Pilot Grants Policy

GENERAL

The Stanford Child Health Research Institute (CHRI) Pilot Grants funds innovative maternal and child health-focused clinical and translational research in the following categories:

I. Pilot Early Career ($35K)
II. New Ideas ($35K)

All projects must be significantly related to the health of expectant mothers and children. The applicant must be a pediatrician, obstetrician, a child health subspecialist, or scientist who has a focus on, or plans to focus on, child health research.

GENERAL ELIGIBILITY

- All applicants must have, or plan on, having a focus on maternal child health research
- All applicants must be a CHRI Member, or his/her Primary Research Mentor must be a CHRI Member.
- A Primary Research Mentor must be identified for instructors and assistant professors.
- All applicants must have legal residence in the United States (Applicants with H or J visas are eligible).

The following are not eligible:

- Clinician Educators (see Clinician Educator Grants Program).
- Visiting scholars to Stanford.
- Senior Research Scientists, Research Associates/Assistants.
- Former recipients of CHRI sponsored awards who have not complied with award/reporting requirements.
- Mentor or applicants who have not cleared overdraft(s) in previous CHRI awards prior to applying.

Eligibility Summary

<table>
<thead>
<tr>
<th>Category</th>
<th>Instructor</th>
<th>Asst. Prof.</th>
<th>Assoc. Prof.</th>
<th>Professor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early Career</td>
<td>●</td>
<td>●</td>
<td></td>
<td>●</td>
</tr>
<tr>
<td>New Idea</td>
<td>●</td>
<td>●</td>
<td>●</td>
<td>●</td>
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</tbody>
</table>

1 “Children” or “Child” refers to expectant mothers, oocyte, zygote, embryo, fetus, infant, child, and/or adolescent.

CATEGORIES

I. Pilot Early Career Investigators

Eligibility: Instructors & Assistant Professors only

The Pilot Early Career category provides both PI salary and non-salary support for hypothesis-driven or hypothesis-seeking research that could lead to research that is externally fundable as a result of the proposed study. The study must be a pilot, concept, development, or feasibility proposal. This category is intended to help early-career applicants become independent researchers by facilitating projects that will produce the data needed for applications to external funding agencies.

II. “New Ideas” for Mid/Senior Investigators

Eligibility: Associate Professors & Professors only

The New Ideas category provides non-PI-salary support for high-impact, high-risk projects proposed by mid to senior investigators. Projects must be novel to the field (i.e., not previously described in literature) and not a continuation or expansion of the investigator's currently funded or unfunded research. The grant is intended to facilitate projects, which are highly innovative and, if successful, will facilitate novel directions in research that is fundable by external funding agencies.

AWARD

Award may be used for:

<table>
<thead>
<tr>
<th>Category</th>
<th>PI Salary</th>
<th>Research Personnel</th>
<th>Research Supplies / Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early Career</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>New Idea</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Award may NOT be used for (all categories):
- Travel, conference fees, tuition, classes
- Membership dues, journal subscriptions, books
- Office supplies, ITSS communications
- Poster presentations, abstract submissions
- Computer equipment, software, or accessories
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- Any other indirect research costs
- Exception: Postdoctoral Incentive Bonus

Timeline

- Two competitions are offered annually (spring and fall)
- Anticipate a total review turnaround time of 10 weeks from the submission deadline
- Performance period is 12 months for both categories.
- Awardee is required to submit a Progress Report at the end of the performance period and provide follow-up data for three additional years including grants-submitted and/or awarded, abstracts, presentations, and publications resulting from this award

Determination of Funding Level

If the applicant is successful in obtaining external funding for his/her salary (Early Career), or for non-salary costs (Early Career and New Idea), s/he must immediately notify CHRI Administration. In such a situation, the CHRI award may be reduced by a comparable amount.

No-Cost Extensions (NCX)

If the project is not completed within 12 months due to unforeseen circumstances, a no-cost extension (NCX) of up to 6 additional months may be requested (total study period not to exceed 18 months). Requests must be submitted at least thirty (30) days prior to the award end date. Requests are considered on a case-by-case basis. Not all requests are approved. Only one NCX is granted per project. Detailed instructions are available here.

Submission and Award Limits

A single applicant may submit only 1 application per grant cycle.

Child Health Resources

The award may be applied towards a Clinical Research Coordinator and/or Research Nurse to assist with any or all of the following: IRB applications, regulatory document completion, identification of eligible subjects, recruitment, enrollment, clinical data collection or sample acquisition, specimen handling/shipping, quality control, adverse event reporting, data entry, oversight of regulatory compliance, etc. Contact CHRI Administration to request coordinator services.

Pre-Submission Proposal Review

Advice for proposal development is available from CHRI reviewers. They can also assist with coordination and identification of other Stanford resources. To request a proposal review, contact chri_admin@stanford.edu at least 30 days prior to submission deadline.

PROPOSAL REQUIREMENTS

Biostatistical Consultation

A biostatistical consult is required for all human-based studies and those with power calculations.

As part of a partnership with the Stanford Quantitative Sciences Unit (QSU), CHRI grant applicants may request services as needed to support the success of their research project (most services at no charge to the investigator). Their expertise is available for consultation, the development of grant proposals, and implementation of funded projects. Click here to submit a request directly to the QSU. Request QSU consults no later than 4 weeks prior to submission deadline.

Additionally, free pre-award biostatistical consultations can be scheduled through Spectrum: https://spectrum.stanford.edu/.

PI Salary Support

Instructors and Assistant Professors must provide a Budget Justification for requesting salary support and how the proposed work will be completed if the entire CHRI budget is allocated for PI salary.

Mentor Support Form

- A Mentor Support Form is required from the Primary Research Mentor for instructors & assistant professors. Upon creation of an Online Application Record by the applicant (no later than 4 weeks prior to submission deadline), the Primary Research Mentor will receive an automated email with a unique link to complete the Mentor Support Form. For further instructions on the Mentor Support Form, please go here.

- Associate Professor and Professor ranks are required to be a CHRI Member to apply for this grant.

- A primary research mentor is not required for Associate Professor and Professor ranks. The Mentor Support Form is optional. However, if you select ‘yes’ when submitting your Online Application Record and choose to designate a project is best supported through the Research and Statistical Unit or the QSU.

If you are in the Dept. of Pediatrics, please contact Dr. Suzan Carmichael in the Pediatrics Research and Statistical Unit at scarmichael@stanford.edu. She will help determine if your

2 If you are in the Dept. of Pediatrics, please contact Dr. Suzan Carmichael in the Pediatrics Research and Statistical Unit at scarmichael@stanford.edu.
primary research mentor, your mentor will be required to submit the Mentor Support Form.

Letters of Support
- Letters of support are required from all listed non-primary research mentor(s), co-investigator(s) and collaborator(s)
- Letters are NOT blinded and must be attached at the end of the single, PDF application that is uploaded to the Online application portal.

Biosketch
A NIH Biosketch (including Personal Statement) from the applicant, each research mentor and co-investigator must be obtained. The NIH Biosketch template can be obtained here: http://grants1.nih.gov/grants/funding/phs398/phs398.html

Budget and Budget Justification
The applicant must submit a CHRI Pilot Grants Budget Worksheet detailing all current and potential other sources of funding for this study.

Applicants must identify all potential sources of funding as instructed on the budget form. If the potential sources of funding are not fully and clearly described, the proposal will not be reviewed.

REVIEW CRITERIA

Review is based on (1) relevance to maternal child health and (2) NIH Investigator-initiated Review Criteria:

1. Significance. Does this study address an important [child health] problem? If the aims are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventions that drive this field?

2. Approach. Are the conceptual or clinical framework, design, methods, and analyses adequately developed, well integrated, well reasoned, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics?

3. Innovation. Is the project original and innovative? For example: Does it challenge existing paradigms or clinical practice or address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or use novel concepts, approaches, methods, tools, or technologies?

4. Investigators. Are the investigators appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers? Does the investigative team bring complementary and integrated expertise to the project (if applicable)?

5. Environment. Does the scientific environment contribute to the probability of success? Do the studies benefit from unique features of the scientific environment or subject populations or use useful collaborative arrangements? Is there evidence of institutional support?

SELECTION PROCESS

Proposals are reviewed by a CHRI Scientific Review Committee.

Reviewer Comments
All applicants receive reviewer comments and a percentage score regardless of award and given the opportunity to address reviewer comments.

AWARDEE RESPONSIBILITIES

The PI will take responsibility for the research idea, development methods, supervision and conduct of the study in addition to the following:

- Faculty and Instructors keep their Community Academic Profile (CAP) updated and utilize the “Permit PubMed import” feature
- Submit reports in a timely manner, including a Progress Report within 30 days of the award end date
- Provide updates in all Progress Reports on funding status from external and/or internal sources
- Submit progress reports for up to 3 years following the award end date that will include information about grants submitted, publications, employment, and new external funding resulting from this award
- Acknowledge research support in all publications, abstracts, and presentations as follows:

  "The project described in this publication was supported by the Stanford Child Health Research Institute."

Award recipients, who have not acknowledged their work, as indicated above, are not eligible for future awards sponsored by the CHRI.
RESUBMISSION
Applicants not funded may resubmit proposals for future cycles and are encouraged to work with the members of the Review Committee on revisions and/or suggestions for improvement. To be respectful of our reviewers time commitments all requests must be submitted at least one month prior to application due date. A maximum of 2 resubmissions are accepted. Attach a Resubmission Summary of Changes (maximum 2 pages) after the Face Page. See the Application Instructions for details. To request a resubmission consultation with a reviewer, contact chri_admin@stanford.edu.

CONTACT
CHRI Administration:
chri_admin@stanford.edu
650-724-6891