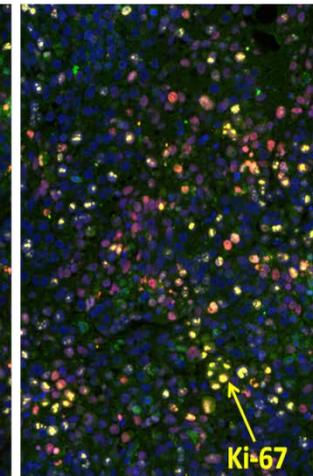
2hr



4hr



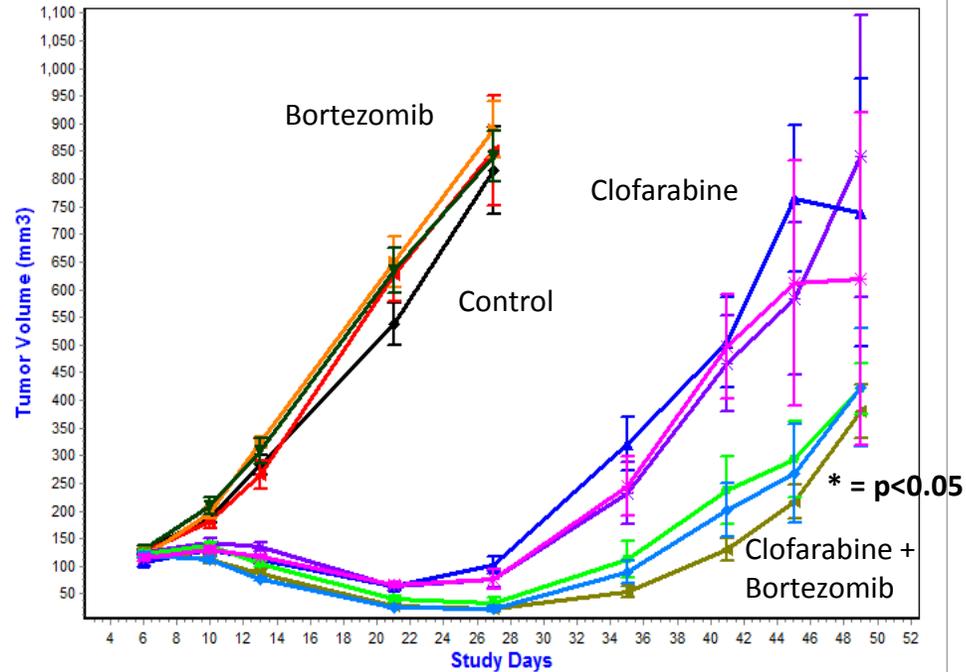
7hr



- DAPI
- Ki-67
- cCasp3
- $\gamma$ H2AX

# Multiplex Assays: Correlating Efficacy with MOA

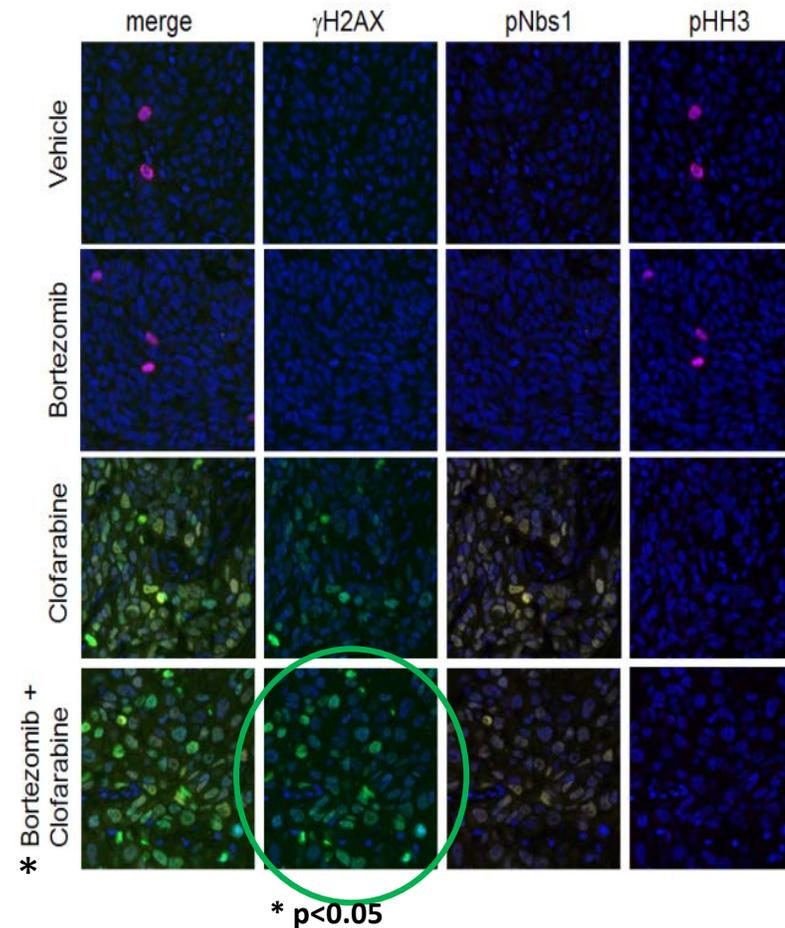
Study Number: YKR2-118



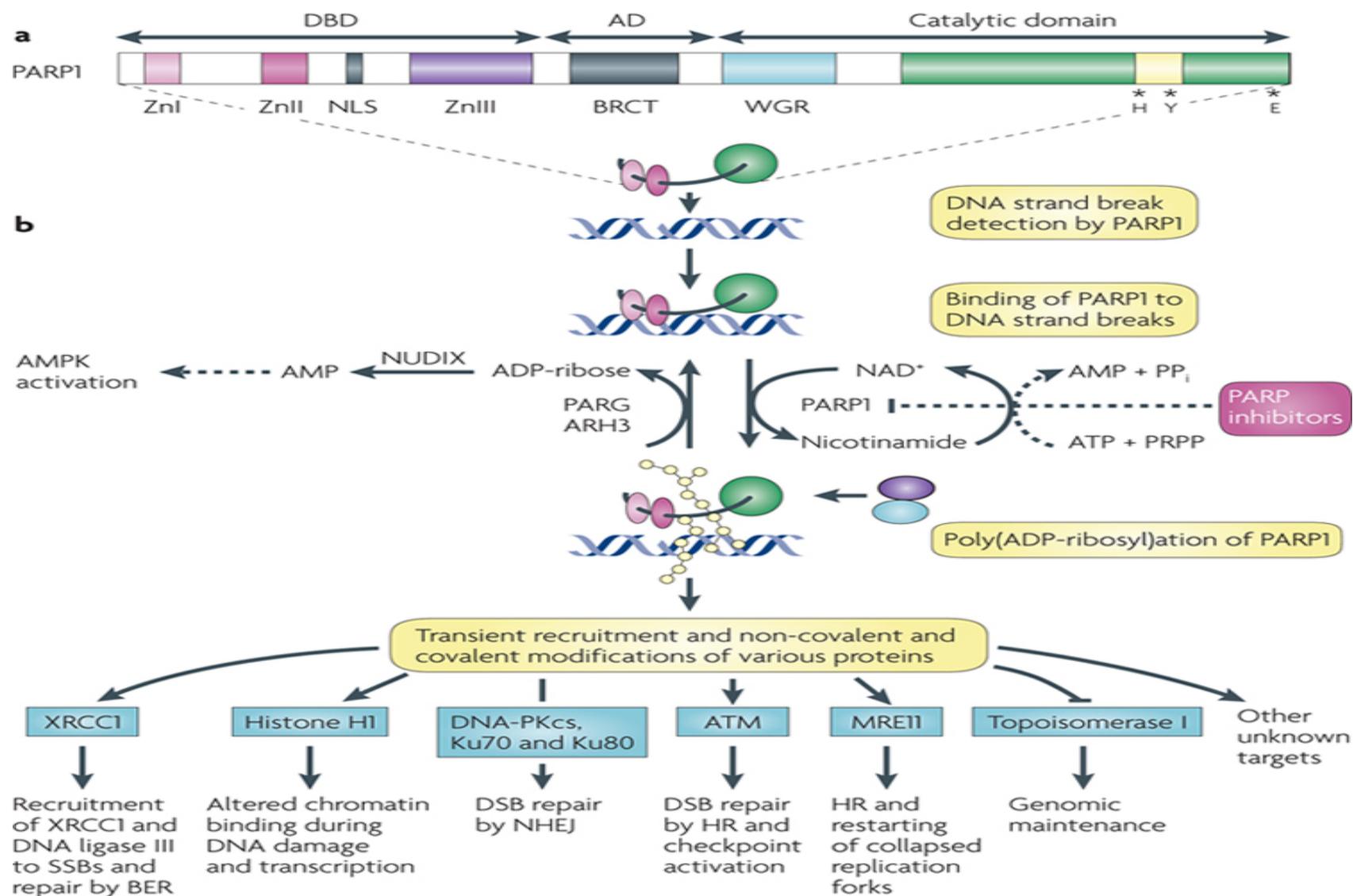
## HCT-116 Colon Xenograft

Similar results in HT-29 (colon) and NCI-H522 (lung) xenografts

## DNA Damage Panel



# Poly (ADP-ribose) Polymerase [PARP 1]



# Temporal Effects of Single-Dose ABT-888 on PAR Levels in Colo829 Xenografts

<b>ABT-888* + 2 hours</b>							
	<b>Vehicle</b>	<b>1.56</b>	<b>3.13</b>	<b>6.25</b>	<b>12.5</b>	<b>25</b>	<b>TPT 15*</b>
<b>Mean</b>	18029 ± 6711	1361 ± 874	454 ± 786	293 ± 457	159 ± 319	143 ± 299	32701 ± 19583
<b>95% CI</b>	10986–25072	444–2278	LLQ–1279	LLQ–773	LLQ–666	LLQ–393	12150–53252
<b>ABT-888* + 5 hours</b>							
	<b>Vehicle</b>	<b>1.56</b>	<b>3.13</b>	<b>6.25</b>	<b>12.5</b>	<b>25</b>	<b>TPT 15*</b>
<b>Mean</b>	20002 ± 6076	11392 ± 6375	13274 ± 10913	10606 ± 9062	7907 ± 3899	4023 ± 2332	19904 ± 12658
<b>95% CI</b>	13626–26378	3477–19307	1822–24726	LLQ–21858	3066–12748	1128–6918	6620–33188
<b>ABT-888* + 24 hours</b>							
	<b>Vehicle</b>	<b>1.56</b>	<b>3.13</b>	<b>6.25</b>	<b>12.5</b>	<b>25</b>	<b>TPT 15*</b>
<b>Mean</b>	18866 ± 5185	33927 ± 17651	11353 ± 3358	10404 ± 4173	8342 ± 7753	8794 ± 4957	1917 ± 2332
<b>95% CI</b>	6070–31662	12010–55844	4062–18644	37–20771	LLQ–17969	LLQ–21108	LLQ–4365

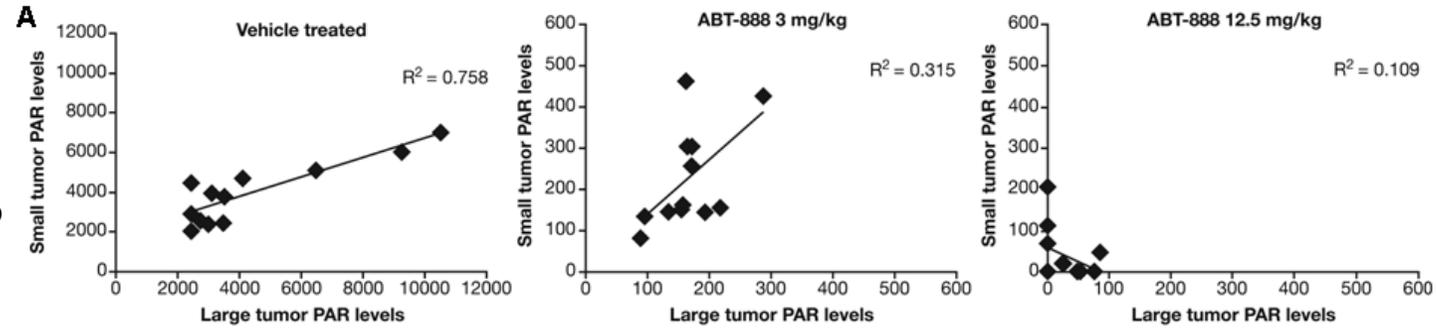
\*All doses are mg/kg. n = 6 animals per group; whole xenografts were surgically excised and half of the excised specimen was measured in the PAR immunoassay at protein loads of 10 to 20 µg per well.

Single-dose topotecan was administered by intraperitoneal injection as an additional control. Collection time points were selected to mimic the time points in the clinical trial. All units are pg PAR/mL per 100 µg protein.

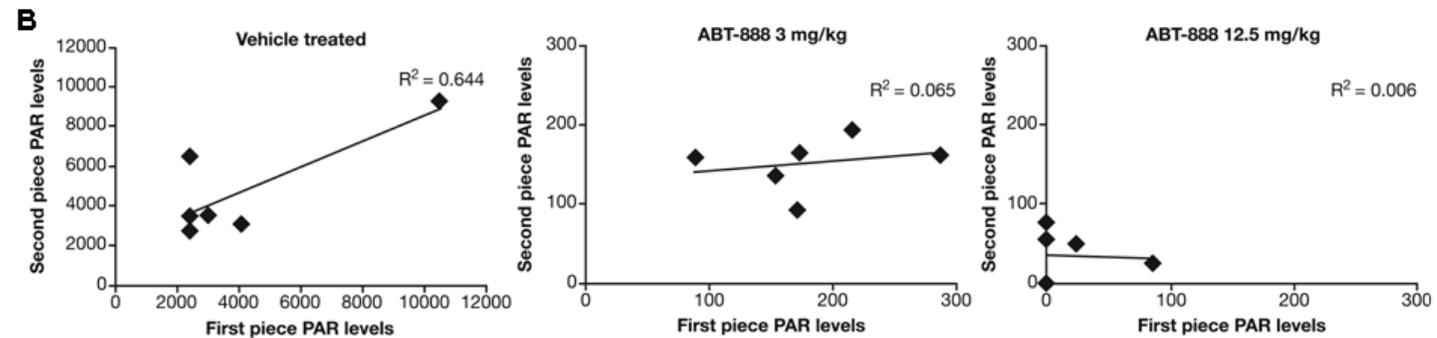
TPT = topotecan; LLQ = lower limit of quantitation of the assay.

# Inter- and Intra-Tumor Variability of PAR Levels in Colo829 Xenografts in Vehicle- and ABT-888-Treated Mice

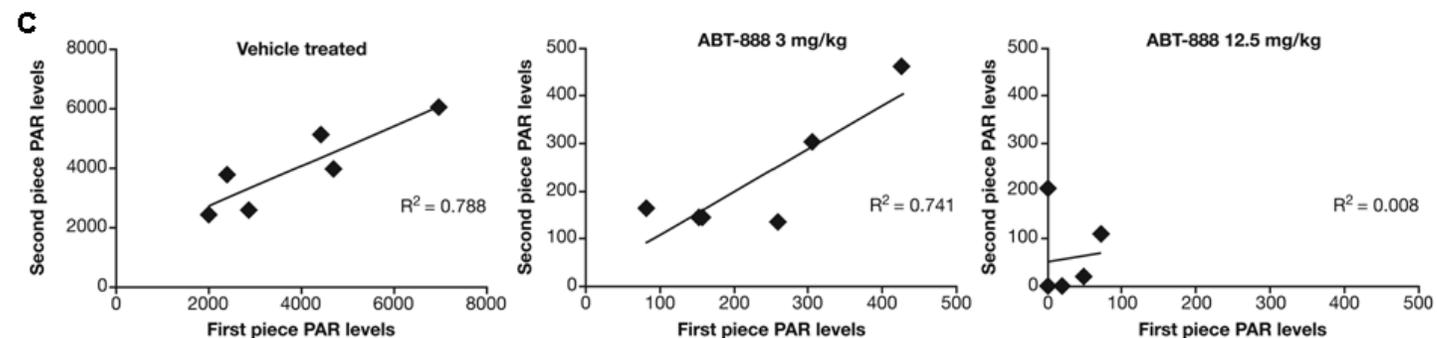
Correlation of PAR levels between resected **small and large tumors**. The difference in scale of PAR values in vehicle compared with ABT-888 treatment groups is due to significant drug suppression of PAR.



Correlation of PAR levels between first and second quadrants dissected from resected **large tumors**.

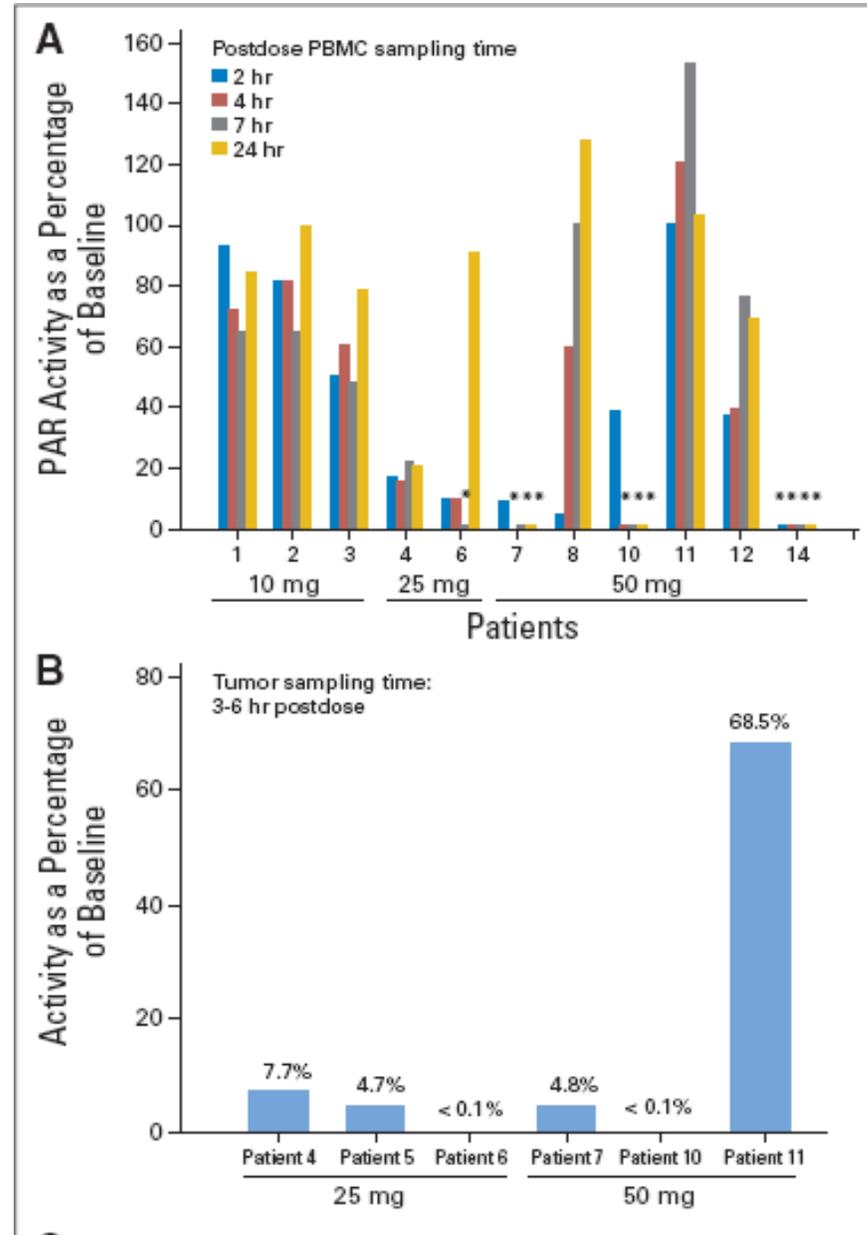
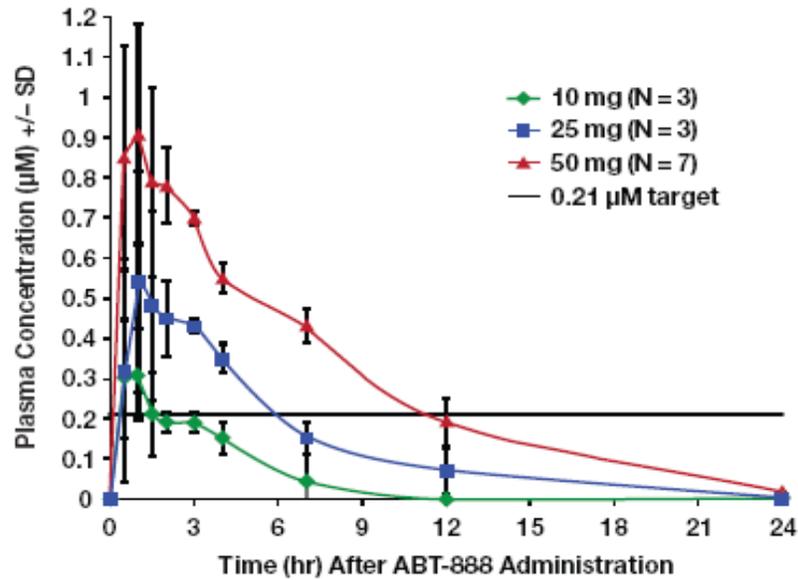


Correlation of PAR levels between first and second quadrants dissected from resected **small tumors**.



PAR levels were measured 4 h following ABT-888 administration at doses of 3 or 12.5 mg/kg as indicated (n = 6 animals/group). Values are pg PAR/mL, normalized to 100  $\mu$ g protein. Solid diamond, measured point; line, linear regression fit.

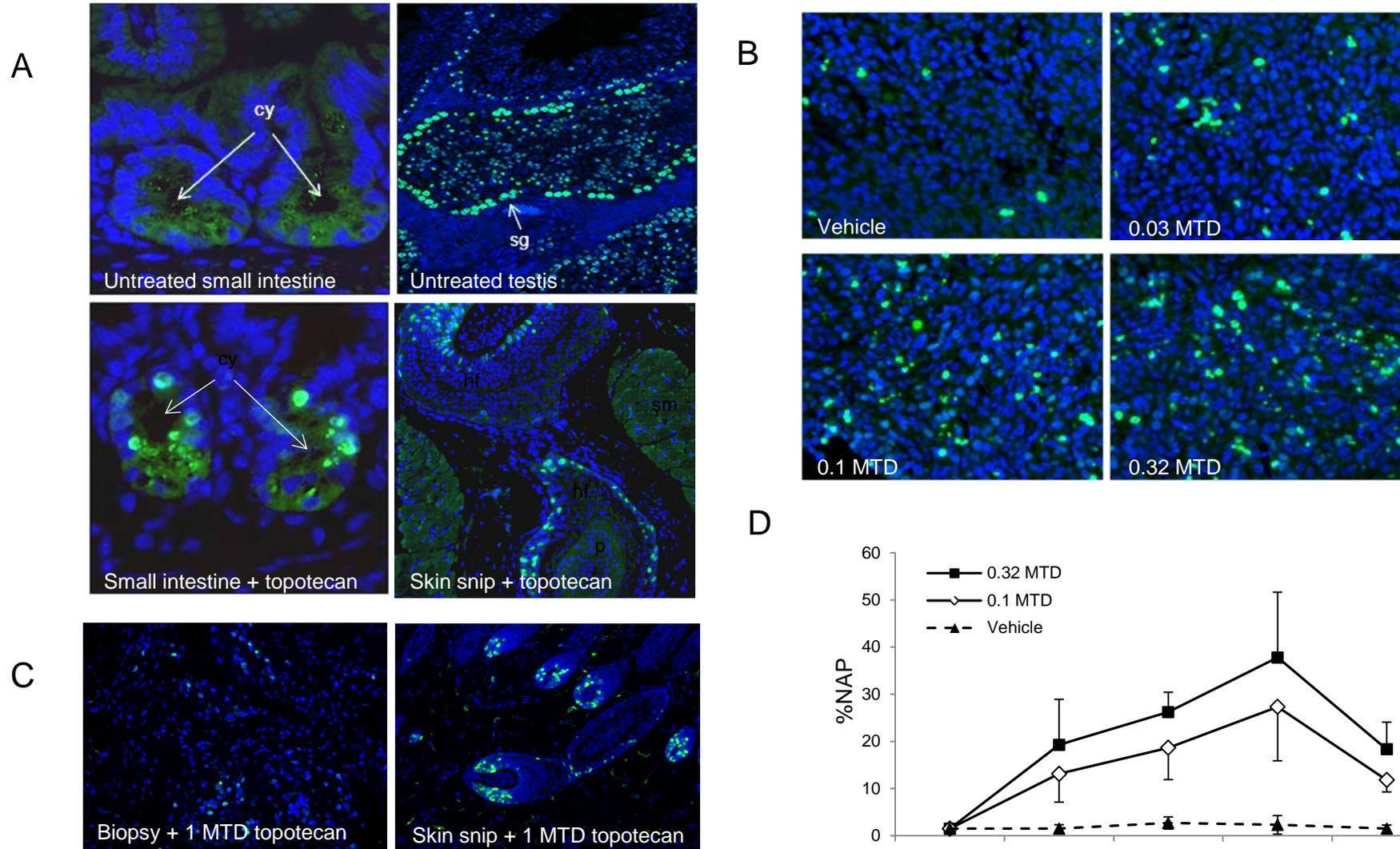
# First-in-human trial of ABT-888 in Solid Tumors



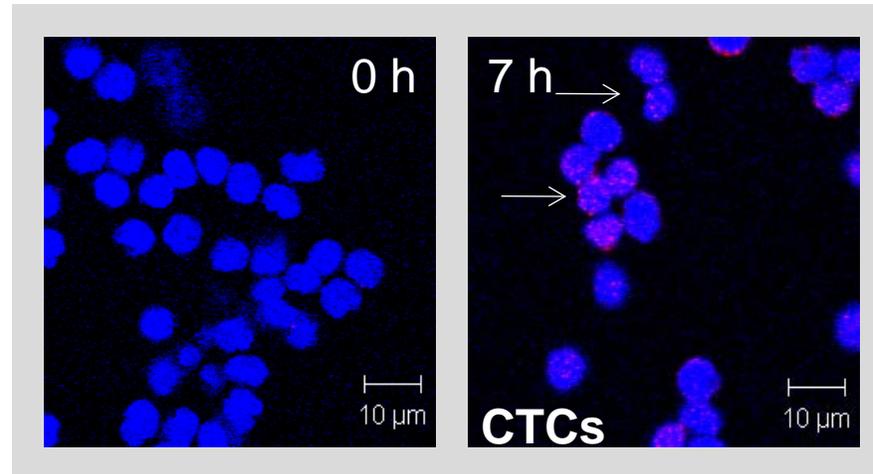
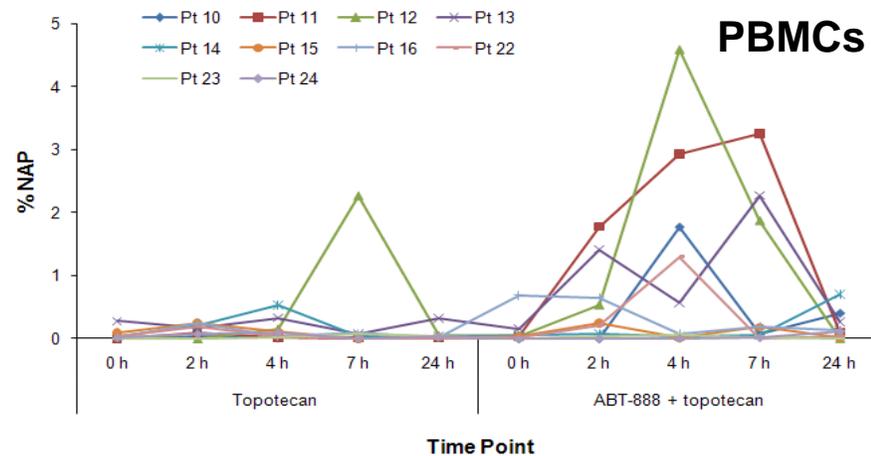
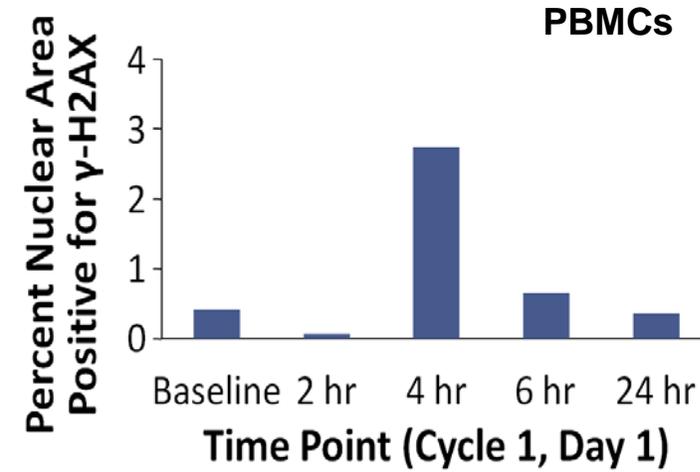
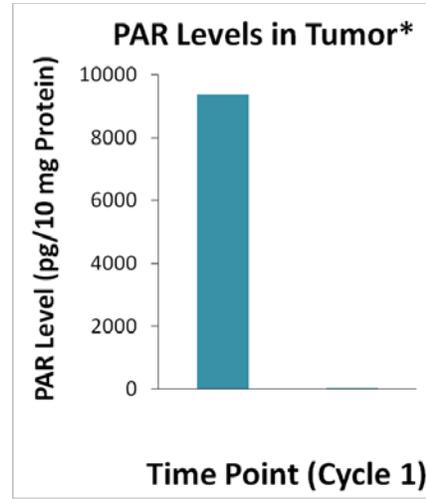
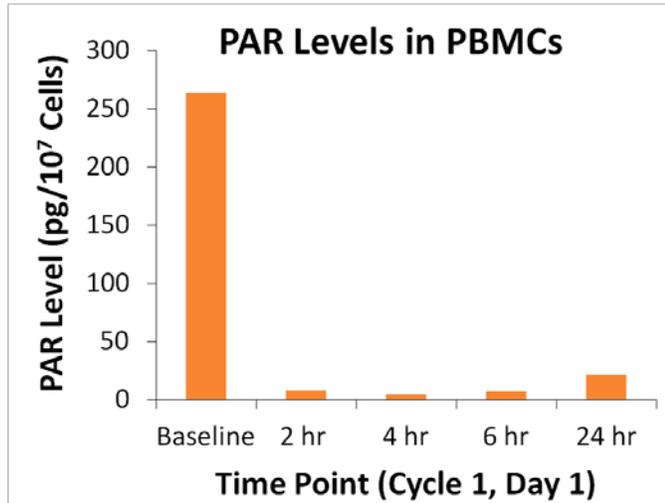
## $\gamma$ H2AX

H2AX is a major effector of the ATM kinase pathway

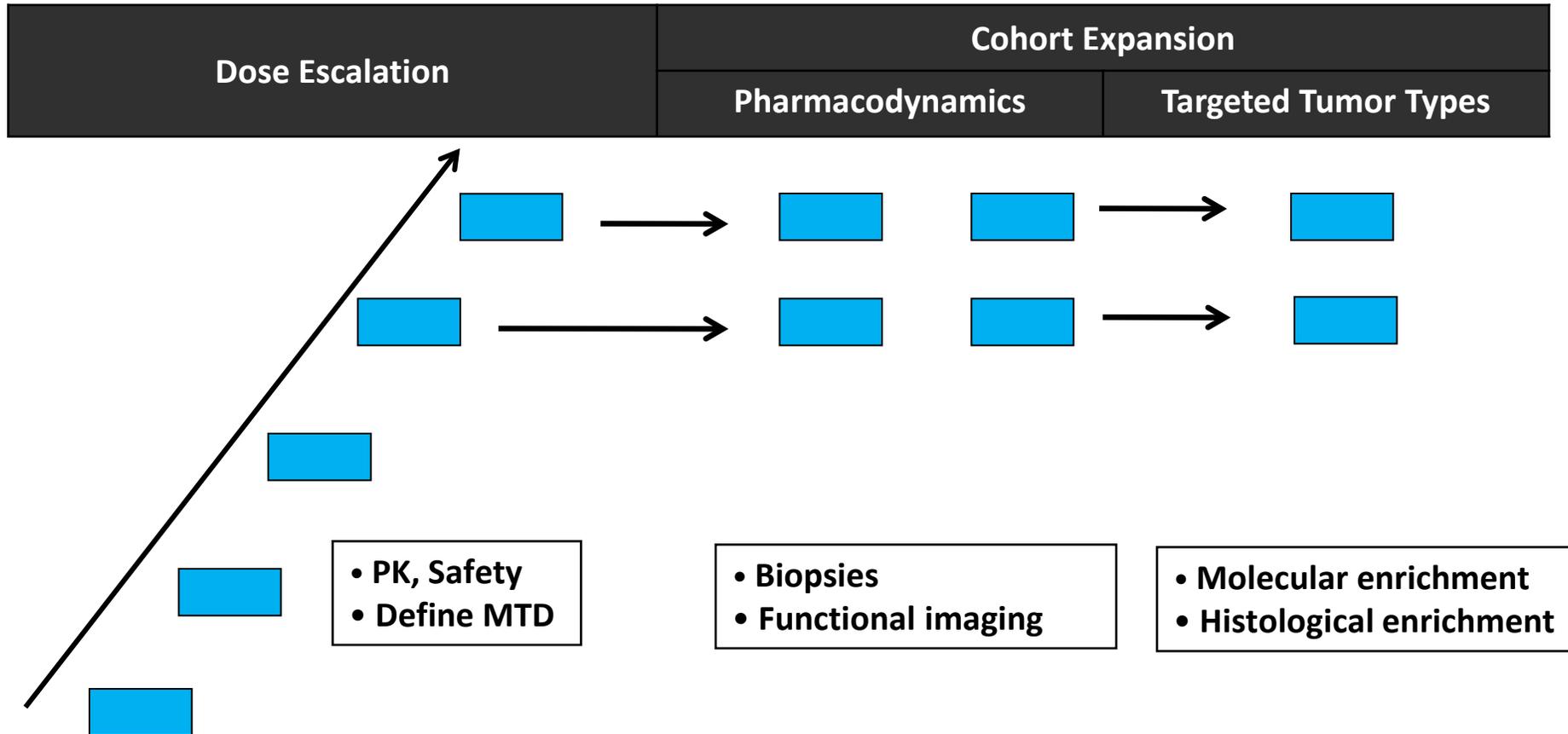
Serine 139 phosphorylation of Histone H2AX occurs in response to double strand DNA breaks



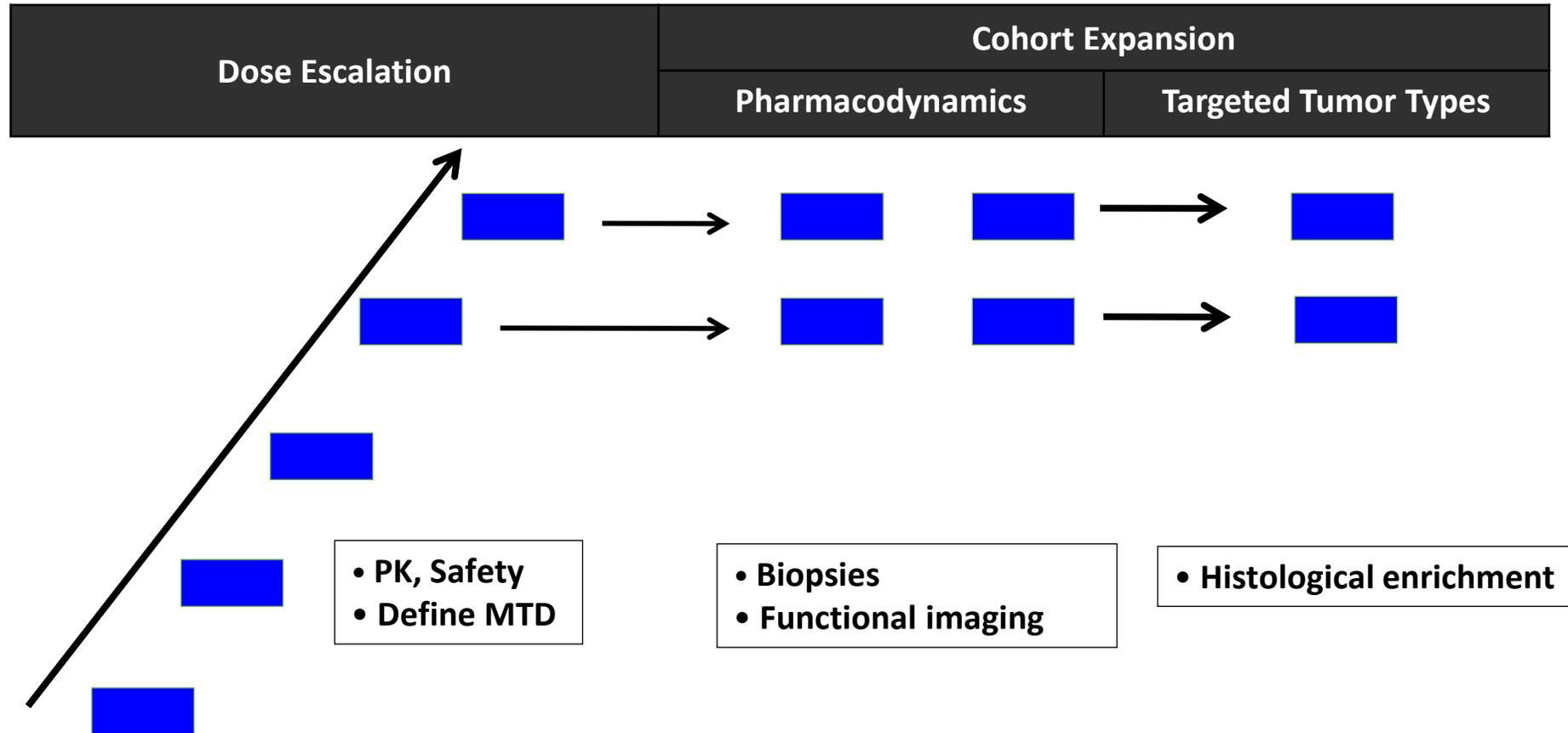
# Veliparib in combination with cytotoxic chemotherapy: Assessing drug target effect



# Phase I Study Design – Unselected Patients in Dose Escalation followed by Specific Expansion Cohorts



# Phase I Study Design – Only Molecularly Enriched Patients



# Biomarkers: regulatory definitions

A defined characteristic that is measured as an indicator of normal biological processes, pathogenic processes, or responses to an exposure or intervention, including therapeutic interventions.

## Integral

- Used for patient selection
- Used to determine patient treatment
- Performed in CLIA environment
- e.g. mutBRaf(V600) with BRaf inhibitor (dabrafenib, vemurafenib)

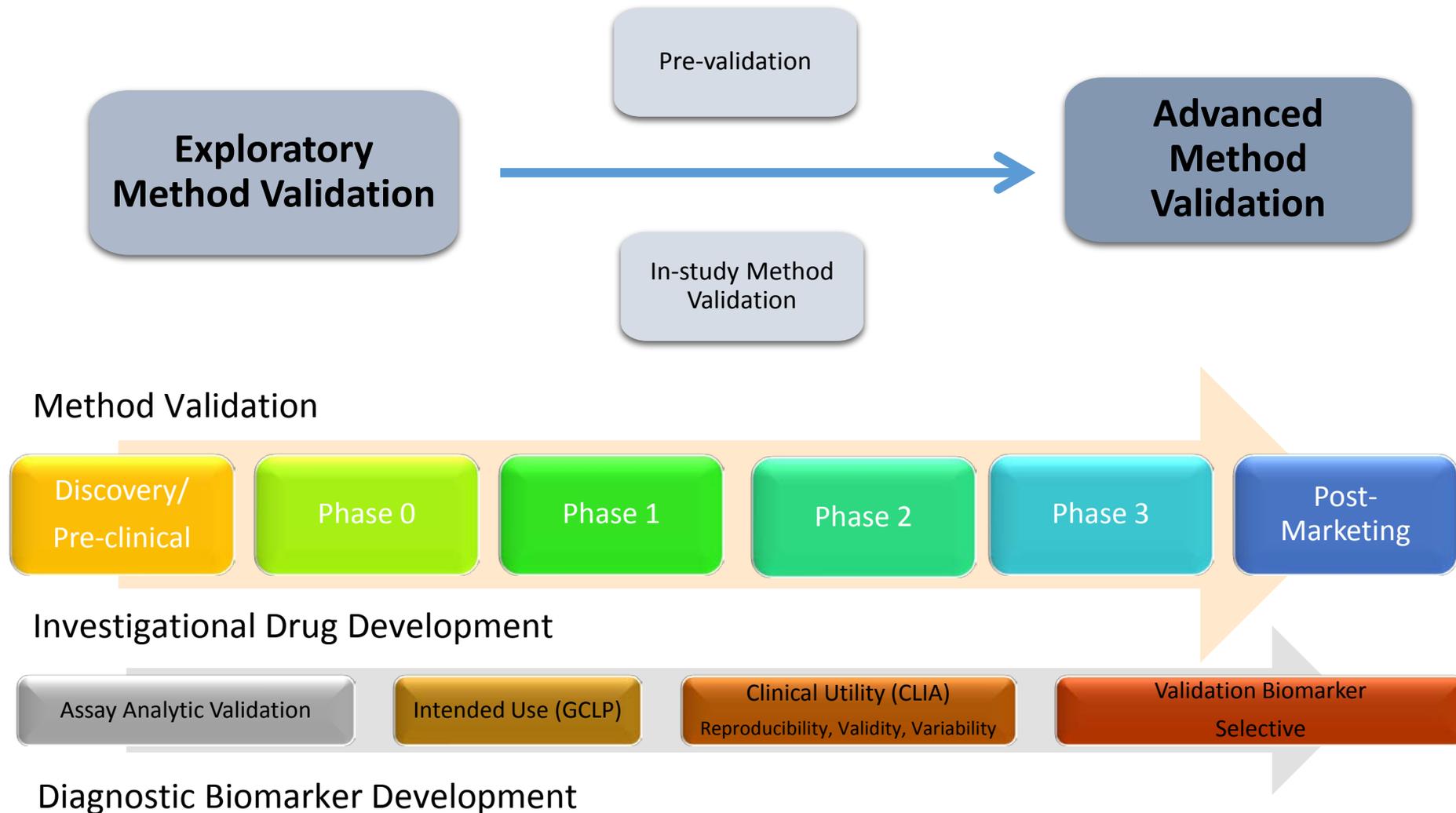
## Integrated

- Used for patient description
- Provide evidence of pathway activation
- Pharmacodynamic
- CLIA ready
- e.g. study of biomarkers for Ras/Raf/MEK signaling

## Exploratory

- Descriptive
- Not validated or fit-for-purpose
- e.g. study of cross talk between Ras/Raf/MEK and PI3K signaling cascades

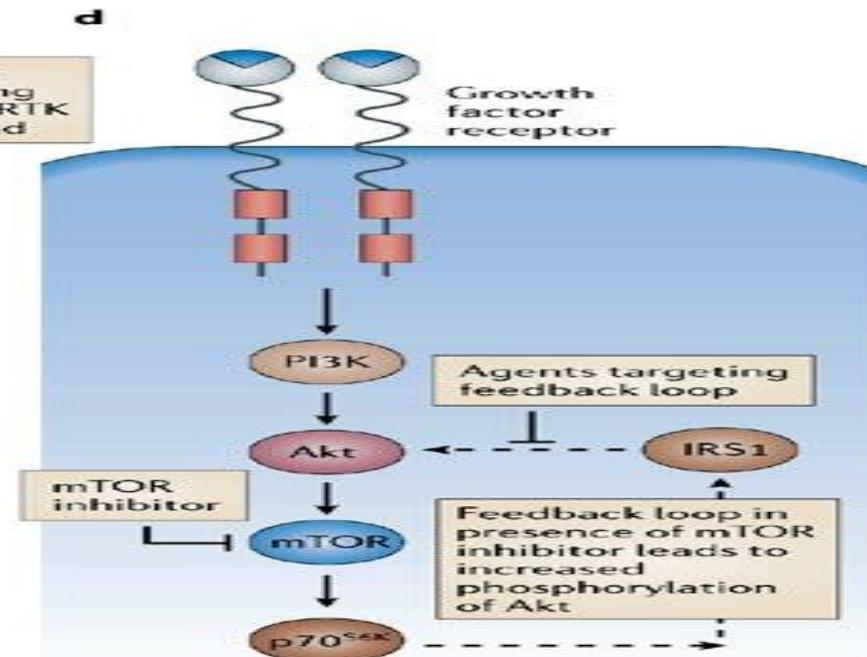
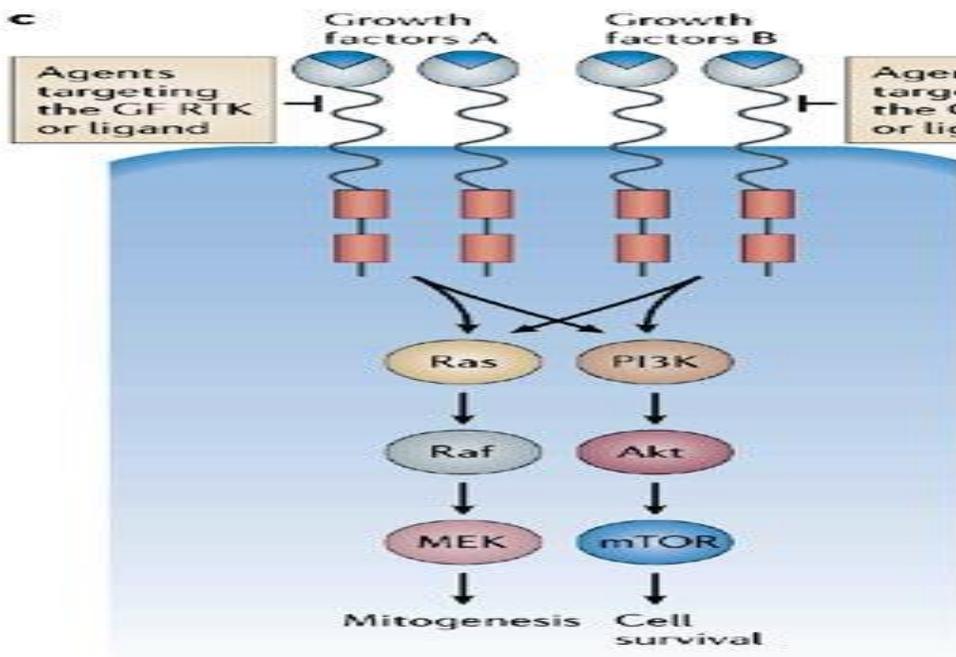
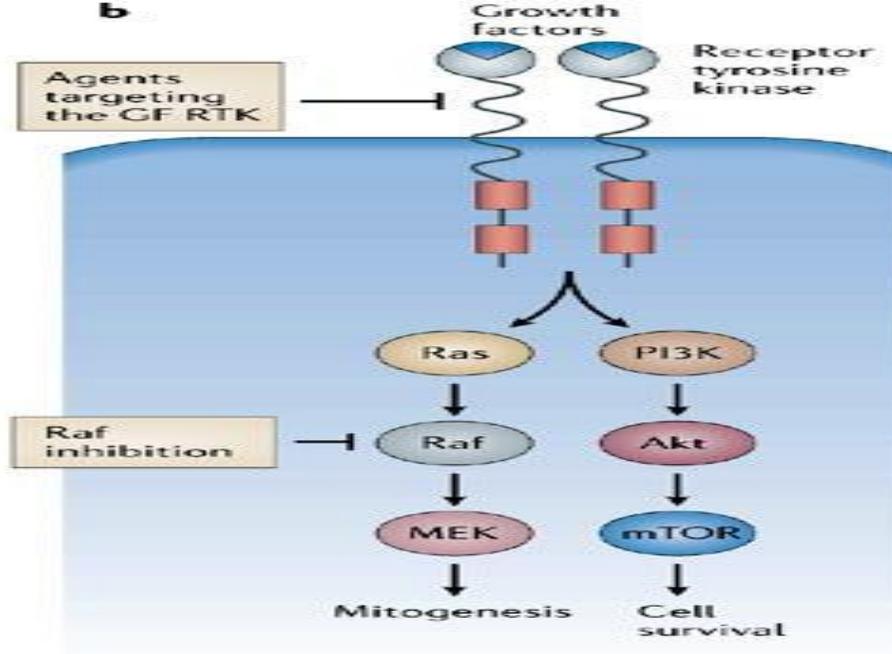
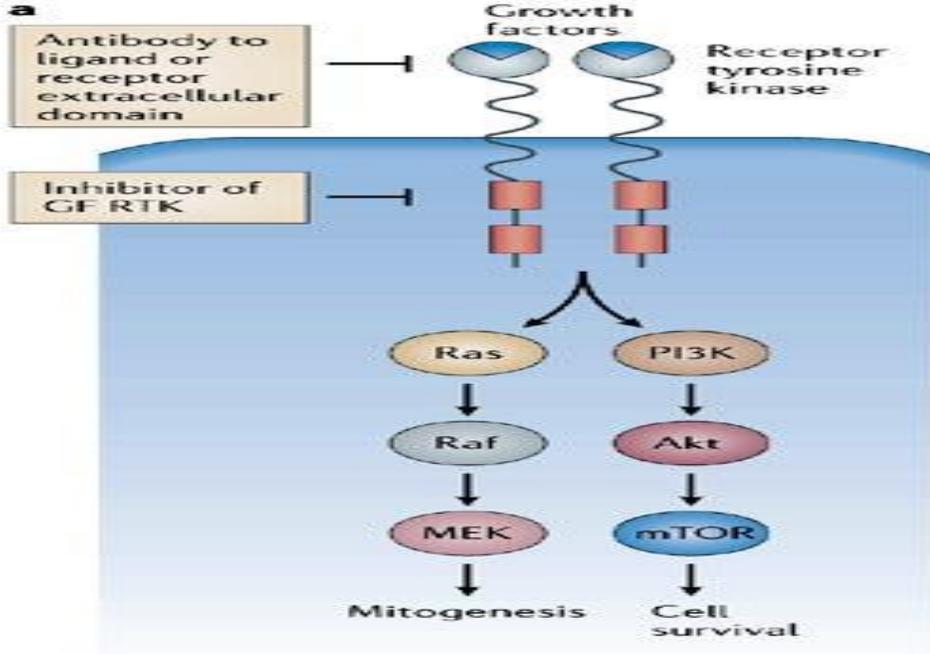
# Fit-for-Purpose: Parallel Drug and Biomarker Development



# Definitions

---

- *Analytical performance (analytical validity)*: how accurately the test detects the analyte(s) of interest
- *Clinical Validity*: How well does the assay result correlate with outcome?
- *Clinical Utility*: How does use of the assay improve outcome?



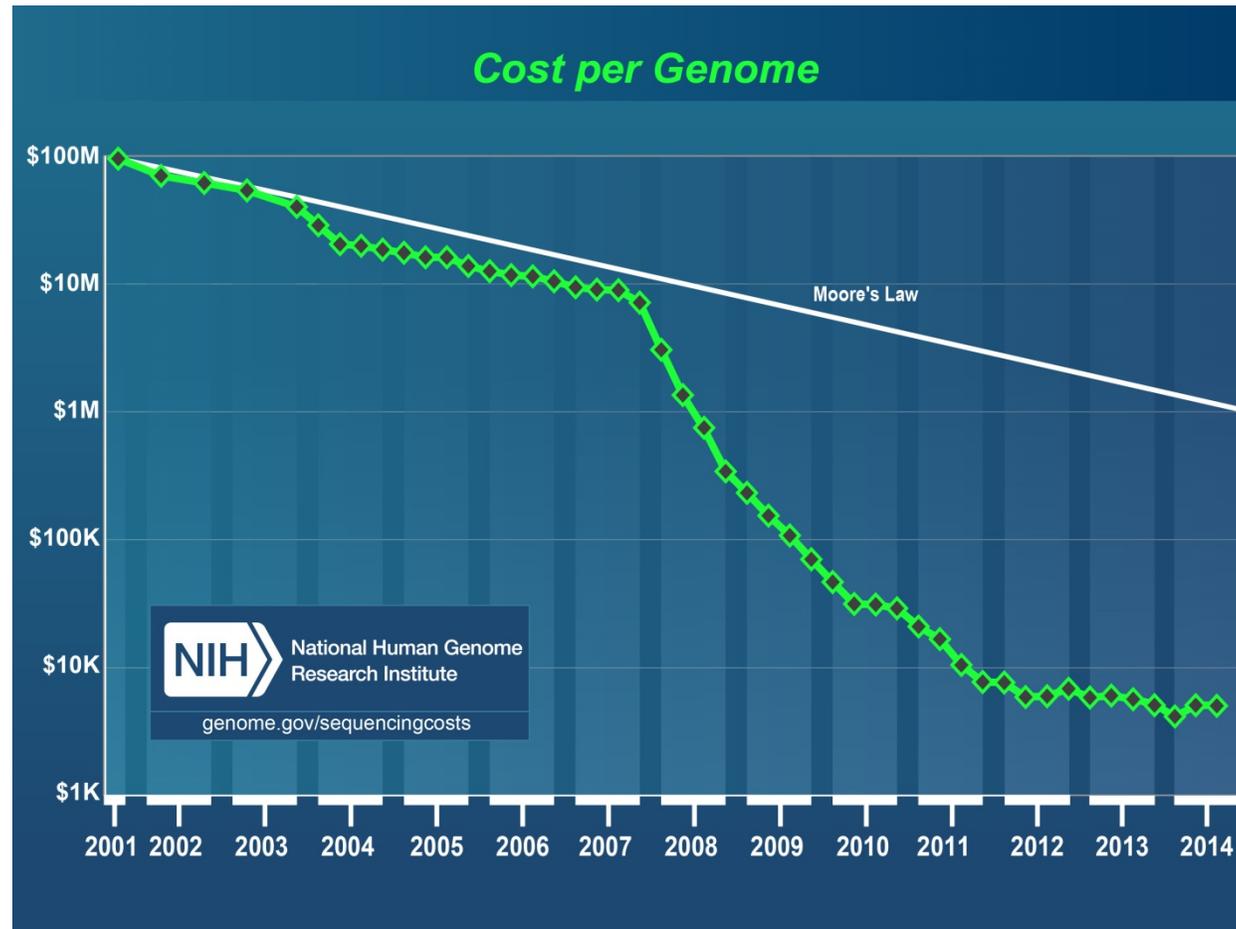
# Challenges in developing drug combinations

---

- **Dose adjustments required for overlapping toxicities: is the dose still resulting in adequate exposure?**
- Lack of preclinical models of combination therapy
  - No standard, combinatorial high-throughput screening models to examine combinations in vitro;
  - no in vivo models standardized for use with targeted combinations;
  - and lack of approved or investigational agents available for preclinical evaluation
- Inability to assess target effects clinically. That is, lack of assays or imaging tools, and lack of assay standardization
- Inadequate clinical trials methodology for combination studies
  - Need to screen large numbers of patients for specific mutations?
  - Need for tumor biopsies? Relevance of histological versus genetic homogeneity?
  - Pharmacokinetic interactions? Relevant end points for trials — response versus lack of disease progression?
- Intellectual property challenges to combining agents from competing sponsors
- Regulatory framework for the commercialization of targeted combinations

Dose is context dependent:  
Patient Selection

# Declining costs of sequencing: massively parallel next-generation sequencing and subsequent computational analysis

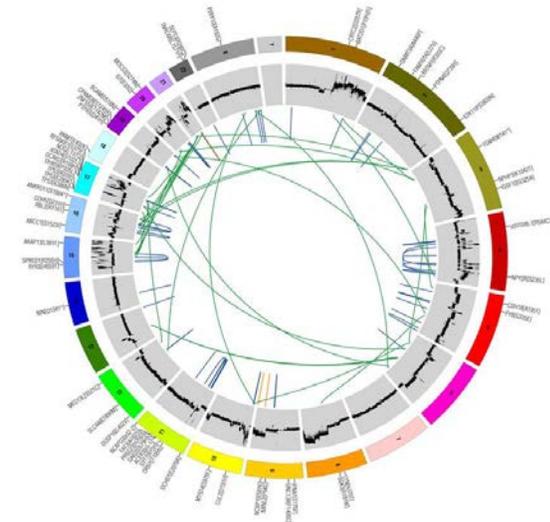


Date	Cost per Mb	Cost per Genome
Sep-01	\$5,292.39	\$95,263,072
Mar-02	\$3,898.64	\$70,175,437
Sep-02	\$3,413.80	\$61,448,422
Mar-03	\$2,986.20	\$53,751,684
Oct-03	\$2,230.98	\$40,157,554
Jan-04	\$1,598.91	\$28,780,376
Apr-04	\$1,135.70	\$20,442,576
Jul-04	\$1,107.46	\$19,934,346
Oct-04	\$1,028.85	\$18,519,312
Jan-05	\$974.16	\$17,534,970
Apr-05	\$897.76	\$16,159,699
Jul-05	\$898.90	\$16,180,224
Oct-05	\$766.73	\$13,801,124
Jan-06	\$699.20	\$12,585,659
Apr-06	\$651.81	\$11,732,535
Jul-06	\$636.41	\$11,455,315
Oct-06	\$581.92	\$10,474,556
Jan-07	\$522.71	\$9,408,739
Oct-07	\$397.09	\$7,147,571
Jan-08	\$102.13	\$3,063,820
Oct-08	\$3.81	\$342,502
Jan-09	\$2.59	\$232,735
Oct-09	\$0.78	\$70,333
Jan-10	\$0.52	\$46,774
Oct-10	\$0.32	\$29,092
Jan-11	\$0.23	\$20,963
Apr-11	\$0.19	\$16,712
Jul-11	\$0.12	\$10,497
Oct-11	\$0.09	\$7,743
Jan-12	\$0.09	\$7,666
Apr-12	\$0.07	\$5,901
Jul-12	\$0.07	\$5,985
Oct-12	\$0.07	\$6,618
Jan-13	\$0.06	\$5,671
Oct-13	\$0.06	\$5,096
Jan-14	\$0.04	\$4,008
Apr-14	\$0.05	\$4,920
Jul-14	\$0.05	\$4,905

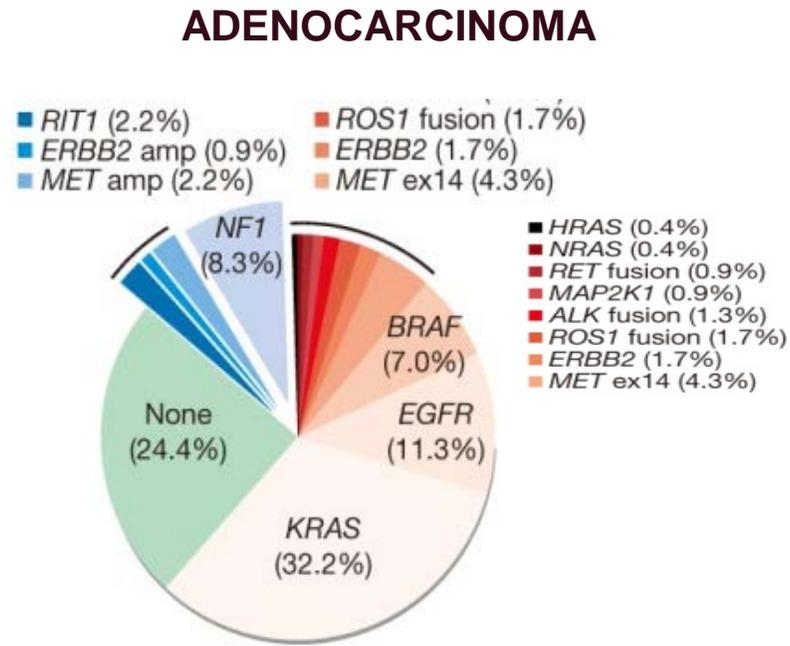
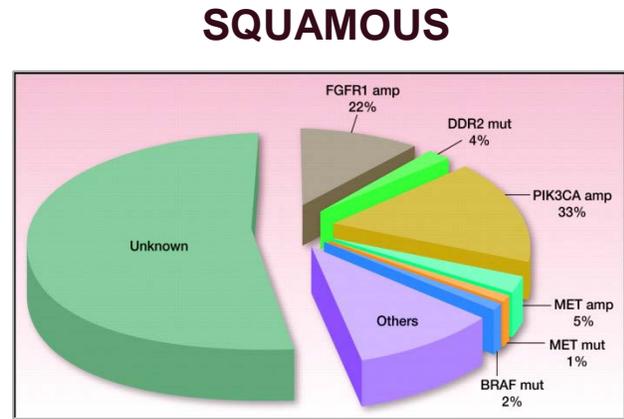
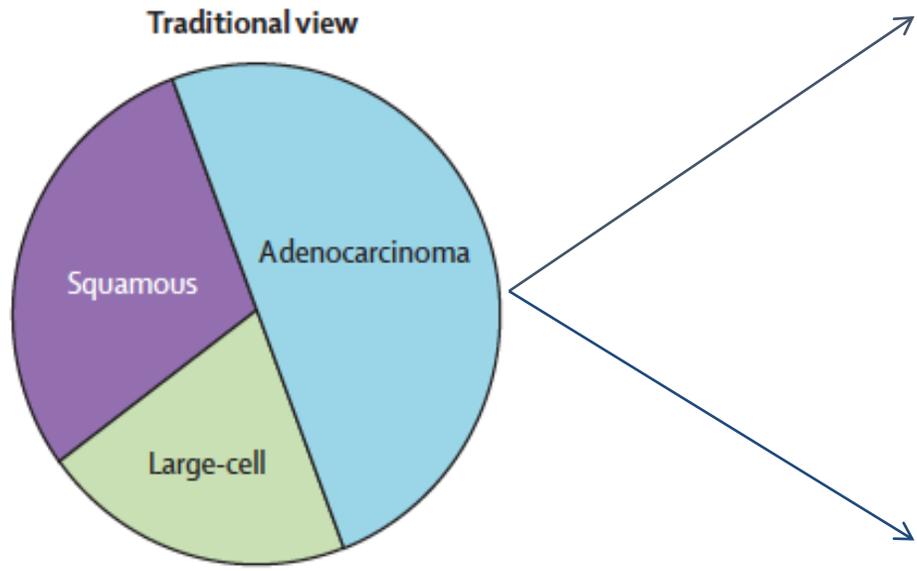
# COSMIC: Catalog of Somatic Mutations in Cancer

---

- COSMIC launched in 2004, detailed 4 cancer genes
- 2014: world's largest and most comprehensive resource
  - 2, 002, 811 coding point mutations in over one million tumor samples
  - 6 million noncoding mutations,
  - 10, 534 gene fusions,
  - 61 299 genome rearrangements
  - 695, 504 abnormal copy number segments and
  - 60,119,787 abnormal expression variants



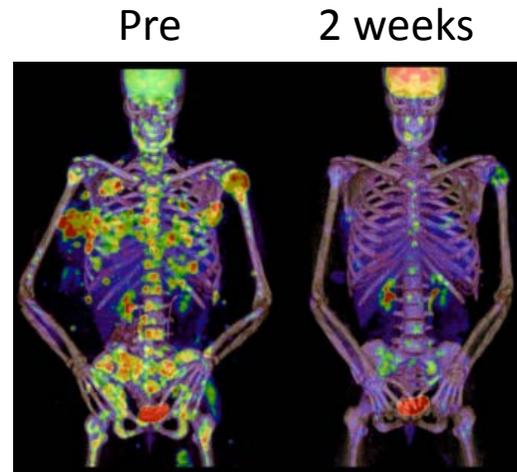
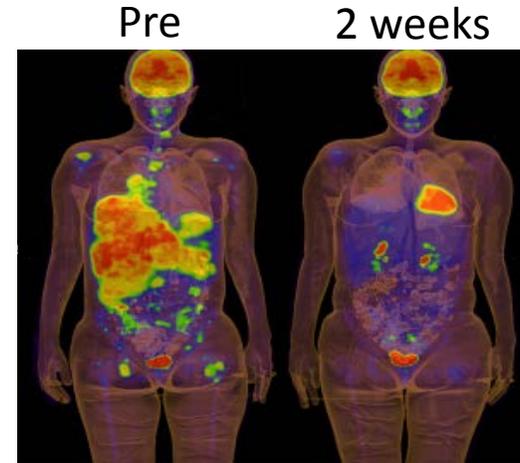
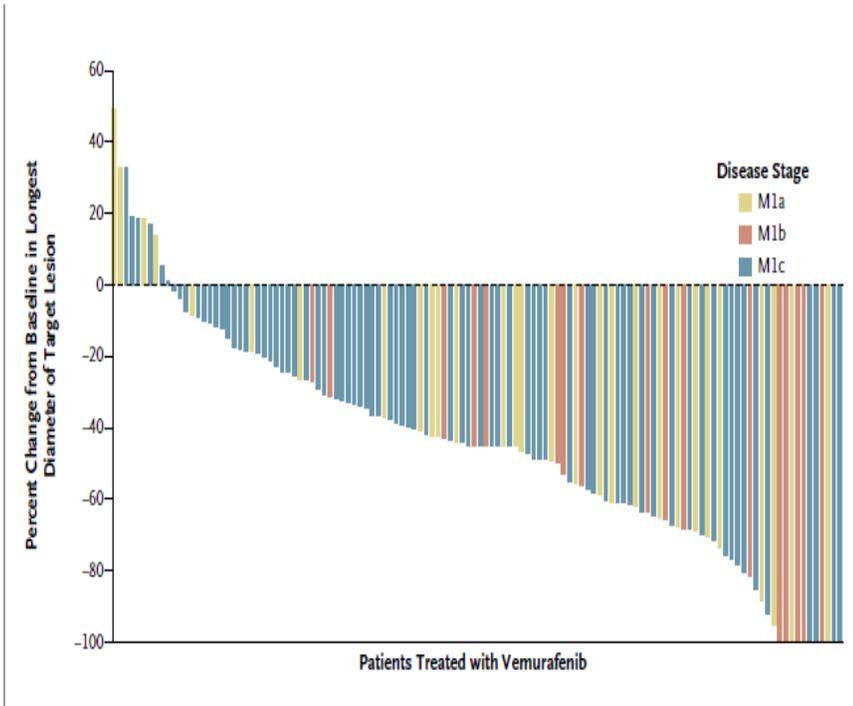
# Transition From Histology → Genomic Driver Mutations



Pao W, Girard N. *Lancet Oncol.* 2011;12:175-180;  
 Perez-Moreno P, et al. *Clin Cancer Res.* 2012;18:2443-2451;  
 Cancer Genome Atlas Research Network. *Nature.* 2012;489:519-525;  
 Cancer Genome Atlas Research Network. *Nature.* 2014;511:543-550.

# Targeting mutations works!!

Vemurafenib in melanoma harboring activating mutations (T→A transversion at position 1799) in B-RAF (V600)

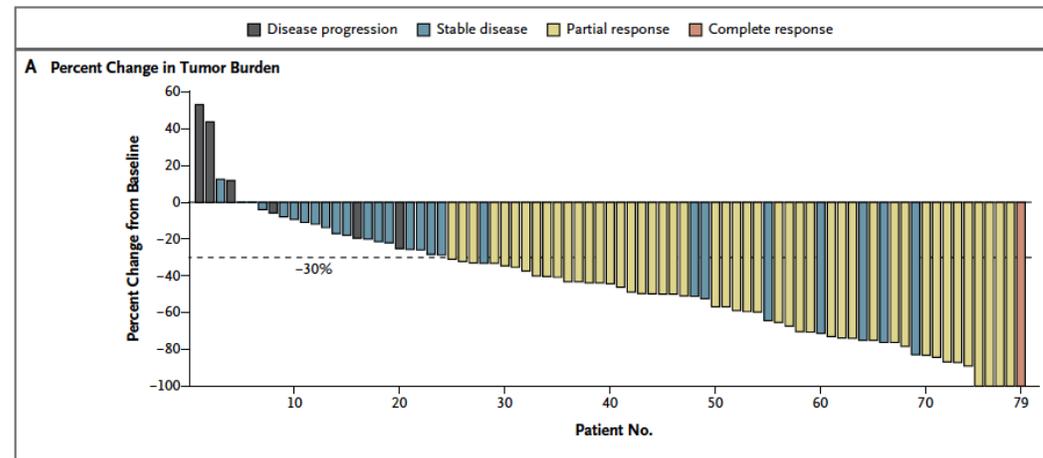


RR (6%CR, 47% PR); PFS 6.8 mos

Sosman J, et al. NEJM 2012;366:8

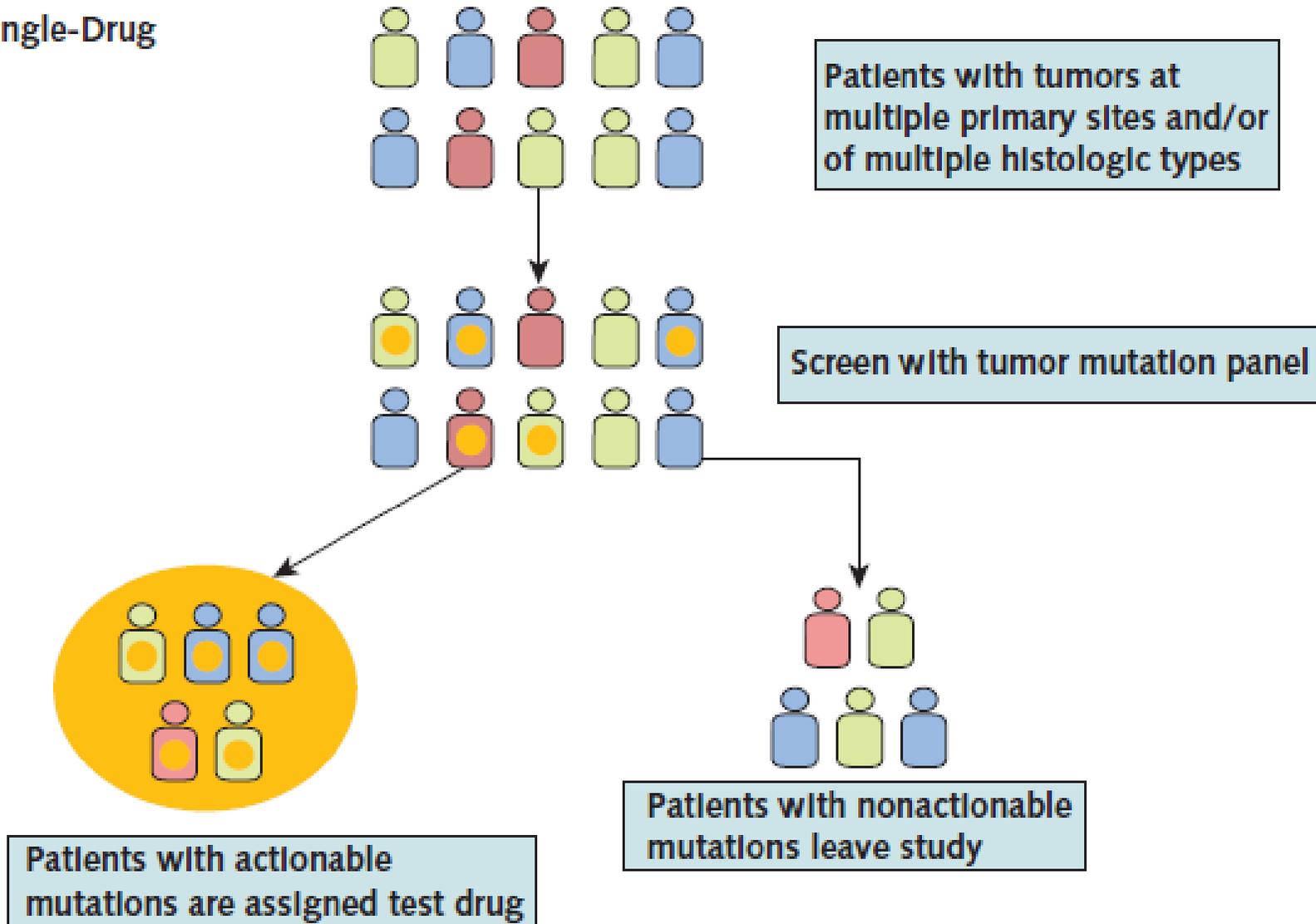
# Incorporating Molecular Profiling into Early Phase Trials

- Targeting driver mutations; enrichment strategies
  - Phase I for Crizotinib –standard dose escalation in solid tumors, 2 pts responded→ profiling showed ALK rearrangement→protocol amended to include an expansion cohort→1500 patients screened from August 2008 through February 2010 to enroll 82 patients with FISH+ ALK rearrangement→57% objective confirmed partial/complete response
  - No statistically predetermined enrollment goal for *ALK*-positive patients was established.
  - August 26, 2011: Crizotinib received accelerated approval by the FDA along with a companion diagnostic (Vysis ALK Break Apart FISH Probe Kit)

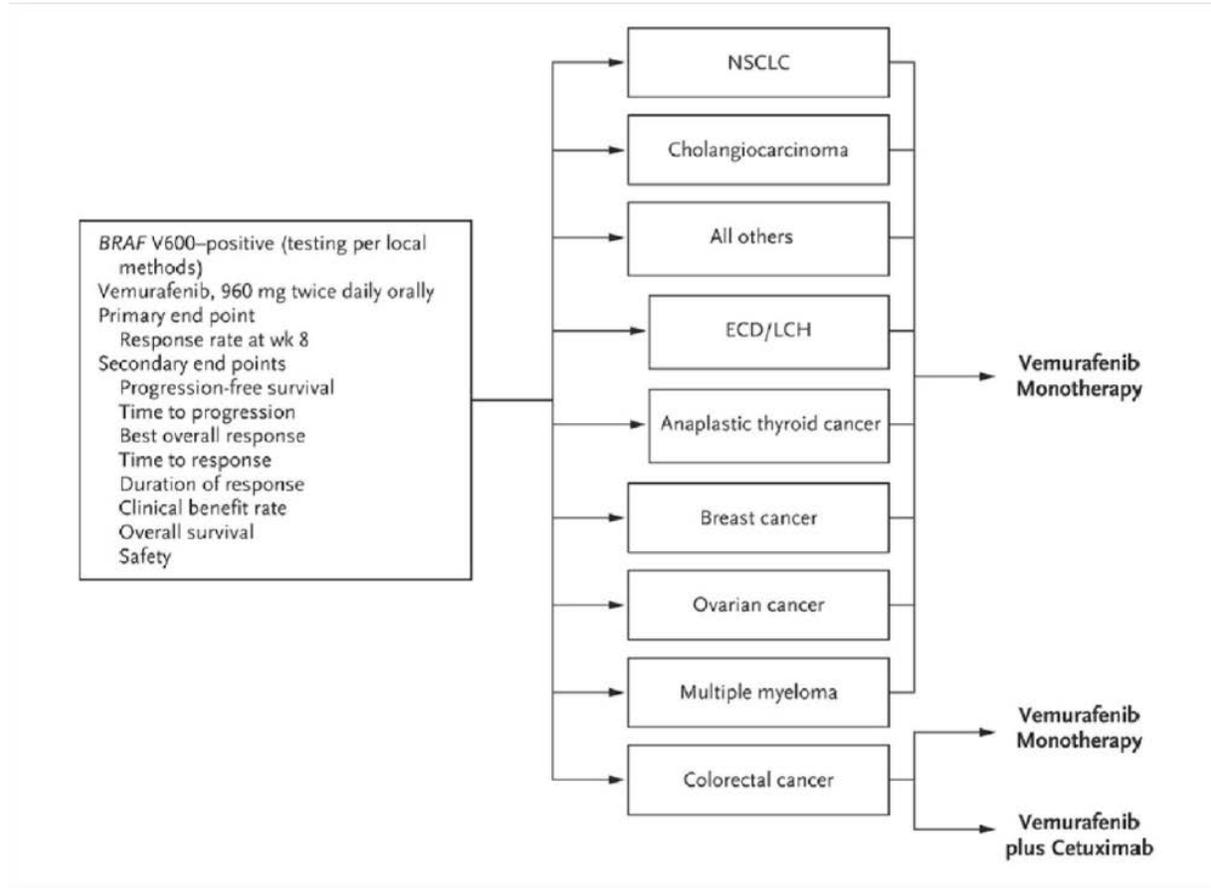


# BASKET Trials

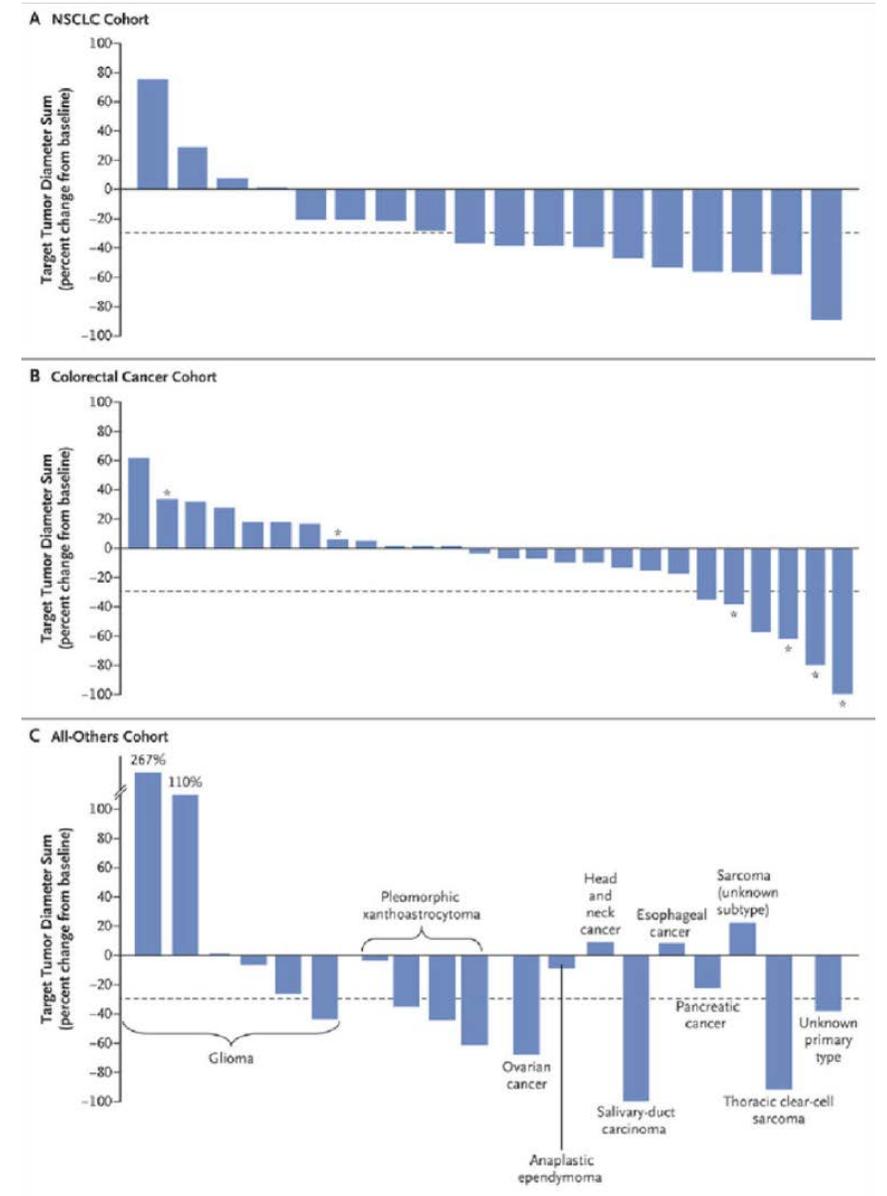
## A. Single-Drug



# Vemurafenib Basket Trial

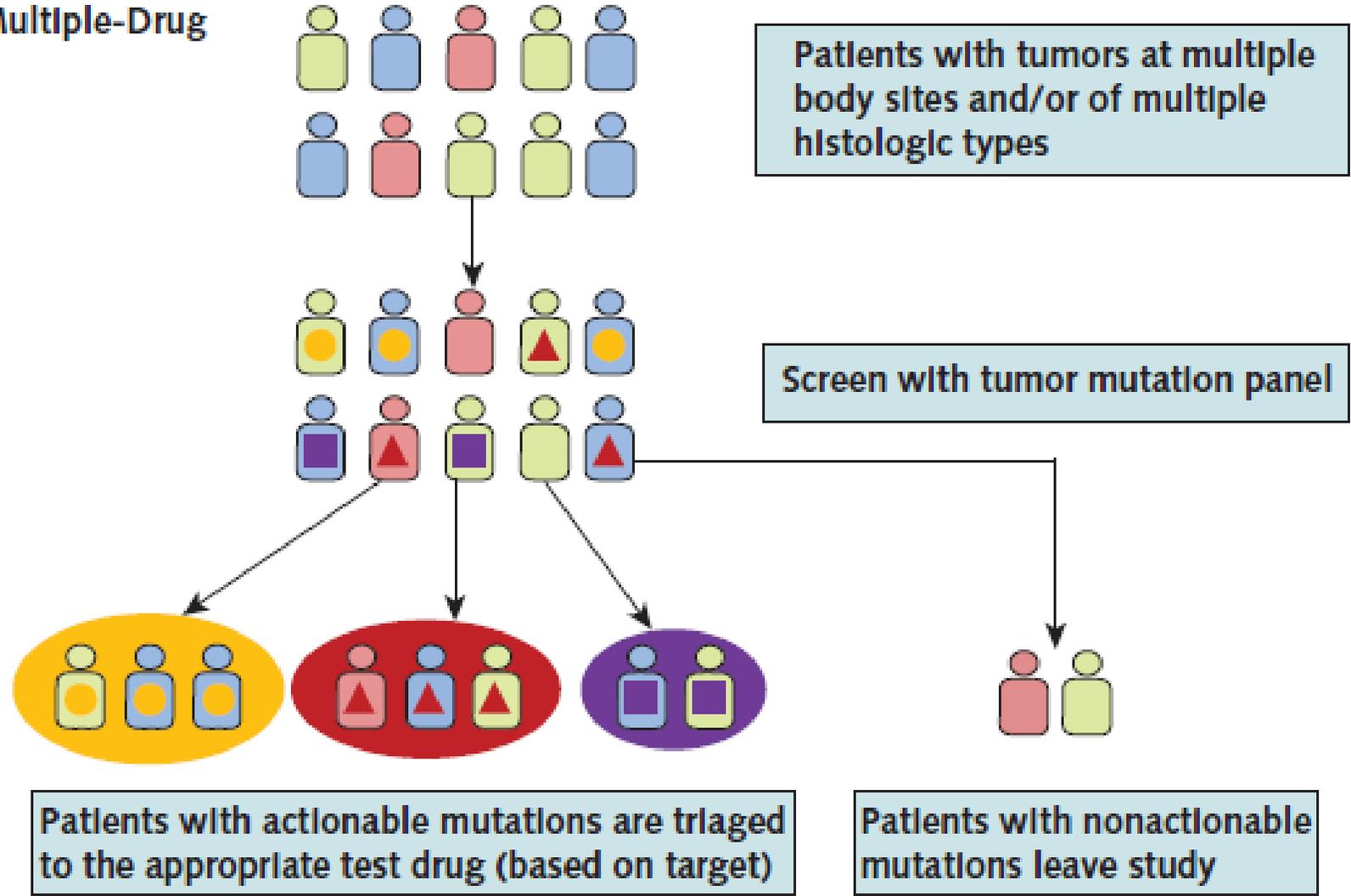


NSCLC RR 42%  
 LCH RR 43%



# Umbrella Trials

## B. Multiple-Drug



# Stages of Clinical Research-Reinvented

Phase I trials sit at the interface of laboratory advances and later stage clinical care; expedite development of new treatments ; basis to prioritize resource allocation



First-in-human trials; Safety and tolerability; Dose Across tumor types

How much to give and how?  
Does it work?  
Who benefits?

50-100 patients

Determine clinical benefit in patients with a type of cancer

One type of cancer or cancers that share a common trait?

100-200 patients

Compare to existing standard of care

Does it work better than what is already out there for a given cancer or subset of multiple cancers?

600-800 patients

Post-marketing safety studies

Is it safe and effective in large populations?

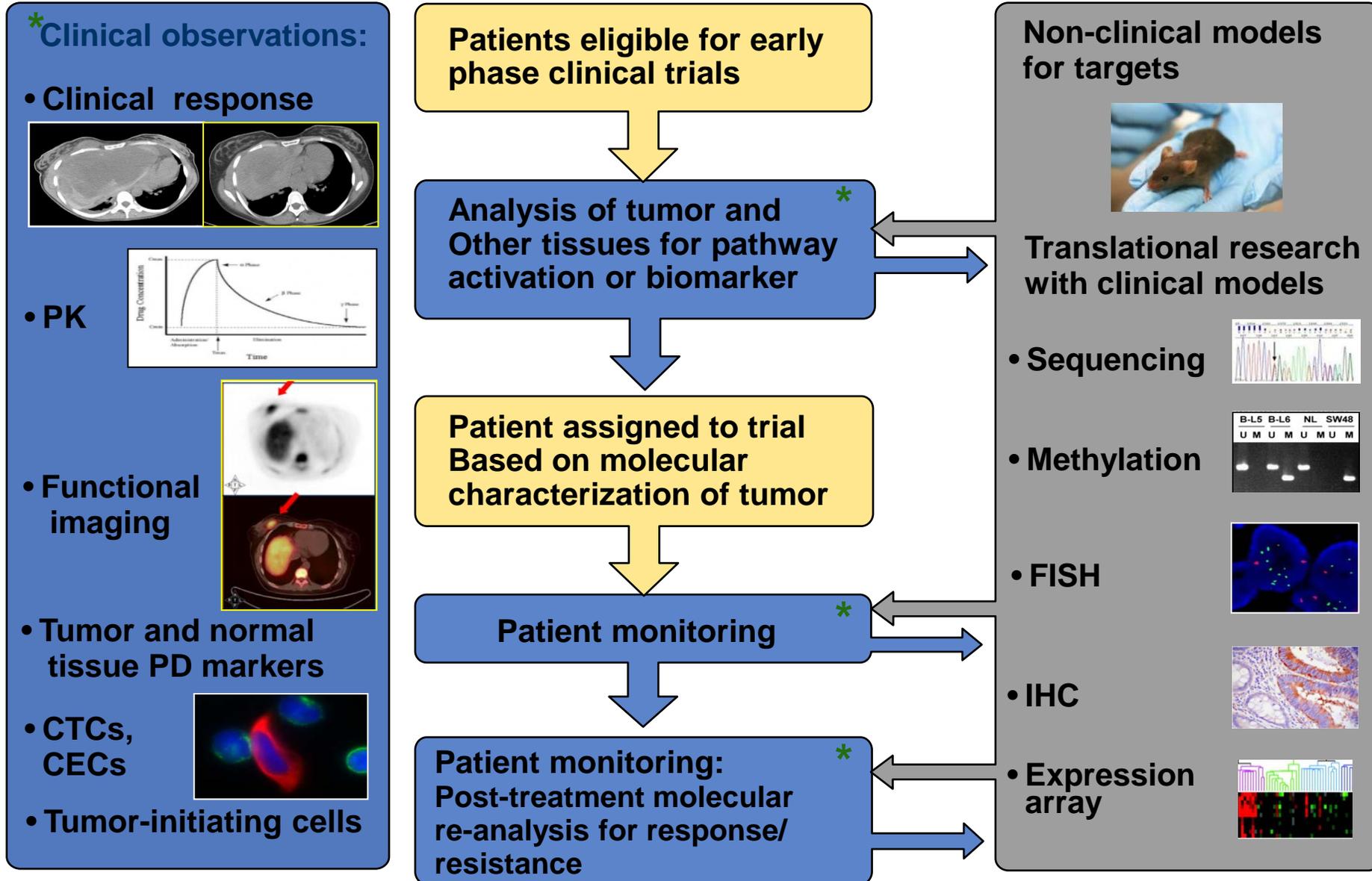
1000s of patients

# Future Considerations

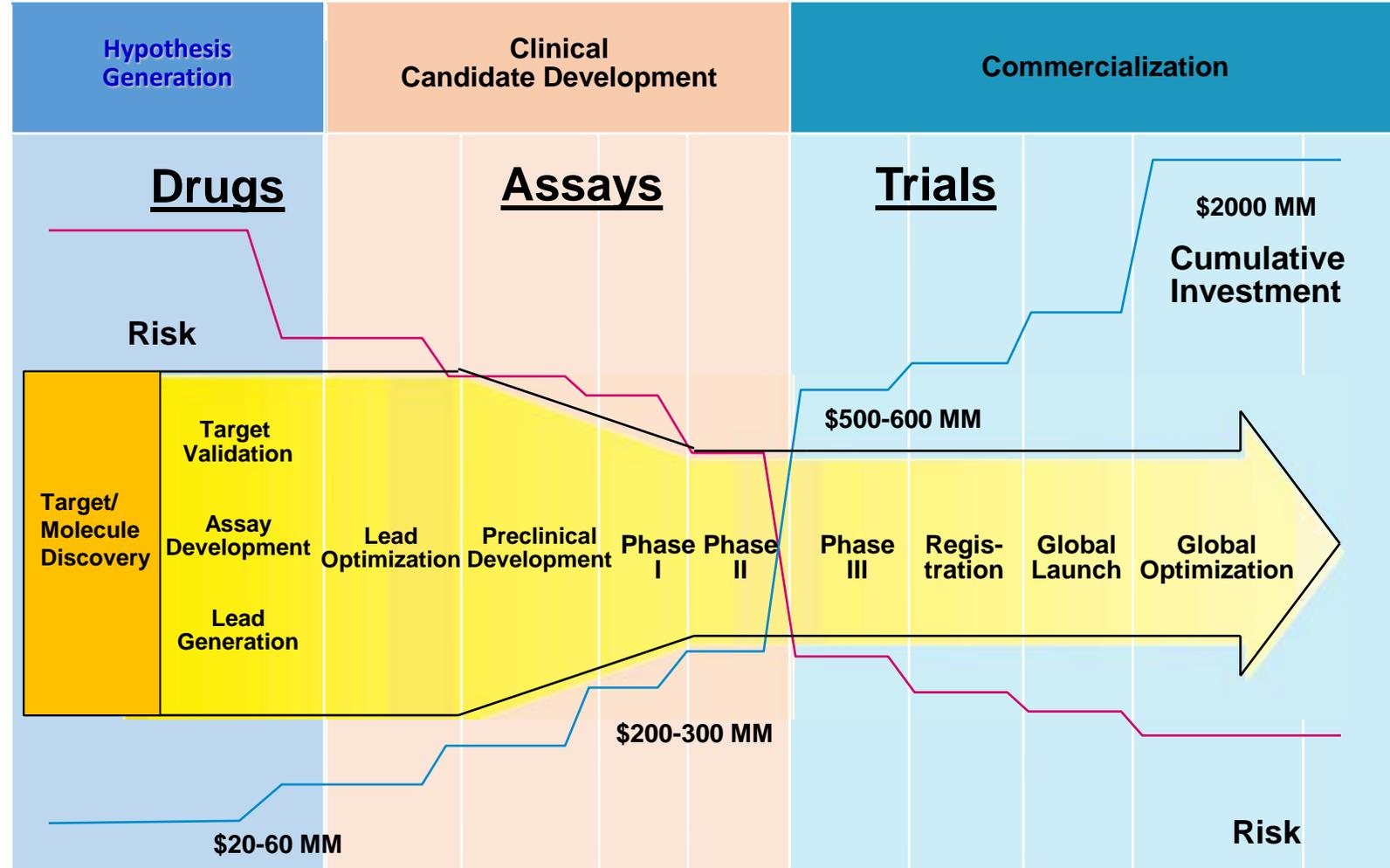
---

- **Early phase trials** (including FIH) will need to be designed to address key questions
  - Target modulation; PK/PD relationships
  - Duration and degree of modulation
  - Sequencing of drugs in combination
- **Establish RP2D**
  - Safe and Tolerable
    - ‘good’ normal tissue tolerability
    - Cumulative toxicities should be tolerable, not just first cycle DLTs
  - Has optimal antitumor effect
    - How does this correlate with target inhibition?
    - Need to define the desired level of target inhibition needed to achieve the antitumor effect
- **Proof-of-mechanism** (did you hit the target?) and **proof-of-concept** (did hitting the target affect growth-controlling pathways?)
- Understanding relationship between dose, schedule, target inhibition, and efficacy: essential for developing combinations

# Clinical Translational Research and Cancer Biology: Bedside to Bench and Back



# Standard Drug Development Pipeline: Re-envisioned



**GOAL:**

Time: 10-12 Years

Time: 5-6 Years